



# VMRC Finance Committee Meeting

Wednesday, January 10, 2024, 5:30 PM

Valley Mountain Regional Center, Stockton Office, Cohen Board Room and Virtual via Microsoft Teams (Hybrid Meeting)



## Meeting Book - VMRC Finance Committee Meeting

### Finance Committee Meeting

A. Call to Order, Roll Call, Review of Meeting Agenda  
Alicia Schott

B. Review and Approval of the Finance Committee Meeting Minutes of November 8, 2023  
Alicia Schott

Action

C. Approval of Contracts over \$250,000  
Corina Ramirez and Myra Montejano

Action

D. Fiscal Department Update  
Melissa Stiles

1. Contract Status Report (CSR)

Action

2. Purchase of Services (POS) Expenditures

3. Operations Expenditures

E. Next Meeting - Wednesday, February 14, 2024, 5:30 PM Hybrid  
(Stockton Office Cohen Board Room and Via Microsoft Teams)

F. Adjournment  
Alicia Schott



## **Minutes for VMRC Finance Committee Meeting**

11/08/2023 | 05:30 PM - 06:30 PM

Valley Mountain Regional Center, Stockton Office, Cohen Board Room and via Zoom Video Conference

**Committee Members Present:** Alicia Schott, Dr. Suzanne Devitt, Margaret Heinz, Connie Uychutin, Lisa Utsey, Linda Collins

**Committee Members Not Present:** Jose Lara (informed absence), Apriely Sisk

**VMRC Staff Present:** Tony Anderson, Doug Bonnet, Melissa Stiles, Corina Ramirez, Tara Sisemore-Hester

**Others Present:** Rachelle Munoz (facilitator)

Alicia Schott called the meeting to order at 5:31 PM.

### **A. Call to Order, Roll Call, Review of Meeting Agenda**

No comments.

### **B. Review and Approval of the Finance Committee Meeting Minutes of October 11, 2023 - Action**

Linda Collins made a motion to approve the Finance Committee Meeting Minutes of 10/11/23. Connie Uychutin seconded the motion. The Finance Committee Meeting Minutes of 10/11/23 were approved unanimously.

### **C. Approval of Contracts over \$250,000 - Action**

Corina Ramirez presented all of the contracts expiring 12/31/2023 over \$250,000 and answered any questions that the committee members had.

Linda Collins made a motion to approve the Contracts over \$250,000 as presented. Margaret seconded the motion. Connie Uychutin and Dr. Suzanne Devitt abstained. The Contracts over \$250,000 were approved unanimously.

#### **D. Fiscal Department Update**

1. Contract Status Report (CSR) – **Action Item**

Melissa Stiles reviewed the Contract Status Report (CSR) and answered any questions that the committee members had.

Connie Uychutin made a motion to approve the Contract Status Report (CSR) as presented. Lisa Utsey seconded the motion. The Contract Status Report (CSR) was approved unanimously.

2. Purchase of Service (POS) Expenditures

Melissa Stiles reviewed the POS Expenditures Report and answered any questions that the committee members had.

3. Operations (OPS) Expenditures

Melissa Stiles reviewed the OPS Expenditures Report and answered any questions that the committee members had.

#### **E. Next Meeting - There will not be a Finance Committee Meeting in December 2023. All Finance Committee Meeting Reports will be presented at the 12/13/23 Board Meeting.**

#### **F. Adjournment**

Meeting adjourned at 6:00 PM.

# Contract Board Approval Report

Contracts Expiring:  
**2/29/2024**

VendorNumber	VendorName	SrvCode	VendorCategory
PV1719	ABove the Clouds OneTwoThree, LLC.	116	Early Start Specialized Therapeutic Services

## Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$820,208	3/1/2023	2/29/2024	Tara Sisemore-Hes	Early Start

### Rate

\$129.53/hr-Home Visits; ASMT-\$234.15/session for Clinical Spec Asmnts; EVAL-\$200.32/Intake Elig Eval; FAMA-\$273.18/Intake Elig Eval w/Family Asmnt; 01TR-\$0.505/mile-Home Visits.

## Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	
\$1,020,000	3/1/2024	2/28/2025	Auto Renew Amend	Increase NTE\$		24.36%

### PropRate

\$129.53/hr-Home Visits; ASMT-\$234.15/session for Clinical Spec Asmnts; EVAL-\$200.32/Intake Elig Eval; FAMA-\$273.18/Intake Elig Eval w/Family Asmnt; 01TR-\$0.505/mile-Home Visits.

VendorNumber	VendorName	SrvCode	VendorCategory
SV0027	Family Therapeutic Services SLS	896	Supported Living Services

## Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$1,680,000	3/1/2023	2/29/2024	Brian L Bennett	Community Svcs

### Rate

\$34.38 per hour

## Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	
\$1,680,000	3/1/2024	2/28/2025	Auto Renewal	Extend Contract		0.00%

### PropRate

\$34.38 per hour

VendorNumber	VendorName	SrvCode	VendorCategory
H29188	Howard Prep Community Integration Program	510	Adult Development Center

## Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$660,311	3/1/2023	2/29/2024	Brian L Bennett	Community Svcs

### Rate

\$94.01/cons/day; \$1.86 per NM consumer, per hour; TDS-\$41.73/cons/hr; PIP30 \$750; PIP60 \$1,000; PIPW NTE 1,040 hrs per year.

## Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	
\$660,311	3/1/2024	2/28/2025	Auto Renewal	Extend Contract		0.00%

### PropRate

\$94.01/cons/day; \$1.86 per NM consumer, per hour; TDS-\$41.73/cons/hr; PIP30 \$750; PIP60 \$1,000; PIPW NTE 1,040 hrs per year.

# Contract Board Approval Report

Contracts Expiring:  
**2/29/2024**

VendorNumber	VendorName	SrvcCode	VendorCategory
HV0306	Howard Prep BMP	515	Behavior Management Program

## Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$600,000	3/1/2023	2/29/2024	Brian L Bennett	Community Svcs

Rate

\$86.96/cons/day; \$1.86 per NM consumer, per hour

## Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	0.00%
\$600,000	3/1/2024	2/28/2025	Auto Renewal	Extend Contract		

PropRate

\$86.96/cons/day; \$1.86 per NM consumer, per hour

VendorNumber	VendorName	SrvcCode	VendorCategory
HV0206	Howard Prep Catering & Specialty	954	Work Activity Program

## Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$307,562	3/1/2023	2/29/2024	Brian L Bennett	Community Svcs

Rate

\$41.33/cons/day; TDS- \$41.73/cons/hr; CIEP placement incentives: EMPP \$2,000; EMP6 \$2,500; EMP12 \$3,000.

## Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	0.00%
\$307,562	3/1/2024	2/28/2025	Auto Renewal	Extend Contract		

PropRate

\$41.33/cons/day; TDS- \$41.73/cons/hr; CIEP placement incentives: EMPP \$2,000; EMP6 \$2,500; EMP12 \$3,000.

VendorNumber	VendorName	SrvcCode	VendorCategory
HV0205	Howard Prep Production Unlimited	954	Work Activity Program

## Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$412,795	3/1/2023	2/29/2024	Brian L Bennett	Community Svcs

Rate

\$28.74/cons/day; TDS- \$41.73/cons/hr; CIEP placement incentives: EMPP \$2,000; EMP6 \$2,500; EMP12 \$3,000.

## Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	0.00%
\$412,795	3/1/2023	2/29/2024	Auto Renewal	Extend Contract		

PropRate

\$28.74/cons/day; TDS- \$41.73/cons/hr; CIEP placement incentives: EMPP \$2,000; EMP6 \$2,500; EMP12 \$3,000.

# Contract Board Approval Report

Contracts Expiring:  
**2/29/2024**

VendorNumber	VendorName	SrvcCode	VendorCategory
HV0203	Howard Prep Community Employment	950	Supported Employment-Group

## Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$889,203	3/1/2023	2/29/2024	Brian L Bennett	Community Svcs

### Rate

\$48.80 per hour for Group Services; TDS-\$41.73/cons/hr.

## Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	0.00%
\$889,203	3/1/2024	2/28/2025	Auto Renewal	Extend Contract		

### PropRate

\$48.80 per hour for Group Services; TDS-\$41.73/cons/hr.

VendorNumber	VendorName	SrvcCode	VendorCategory
HV0157	Howard Prep Golden Opportunities	855	Adult Day Care

## Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$393,117	3/1/2023	2/29/2024	Brian L Bennett	Community Svcs

### Rate

\$58.30/cons/day; TDS-\$41.73/cons/hr

## Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	0.00%
\$393,117	3/1/2024	2/28/2025	Auto Renewal	Extend Contract		

### PropRate

\$58.30/cons/day

VendorNumber	VendorName	SrvcCode	VendorCategory
H29489	Howard Prep Home At Last	904	Family Home Agency

## Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$330,810	3/1/2023	2/29/2024	Brian L Bennett	Community Svcs

### Rate

\$4,208.30 per month-Guide Level \$3,781.25 per month-Coach Level

## Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	45.10%
\$480,000	3/1/2024	2/29/2024	Auto Renew Amend	Increase NTE\$		

### PropRate

\$4,208.30 per month-Guide Level \$3,781.25 per month-Coach Level

# Contract Board Approval Report

Contracts Expiring:  
**2/29/2024**

VendorNumber	VendorName	SrvCode	VendorCategory
HV0533	Jacktone Road Care Home Inc. 113 Residential	113	Specialized Residential Facility (Habilitation)-DSS-Lice

## Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$727,052	3/1/2023	2/29/2024	Brian L Bennett	Community Svcs

Rate

\$15,146.92 per consumer per month, inclusive of SSI

## Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	0.00%
\$727,052	3/1/2024	2/28/2025	Auto Renewal	Extend Contract		

PropRate

\$15,146.92 per consumer per month, inclusive of SSI

VendorNumber	VendorName	SrvCode	VendorCategory
Z15640	MedicAlert Foundation	51	Personal Emergency Response System

## Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$240,000	3/1/2023	2/29/2024	Brian L Bennett	Community Svcs

Rate

Misc rates, U&C...see VSN for services and rates

## Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	50.00%
\$360,000	3/1/2024	2/28/2025	Auto Renew Amend	Increase NTE\$		

PropRate

Misc rates, U&C...see VSN for services and rates

VendorNumber	VendorName	SrvCode	VendorCategory
SV0026	The Golden Touch SLS	896	Supported Living Services

## Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$624,000	3/1/2023	2/29/2024	Brian L Bennett	Community Svcs

Rate

\$34.38 Per hour

## Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	0.00%
\$624,000	3/1/2024	2/28/2025	Auto Renewal	Extend Contract		

PropRate

\$34.38 Per hour



# Contract Board Approval Report

Contracts Expiring:

VendorNumber	VendorName	SrvcCode	VendorCategory
HV0764	Denali Home Haven Enterprise	113	Specialized Residential Facility (Habilitation)-DSS-Lice

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
			Brian L Bennett	Community Svcs
Rate				

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	
\$1,050,374	1/1/2024	12/31/2024	New Contract	Add Program		
PropRate						
\$21,478.79/cons/mo inclusive of SSI						

**Valley Mountain Regional Center  
POS Independent Contractor Contract**

This POS Independent Contractor Contract ("Contract") is entered into this 1<sup>st</sup> day of January, 2024, in the City of Stockton, County of San Joaquin, State of California, by and between Valley Mountain Regional Center, Inc. ("Center") and Haven Enterprise dba Denali Home ("Contractor"). Each party to this Contract shall be referred to individually as "Party" and collectively as "Parties." This Contract shall be effective on and after the date in which both Parties sign it ("Effective Date").

**RECITALS**

- A. WHEREAS, pursuant to Section 4648 of the California Welfare and Institutions Code ("W&I Code"), Center is authorized to, pursuant to Vendorization or a contract, purchase services or supports for its Consumers from any individual or agency which Center determines will best accomplish all or any part of its Consumers' program plans;
- B. WHEREAS, Title 17 of the California Code of Regulations ("Title 17") permits the establishment of service contracts for the provision of Vendored services;
- C. WHEREAS, Contractor is currently Vendored by Center to provide the Services described in Exhibit A for Center's Consumers;
- D. WHEREAS, Center and Contractor desire to define the terms and conditions pursuant to which Contractor will provide the Services described in Exhibit A to Center's Consumers;
- E. NOW, THEREFORE, for valuable consideration, including the promises and payments described herein, the Parties agree as follows:

**AGREEMENT**

- 1. **Truth of Recitals and Representations.** The Parties hereby acknowledge the truth of the Recitals set forth above, and agree that the facts recited are conclusively presumed to be true as between the Parties and their successors in interest pursuant to Section 622 of the California Evidence Code. Contractor further affirms that all fiscal and other documentation submitted to Center in conjunction with the negotiation and preparation of this Contract, and Contractor's Vendorization, is complete and accurate to the best of Contractor's knowledge, supported by records and source documents, prepared in accordance with all instructions provided by Center and all applicable laws, and subject to review pursuant to any audit by Center, the State of California Department of Developmental Services, and/or any other agency of the State of California or the Federal Government, as permitted by law.
- 2. **Definitions.** The definitions set forth in the W&I Code and Title 17 shall apply to this Contract. The following terms, some of which are taken therefrom, are specifically utilized in this Contract.
  - A. "Consumer" means an individual who has been determined by Center to meet the eligibility criteria of W&I Code Section 4512(a) and Sections 54000, 54001 and 54010 of Title 17, and for whom Center has accepted responsibility to provide services.
  - B. "DDS" means the State of California Department of Developmental Services.
  - C. "Individual Program Plan" or "IPP" means a written plan that is developed by Center's Planning Team in accordance with the provisions of W&I Code Sections 4646 and 4646.5.

- D. "Individual Service Plan" or "ISP" means an individualized plan generated for each Consumer to detail exactly how to meet the goals set forth in the IPP.
  - E. "Internal Grievance Procedure" means the written set of procedures, established pursuant to W&I Code Section 4705(a), a vendor uses to achieve the communication and resolution of Consumer dissatisfaction.
  - F. "Planning Team," also known as Interdisciplinary (ID) Team, means the group of persons convened in accordance with the W&I Code Section 4646 for the purpose of preparing a Consumer's IPP.
  - G. "Record" means any book, document, writing, whether tangible, or in an electronic format, evidencing operational, financial or service activities of a service provider such as Contractor, or Center, or pertaining to the service program and/or the provision of services to persons with developmental disabilities.
  - H. "Service" means assistance provided and duties performed by a Vendor for a Consumer, as described in Exhibit A.
  - I. "Service Coordinator" means the individual specified in W&I Code Section 4648(a) as the person with the responsibility for implementing, overseeing, and monitoring a Consumer's IPP and for maintaining the Consumer's case management or service coordination file. Service Coordinator is synonymous with Center case manager, counselor or client program coordinator.
  - J. "Service Design," also known as Program Design, means a written description of the service delivery capabilities and orientation developed, maintained, and implemented by a Vendor in compliance with the rules and regulations.
  - K. "Special Incident Report" is the documentation prepared by Vendor staff or long-term health care facility staff detailing a special incident and provided to Center and appropriate law enforcement authorities.
  - L. "Vendor" means an applicant which has been given a Vendor identification number and has completed the Vendorization process set forth in Section 54302, *et seq.* of Title 17.
  - M. "Vendorization" means the process used to:
    - 1) Verify that an applicant meets all of the requirements and standards pursuant to Section 54320 of Title 17 prior to the provision of Services to Consumers; and,
    - 2) Assign Vendor identification numbers, service codes and sub-codes, for the purpose of identifying Vendor expenditures.
3. **Purpose of Contract.** The purpose of this Contract is to outline the obligations and duties of Contractor in providing the Services described in Exhibit A to Consumers and the means and process by which Contractor shall be compensated for said Services.
4. **Term of Contract.** This Contract shall commence on the 1<sup>st</sup> day of January, 2024 ("Commencement Date"), and shall terminate at midnight on the 31<sup>st</sup> day of December, 2024 ("Termination Date"), unless renewed by the Parties, or terminated earlier, pursuant to the terms of this Contract. Center shall not be required to compensate Contractor for Services performed by Contractor prior to Contractor's receipt of a written Authorization to Purchase Services from Center or subsequent

to termination of this Contract, and any Services performed subsequent to termination of this Contract shall not be construed as a renewal of this Contract.

- A. Automatic Renewal of Contract. On the Termination Date, this Contract shall automatically renew for a one (1) year period ("Renewal Period"), on the same terms and conditions contained herein, including, but not limited to, the rate to be paid by Center for Contractor's Services, unless: (1) no later than sixty (60) days before the Termination Date, a Party gives written notice to the other Party of the intent to terminate the Contract on the Termination Date; or (2) this Contract is terminated pursuant to Section 4(B) below. If this Contract is automatically renewed pursuant to this Section, Center shall send a written confirmation to Contractor within thirty (30) days of the originally scheduled Termination Date. This Contract can be renewed pursuant to this provision for a maximum of five (5) years from the original Commencement Date. For automatic renewal to occur, the process set forth in this Section must be followed each year until this Contract is terminated. Automatic renewal of this Contract for one (1) or more years does not guarantee renewal for any subsequent year. If either Party desires to modify any of the terms of this Contract during a Renewal Period, that Party shall send a written request for a meeting to discuss the proposed modification(s). Any modification to this Contract during the renewal process, or otherwise, shall be set forth in a written amendment signed by both Parties. No oral representation by the Parties or their representatives shall be effective to renew this Contract.
- B. Early Termination of Contract by Center. Center may terminate this Contract prior to the date set forth in this Section 4 should Center, DDS or the State of California determine that Contractor has failed to comply with any provision of this Contract, failed to comply with the written Authorization to Purchase Services, and/or failed to comply with any applicable Federal, State or local law or regulation, including, but not limited to, those which govern the provision of Services to persons with developmental disabilities. Should Center, DDS or the State of California determine that termination of this Contract is appropriate, Center shall provide Contractor with a written notice of termination describing the reason for termination ("Center's Notice of Termination"). This Contract shall be deemed terminated thirty (30) days following delivery of Center's Notice of Termination ("Termination Date"). Center's Notice of Termination shall be deemed delivered on the date that it is either mailed via certified mail, return receipt requested, or personally delivered to Contractor. Termination may occur without notice, or with less than thirty (30) days' notice, if Center determines that either: the results of any evaluation of Contractor's Service delivery warrant termination without notice or with notice of less than thirty (30) days, or Contractor's Service delivery contributes to life-threatening dangers to, or has resulted in abuse of, a Consumer.
- C. Early Termination of Contract by Contractor. Should Contractor determine that termination of this Contract is appropriate, Contractor shall provide Center with a notice of termination describing the reason for termination ("Contractor's Notice of Termination"). This Contract shall be deemed terminated thirty (30) days following delivery of Contractor's Notice of Termination, unless Contractor specifies in its Notice of Termination that termination shall be effective at a later date ("Termination Date"). Contractor's Notice of Termination shall be deemed delivered on the date that it is either mailed via certified mail, return receipt requested, or personally delivered to Center.

- D. Termination of Contract by Either Party without Cause. Notwithstanding Sections 4(A), 4(B) or 4(C) of this Contract, this Contract may be terminated by either Party without cause upon ninety (90) days written notice to the other Party, or as mutually agreed.
- E. No Payment for Services Performed After Termination Date. On and after the Termination Date, Contractor shall not conduct any further activities under this Contract and Center's obligation to pay Contractor pursuant to this Contract shall cease. Any expenses incurred by Contractor following the Termination Date will not be reimbursed pursuant to this Contract. If Contractor performed Services prior to the Termination Date that are reimbursable pursuant to the terms of this Contract, Contractor shall deliver a final claim form within ten (10) business days of the Termination Date. Center shall reimburse Contractor for those Services that were legitimately performed prior to the Termination Date.
- F. Compliance with Laws Upon Termination. In the event of termination, Center and Contractor shall comply with all applicable Federal, State and local laws and regulations, including, but not limited to, W&I Code Sections 4502, 4646, 4646.4, 4648, 4710 and 4741.
5. **Referral of Consumers.** Center's case management staff may refer Consumers to Contractor, as it deems appropriate, through Contractor's designee. Contractor acknowledges and agrees that Center is not obligated to refer any particular number of Consumers to Contractor, or any Consumers at all, and that this Contract is not intended to, nor shall it constitute a guarantee or vested right to have any particular Consumer, or any number of Consumers referred, assigned, transferred or sent to Contractor for Services. Contractor further acknowledges and agrees that Center shall not be liable to Contractor, for any cause of action, in law or equity, including, but not limited to, breach of contract or breach of the implied covenant of good faith and fair dealing, if Center does not refer, assign, transfer or send any particular Consumer, or any number of Consumers to Contractor for Services. Contractor further acknowledges and agrees that it shall not interfere with or limit Center's right under the W&I Code and Title 17 to secure for any Consumer served by Contractor, additional and/or alternative services which Center has determined are appropriate.
6. **Services.** Contractor shall provide Services in accordance with all applicable Federal, State and local laws or regulations, including but not limited to, Title 17 as it relates to the appropriate service type, in accordance with the Program Design attached hereto and incorporated herein as Exhibit C, and in accordance with the terms and conditions of this Contract, including Exhibits and/or documents referenced in this Contract. In the event of a conflict between the terms of the Program Design and this Contract, the terms of this Contract shall govern. The level of service provided by Contractor shall, at a minimum, be consistent with Contractor's Program Design, any other program-related documentation relied upon by the Department as a basis for establishing rates of payment, and any applicable Federal, State and local laws or regulations.
7. **Contractor's Duties.**
- A. Authorization to Purchase Services Required. Contractor may not initiate or provide any Services for Consumers until Center has issued a duly executed Authorization to Purchase Services.

B. Special Incident Reporting Requirements.

- 1) Contractor shall report any and all Special or Unusual Incidents pursuant to Section 54327 of Title 17, including, but not limited to:
  - a) The Consumer is missing and Contractor or long-term health care facility has filed a missing person's report with a law enforcement agency;
  - b) Reasonably suspected abuse/exploitation, including: physical, sexual, fiduciary, emotional/mental, or physical and/or chemical restraint;
  - c) Reasonably suspected neglect, including failure to: provide medical care for physical and mental health needs; prevent malnutrition or dehydration, protect from health and safety hazards, failure to assist in personal hygiene or the provision of food, clothing, or shelter, or exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of a dependent adult;
  - d) Serious injury or accident, including: lacerations requiring sutures or staples, puncture wounds requiring medical treatment beyond first aid, fractures, dislocations, bites that break the skin and require medical treatment beyond first aid, internal bleeding requiring treatment beyond first aid, any medication errors, medication reactions that require medical treatment beyond first aid, and burns that require medical treatment beyond first aid;
  - e) Any unplanned or unscheduled hospitalization due to the following conditions: respiratory illness, seizure-related, cardiac-related, internal infection, diabetes, including diabetes-related complications, wound/skin care, nutritional deficiencies, or involuntary psychiatric admission;
  - f) The death of any Consumer, regardless of cause and regardless of when or where it occurred; and
  - g) The victimization of a Consumer of the following crimes: robbery, aggravated assault, larceny, burglary, and rape/attempted rape, regardless of when or where such an incident occurred.
- 2) Special Incident Reports shall be made to the appropriate law enforcement authorities and to Center, via telephone, electronic mail or facsimile, immediately upon discovery of the incident, and under no circumstances shall said reporting occur more than twenty-four (24) hours after an incident occurred, was discovered, or was suspected, whichever is earliest. Notification to the assigned Service Coordinator, the Officer of the Day, or the After Hours Response Team, as identified by the Special Projects Unit of Center, shall satisfy the initial reporting requirement.
- 3) Thereafter, within forty-eight (48) hours after the alleged incident occurred, was discovered, or suspected, whichever is earliest, Contractor shall provide Center with a written report describing the alleged incident and Contractor's response thereto. Failure to report any such incident to the appropriate law enforcement

authorities and to Center, and/or failure to provide the required written report, shall constitute a distinct and separate ground for automatic termination of this Contract, notice of which shall be served personally or via certified mail, return receipt requested, to Contractor.

- 4) Written reports of incidents shall include all relevant information, including, but not limited to:

- a) Contractor's name, address and telephone number;
- b) Date, time and location of the incident;
- c) Name(s) and date(s) of birth, and UCI Number(s) of each Consumer involved in the incident and the name, address and phone number of the Consumer's family member(s) or authorized representative(s);
- d) The name, address and phone number of the Consumer's family member(s) or authorized representative(s) who were contacted and informed of the incident;
- e) Description of the incident;
- f) Description of the alleged perpetrator(s) of the incident, including, but not limited to, name, age, height, weight, address, phone number, occupation, and relationship to Consumer;
- g) Treatment provided to Consumer, if any;
- h) Identification of potential witnesses, including, but not limited to, name, address, telephone number and context of witnessing the incident;
- i) Identity of all law enforcement, licensing, protective services and/or other agencies or individuals notified of the incident, or otherwise involved in the incident;
- j) Action(s) taken by Contractor, law enforcement, licensing, protective services and/or other agencies or individuals in response to the incident; and
- k) Dated signature of the person creating the written report on behalf of Contractor.

- 5) Failure to Report. Failure to report any of the foregoing incidents to the appropriate law enforcement authorities and to Center, and/or failure to provide the required written report, shall constitute a distinct and separate ground for automatic termination of this Contract, notice of which shall be served personally or via certified mail, return receipt requested, to Contractor.

- C. Compliance with IPP. Contractor shall follow IPP documentation requirements as set forth in Section 56720 of Title 17 and W&I Code Section 4648 and shall properly train all staff as necessary to ensure the adequacy of said documentation. All Services rendered by Contractor shall be in furtherance of the IPP. Contractor shall not render Services that are not called for in the IPP. Center shall notify Contractor of annual IPP meetings at least five (5) days in advance of all such meetings, unless the Consumer or their legally authorized representative asks that the Contractor not be at the meeting.

- D. Developing ISPs. Contractor agrees to follow “person centered planning philosophies,” as published by DDS, in developing ISPs for Consumers and/or to procure such training as is necessary to ensure adequate staff participation in person centered planning.
- E. Use of Public or Generic Resources. Regional centers are required to use generic services when available. Contractor understands and agrees that if a Consumer or Consumer’s authorized representative chooses not to access available generic services (e.g. IHSS, Medi-Cal, public school, California Children's Service), Center will not be able to pay for the service. Contractor shall utilize, to the fullest extent feasible, those public resources which are available without additional cost to Contractor and which will enhance the quality of Services provided to those Consumers who can benefit from the use of such resources.
- F. Grievance Reports / Complaints. Contractor shall establish, adopt and periodically review a written Internal Grievance Procedure under which Consumers, or their authorized representatives or advocates may file complaints to address their grievances and by which Contractor shall resolve grievances pursuant to W&I Code Section 4705.
- G. Abuse Reporting Training. Contractor shall train all staff regarding legally mandated abuse reporting requirements prior to the employee’s provision of Services to Consumers and annually thereafter.
- H. Staff Background Checks.
- 1) Contractor shall require all staff providing Services having contact with Consumers under this Contract to have cleared a fingerprint /background check that includes the Department of Justice prior to having any contact with Consumers, and annually thereafter, unless clearance is sought through the Department of Social Services or the Trust Line Registry, which includes automatic checks for subsequent arrests. Contractor shall provide Center, upon request, with proof of the fingerprint clearance.
  - 2) Contractor shall require individuals to submit references from prior employers and/or volunteer organizations. Contractor shall verify the accuracy of these references and document the verification in the employee/volunteer personnel file.
  - 3) Contractor, within the scope of and direction of Contractor’s agency exclusively, agrees to develop, retain, and implement employment policies and programs to encourage and promote Contractor’s employee fitness during performance of service delivery tasks connected with the intended beneficiaries (Center’s consumers) within Contractor’s program design, California Code of Regulations Title 17 and Title 22 and Direct Support Professional requirements satisfying the principal purpose of “agreement” between Center and Contractor.
8. **Payment for Services.** The Parties fully understand and agree that payment under this Contract is dependent upon available Federal and/or State funding. Contractor expressly acknowledges that the State and/or Federal Government may, from time to time during the Term of this Contract, require changes to the rate of payment that Center pays Contractor. Accordingly, Contractor expressly acknowledges and agrees that adjustments to the rate of payment described herein may be made by Center. Center will notify Contractor, in writing, of any such adjustments



to the rate of payment and of the effective date of any adjustment. Contractor expressly understands and agrees that Contractor shall have no right to object to any such adjustments and that any such adjustments shall not give rise to any cause of action, in law or equity, against Center. In the event that Center is not allocated any funds for the Services referenced in this Contract, this Contract shall be null and void and of no further force and effect, in which event, Center shall have no obligation to pay any funds whatsoever to Contractor, or to furnish any other consideration under this Contract, and Contractor shall not be obligated to perform pursuant to this Contract. Payment to Contractor shall only be made according to the following terms:

- A. Reliance on Authorization to Purchase Services. All payments shall be made in accordance with the Authorization to Purchase Services provided to Contractor by Center. Payments shall not be made based upon oral authorization to provide services.
- B. Rate of Payment.
  - 1) The rate paid to Contractor by Center shall be cost effective to the State of California.
  - 2) Contractor shall not charge Center a rate for Services to Consumers that is more than Contractor would charge, or is charging, for any other purchase of the same or substantially similar service.
  - 3) Center shall pay Contractor at the rate described in Exhibit B which shall constitute the total amount of compensation for Contractor's performance of this Contract.
  - 4) Contractor also understands and agrees that the maximum amount described in Exhibit B is the highest total amount which can be paid by Center to Contractor under this Contract, unless otherwise agreed to in writing by the Parties. Contractor further understands and agrees that if the maximum amount exceeds the amount described in Exhibit B, this Contract is not valid unless and until it is approved by Center's Governing Board. Nothing in this Contract shall be deemed to guarantee that Contractor will receive the maximum amount of compensation provided for in Exhibit B, or any compensation at all, as Center cannot guarantee the amount of Services that Contractor will be asked to provide for Consumers.
- C. Payment in Arrears. Center shall pay Contractor in arrears for Services provided in the previous calendar month.
  - 1) Claim Form. Contractor shall bill Center, on a monthly basis, for Services provided in the previous month by submitting to Center a completed and signed claim form in the format provided by Center, or electronically. Contractors who submit their claim forms electronically shall retain all supporting and required source documentation. All other contractors shall submit all supporting and required source documentation with their claim forms. The supporting and required source documentation shall include, at a minimum, the information required by Section 50604(d) of Title 17.
  - 2) Certification. For each claim form submitted, Contractor shall certify under penalty of perjury:

- a) All services for which Contractor is seeking payment have been provided to Center consumers by Contractor; and
    - b) All information submitted to Center along with the claim form is accurate and complete.
  - 3) Time for Submittal of Claim Form. Claim forms, and supporting and required source documentation, if applicable, shall be submitted to Center on or before the tenth (10<sup>th</sup>) day of each month. Contractor understands that at the very latest, Contractor must submit a claim for reimbursement for all Services performed within ninety (90) days of the actual date of service. On each claim form, Contractor shall certify that all Services for which Contractor seeks reimbursement were performed within ninety (90) days of submittal of the claim form. Contractor further understands and agrees that it shall not be paid by Center for any Services that were performed more than ninety (90) days before submittal of a claim form for the Services.
- D. Date for Payment by Center. On or before the twenty-fifth (25<sup>th</sup>) of each month, Center shall pay Contractor according to the information provided in each claim form. Notwithstanding the foregoing, Center shall have no obligation to pay Contractor: if Contractor fails to provide supporting and required source documentation for each claim form submitted to Center on or before the 10<sup>th</sup> of the month, or fails to make such information available in the case of electronic billing; if Center disputes any item submitted by Contractor in conjunction with a claim form; or if the claim form is inconsistent with the Authorization to Purchase Services provided to Contractor by Center.
- E. Limitations on Payment.
- 1) Center's obligation to pay for Services under this Contract arises only when Contractor has provided the Services fully and satisfactorily in accordance with the terms of this Contract.
  - 2) Payments by Center to Contractor shall not be used to supplant the budget of any agency which has a legal responsibility to serve all members of the general public and is receiving public funds for providing those Services. Nor shall payments by Center to Contractor be used to supplement the rate of payment Contractor is receiving from any agency for Services provided to a Consumer.
  - 3) No more than fifteen percent (15%) of Center funds shall be spent by Contractor on Administrative Costs. Funds spent on direct Services (costs immediately associated with Services to Consumers being offered by Contractor) shall not include any Administrative Costs. Administrative Costs, include, but are not limited to:
    - a) Salaries, wages and employee benefits for managerial personnel whose primary purpose is administrative management of the entity, including, but not limited to, directors and chief executive officers;
    - b) Salaries, wages and benefits of employees who perform administrative functions, including, but not limited to, payroll management, personnel functions, accounting, budgeting, and facility management;

- c) Facility and occupancy costs, directly associated with administrative functions;
  - d) Maintenance and repair;
  - e) Data processing and computer support services;
  - f) Contract and procurement activities, except those provided by a direct service employee;
  - g) Training directly associated with administrative functions;
  - h) Travel directly associated with administrative functions;
  - i) Licenses directly associated with administrative functions;
  - j) Taxes;
  - k) Interest;
  - l) Property insurance;
  - m) Personal liability insurance directly associated with administrative functions;
  - n) Depreciation; and
  - o) General expenses, including, but not limited to, communication costs and supplies directly associated with administrative functions.
- F. Payment in full. In accordance with Section 54326(a) (12) of Title 17, Contractor shall accept payment by Center as payment in full for all materials, supplies, or Services provided by Contractor to Consumers. Contractor shall not bill Center, the Consumer, Consumer's parents, guardians, family, conservator, authorized representative, or any other person or agency, for a supplemental amount, regardless of the actual cost of providing the materials, supplies, or Services.
- G. Additional Requirements for Federal Funds. If Federal Government funds are involved, Contractor shall comply with all Federal rules, including, but not limited to, the applicable sections of the Code of Federal Regulations.

## 9. **Record Preparation and Retention Obligation.**

- A. Record Retention Requirement. Contractor shall prepare and retain all service and program financial, accounting, administrative and Consumer-related information, including, but not limited to, complete service records, records related to Contractor's rates, and all books, records, documents and other evidence related to and/or affecting performance of this Contract, the Services provided by Contractor, the billing or invoicing by Contractor, and Contractor's income and expenses ("Contractor's Records"), in accordance with Title 17, including, but not limited to, Section 50604(d) and (e), as applicable, ("Record Retention Requirement").
- B. Record Retention Period. The Record Retention Requirement shall apply for a period of no less than five (5) years following the date of the final payment for the State fiscal year in which Services were rendered by Contractor, provided however, that if an audit is in process, or an appeal of an audit is pending at the end of said timeframe, Contractor's

records shall be retained until all audit exceptions have been resolved ("Record Retention Period"). Contractor shall retain all such records for the Record Retention Period in a separate and distinct location from any of Contractor's records for non-Center Consumers.

- C. Additional Requirements for Financial Records. Contractor shall maintain all financial records by consistently using a single method of accounting. Financial records shall clearly reflect the nature and amounts of all costs and income. All transactions for each month shall be entered into the financial records within thirty (30) days after the end of that month.

**10. Access to Contractor Records and Facilities.**

- A. Access by Authorized Agencies. Throughout the Term of this Contract, and during the Record Retention Period, Contractor shall permit DDS, Center and any other authorized agency representative of the California Department of Health Services and the United States Department of Health and Human Services when Federal Government funds are involved in the payment for Services (collectively, "Authorized Agencies"), access to all of Contractor's Records, and any facilities belonging to, or used by Contractor pertaining to Services provided to persons with developmental disabilities ("Contractor's Facilities").
- B. Scope of Right of Access. All of Contractor's Records and Facilities shall be made immediately available to the Authorized Agencies for audit, review, examination, excerpt, reproduction, and/or making transcripts.
- C. Time for Access. While the Authorized Agencies will endeavor to give twenty-four (24) hours' notice prior to exercising their right of access, the rights of access described in this Section 10 shall not be limited by a requirement of prior notice. Access without prior notice shall, however, be limited to situations where the Authorized Agency determines that the purpose of the access would be thwarted if advance notice were given (i.e. in cases of suspected fraud, imminent destruction of records or similar circumstances). Contractor shall permit the Authorized Agencies to access Contractor's Records and Facilities at any time during Contractor's normal business hours.

- 11. Audits by Center.** Center may audit Contractor's Records pursuant to Title 17, including, but not limited to, Section 50606, *et seq.* Should the results of any audit by the Center or any other Authorized Agency result in a finding that any or all of the payments made by Center to Contractor were in error or were otherwise improper or disallowed based upon the terms of this Contract, Center's contract with DDS, or as a result of any applicable Federal, State or local law or regulation, Contractor shall comply with the provisions of Title 17 relating to repayment and appeal of audit findings and/or recommendations. Should Contractor elect to appeal any audit findings and/or recommendations, Contractor shall utilize and be bound by Sections 50700 *et seq.* of Title 17. Contractor shall accept financial liability for any audit findings and/or recommendations disclosed by an audit and promptly repay amounts owed unless the audit findings are appealed and liquidation is stayed pursuant to Section 50705 of Title 17.

- 12. Audit or Review by Independent Accounting Firm.** Contractor shall contract with an independent accounting firm for an audit or review of Contractor's financial statements, subject to the following:

- A. When the amount received by Contractor from Center and/or any other regional center, during each state fiscal year, is more than or equal to Five Hundred Thousand Dollars (\$500,000), but less than Two Million Dollars (\$2,000,000), Contractor shall obtain an independent review report of its financial statements for the fiscal year that includes the last day of the most recent state fiscal year. Consistent with Subchapter 21 (commencing with Section 58800) of Title 17, this requirement shall also apply to work activity program providers receiving less than Five Hundred Thousand Dollars (\$500,000).
- B. When the amount received by Contractor from Center and/or any other regional center, during each state fiscal year, is equal to or more than Two Million Dollars (\$2,000,000), Contractor shall obtain an independent audit of its financial statements for the fiscal year that includes the last day of the most recent state fiscal year.
- C. Independent review of financial statements must be performed by an independent accounting firm and shall cover, at a minimum, all of the following:
  - 1) An inquiry into Contractor's accounting principles and practices and methods used in applying them;
  - 2) An inquiry into Contractor's procedures for recording, classifying, and summarizing transactions and accumulating information;
  - 3) Analytical procedures designed to identify relationships or items that appear to be unusual;
  - 4) An inquiry about budgetary actions taken at meetings of the board of directors or other comparable meetings;
  - 5) An inquiry about whether the financial statements have been properly prepared in conformity with generally accepted accounting principles and whether any events subsequent to the date of the financial statements would have a material effect on the statements under review; and
  - 6) Working papers prepared in connection with a review of financial statements describing items covered as well as any unusual items, including their disposition.
- D. Independent review report shall cover, at a minimum, all of the following:
  - 1) Certification that the review was performed in accordance with standards established by the American Institute of Certified Public Accountants;
  - 2) Certification that the statements are the representations of management;
  - 3) Certification that the review consisted of inquiries and analytical procedures that are lesser in scope than those of an audit; and
  - 4) Certification that the accountant is not aware of any material modifications that need to be made to the statements for them to be in conformity with generally accepted accounting principles.
- E. Contractor shall provide copies of the independent audit or independent review report and accompanying management letters to Center within thirty (30) days after completion of the audit or review. Center shall review and require resolution by Contractor of issues identified in the report that have an impact on Center services. Center shall take appropriate action, up to termination of vendorization, for lack of adequate resolution of

issues. Center shall notify DDS of all qualified opinion reports, or reports noting significant issues that directly or indirectly impact Center services, within thirty (30) days after receipt, which notification shall include a plan for resolution of the issues.

- F. The requirements of this Section 12 do not apply to payments made using usual and customary rates, as defined by Title 17 for services provided by regional centers, or to State and local government agencies, the University of California, or California State University.

13. **Disclosure of Survey Results.** Contractor shall provide Center with information obtained from surveys, questionnaires or other Consumer satisfaction tools relevant to Center's Consumers within thirty (30) days of receiving the same. Contractor shall meet with Center, upon request, to discuss the results of said surveys, questionnaires or other Consumer satisfaction tools, and shall work cooperatively with Center to address any identified areas of deficiency.

14. **Notices.** Any and all notices or other correspondence required to be sent to the Parties shall be deemed delivered when personally delivered or mailed via certified mail, return receipt requested, as follows:

Center: Executive Director  
Valley Mountain Regional Center  
P.O. Box 692290  
Stockton, CA 95269-2290

Contractor: Haven Enterprise dba Denali Home  
1106 West Lagoon Rd.  
Pleasanton, CA 94566

15. **Compliance with Law.** Contractor shall comply with all applicable Federal, State and local laws and regulations in providing Services, expressly including, but not limited to, Titles 17 and 22 of the California Code of Regulations, and the California Welfare and Institutions Code. Contractor expressly acknowledges the applicability of the foregoing, and affirms that it and its employees, agents and everyone acting on Contractor's behalf, have read and agree to abide by the same. Contractor shall comply with the Service Provider Accountability Regulations as set forth in Sections 50601 through 50612 of Title 17. Although this Contract cites to particular laws and regulations, Contractor's obligation to comply with all applicable Federal, State and local laws and regulations is not limited to the specific provisions cited herein. Nothing in this Contract shall be construed as excusing compliance with any existing or future laws or regulations.

16. **Consumer Privacy & Confidentiality.** The Parties shall abide by all applicable Federal, State and local laws and regulations concerning privacy and confidentiality of Consumer records, including, but not limited to, W&I Code Section 4514. The Parties further acknowledge, understand and agree to adhere to their responsibilities as "business associates" under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), as amended, and regulations promulgated there under, including, but not limited to, the Standards for Privacy of Individually Identifiable Health Information at Section 45 of the Code of Federal Regulations, Parts 160 and 164 ("Privacy Regulations"). Accordingly, the Parties shall use Consumer health information only as needed to complete their responsibilities under this Contract and shall not use or disclose Consumer health information for any other purpose. Further, the Parties shall implement and maintain adequate administrative, technical and physical safeguards to prevent improper use or disclosure of such

Consumer health information. As such, concurrently with its execution of this Agreement, Contractor shall execute the Business Associate Agreement, attached to this Agreement and incorporated herein as Exhibit E.

17. **Compliance with Center Policy.** Contractor agrees to comply with all Center policies and procedures as they pertain to Contractor's provision of Services to Center's Consumers, the administration of payments to Contractor, contracts, financial matters, record keeping and retention, and audits. All such policies and procedures are available for review by Contractor at Center's office.

18. **Non-Discrimination.**

- A. **Provision of Services.** Contractor shall not discriminate in the provision of Services on the basis of race, color, creed, national origin, religion, sex, age or condition of physical or mental disability, or any other protected class. Contractor shall comply with Title VII of the Civil Rights Act of 1964 (42 U.S.C. §2000d), as amended, rules and regulations promulgated pursuant thereto, and all Federal, State and local laws and regulations governing discrimination.
- B. **Employment.** Contractor shall not discriminate against any employee or applicant for employment, and shall take action to ensure that employees and applicants are treated equally without regard to their race, color, creed, national origin, religion, sex, age or condition of physical or mental disability, or any other protected class.

19. **Effect of Legal History.** People (including individuals, partnerships, group associations, corporations, institutions, or entities, and the officers, directors, owners, managing employees or agents thereof) with the following legal histories, within the previous ten (10) years, shall be ineligible to be a Contractor or Contractor's employee:

- A. People convicted of the following crimes:
- 1) Any felony or misdemeanor involving fraud or abuse in any government program;
  - 2) Any felony or misdemeanor related to neglect or abuse of an elder or dependent adult or child; or
  - 3) Any felony or misdemeanor in connection with interference with, or obstruction of, any investigation into health care related fraud or abuse.
- B. People found liable for fraud or abuse in any civil proceeding.
- C. People that have entered into a settlement in lieu of conviction for fraud or abuse in any governmental program.

20. **Contractor Licensing.** Contractor affirms that it has obtained, and will maintain in good standing, all licenses and certificates required by all applicable Federal, State and local laws for the Services Contractor is providing. Contractor shall disclose, in writing, any past, present or pending revocation, denial or adverse action against any of Contractor's licenses or certificates within fifteen (15) days of receiving notice of such action.

21. **Contractor's Affirmation Regarding Program-Related Documents.** Contractor affirms that all fiscal and Services program-related documentation that has been provided to Center, and that will be provided to Center in the future is, and will be complete, accurate, supported by records and source documentation, prepared in accordance with Center's instruction, and available for

inspection and audit by Center and DDS. Contractor affirms its understanding that payments made by Center to Contractor pursuant to this Contract will come from Federal and/or State funds, and any falsification or concealment of a material fact may be prosecuted under Federal and/or State laws.

- 22. Indemnification.** Contractor agrees to indemnify, defend and hold harmless, Center, DDS and the State of California, its officers, agents and employees, from and against any and all claims, losses, expenses, damages, injuries, liability and causes of action, of any nature whatsoever (collectively, "Claims"), that in any way accrues or results to Contractor, Center, DDS or the State of California and/or any of their employees, agents, attorneys, successors, assigns, subcontractors, materialmen, laborers or any other person, firm or entity that may be injured or damaged by any act, omission or negligence of Contractor and/or any of its employees, officers, directors, agents, or any person acting on Contractor's behalf in the performance of this Contract. This indemnification provision extends to include any and all costs or expenses, including, but not limited to, attorney's fees and expert fees incurred by Center, DDS and the State of California as the result of any such Claims, and shall survive termination of this Contract. Contractor's obligation to indemnify, defend and hold harmless as described herein shall apply regardless of whether Contractor has acted negligently or intentionally.
- 23. Insurance.** Contractor shall procure and maintain, at its own expense, throughout the term of this Contract, a policy of personal liability insurance in the minimum amount of One Million Dollars (\$1,000,000), under which Contractor shall name Center as an additional insured. The "additional insured" portion of Contractor's insurance policy shall include the following language: "Such insurance as is afforded by the endorsement of the additional insured shall apply as primary insurance. Any other insurance maintained by Valley Mountain Regional Center, or its officers or employees, shall be in excess only, and shall not contribute to the insurance afforded by this endorsement." Contractor shall require its insurance company(ies) to notify Center of any renewal and/or cancellation of the insurance policy required by this Contract. Copies of Contractor's insurance policies and the required endorsements shall be provided to Center within five (5) calendar days after the insurance is obtained and after all renewals or cancellations of any policy. Failure by Contractor to maintain the insurance policy required by this Contract shall constitute grounds for immediate termination of this Contract.
- 24. No Agency or Employment Relationship.** Nothing in this Contract shall be construed to create any type of agency or employment relationship between Center and Contractor. Contractor, its employees, agents, attorneys, successors, assigns, subcontractors, materialmen, laborers and any other person acting on Contractor's behalf shall act in an independent capacity, as an independent contractor, and not as an officer, employee or agent of Center, DDS or the State of California. Contractor, and all persons acting on Contractor's behalf, shall not hold themselves out as, nor claim to be, an officer, employee or agent of Center, DDS or the State of California as a result of this Contract, and shall not make any application, claim or demand for any right or privilege applicable to any officer, employee or agent of Center, DDS or the State of California, including, but not limited to, unemployment insurance, disability insurance, worker's compensation insurance, or retirement benefits.
- 25. No Assignments.** This Contract, and the rights, duties and obligations described herein shall not be assigned. Notwithstanding the foregoing, Contractor shall be permitted to factor or assign its accounts receivable from Center, if, and only if, such factoring or assignment is required in order to obtain equipment financing, and if, and only if, such factoring or assignment is not otherwise



prohibited by law. Any such factoring or assignment shall not be effective unless approved by Center, in writing, which approval shall not be unreasonably withheld.

26. **No Subcontracts.** The Services for which Contractor is Vendored shall not be subcontracted to any other person or entity, except pursuant to prior written consent of Center, and any such subcontracting shall be in accordance with Title 17.
27. **Breach or Default by Contractor.** In the event of Contractor's breach or default under this Contract, Center shall be permitted to either give Contractor notice of the breach or default and a reasonable opportunity to remedy the same, or terminate this Contract pursuant to Section 4(B) hereof. This Section 27 shall not prevent Contractor from pursuing any legal or appeal process set forth in Title 17, in which event this Contract shall remain in force until the legal or appeal process is completed. If Contractor suffers any strike, walk-out or work stoppage by its employees, and as a result of such condition, is unable to continue to provide Services required herein for a period of fifteen (15) days, Center shall be entitled to procure the Services that Contractor is unable to provide from another vendor, and Contractor shall not be compensated for any such substituted service. In such case, Center may, in its sole discretion, terminate this Contract and obtain a replacement for the Services for its Consumers.
28. **Excuse of Performance.** Neither Party shall be deemed in default of any of the provisions of this Contract in the event that the Party's failure to perform is the result of an earthquake, flood or other act of God, fire, riot insurrection, commandeering of vehicles, material, equipment or facilities by the government, strike, labor dispute or work stoppage, or similar cause beyond the Party's control, which renders performance impossible, when evidence thereof is presented to the other Party.
29. **Time of the Essence.** Time is of the essence in relation to each and every provision of this Contract.
30. **Materiality of Each Provision.** Each and every provision, term and condition of this Contract is considered material to this Contract.
31. **Integration.** This Contract contains the entire agreement between the Parties, and replaces any prior representations, agreements or understandings, oral or written, that may have existed between the Parties. The Parties agree that any modification or supplementation of this Contract shall be in writing, signed by the Parties' respective representatives, and must refer specifically to this Contract and the provision(s) modified or supplemented. No other modifications or supplements will be valid. The execution of any modification or supplementation of this Contract shall comply with the requirements of all applicable laws and regulations.
32. **Governing Law.** This Contract, and any other documents to which it refers, shall in all respects be interpreted, enforced and governed by the laws of the State of California and the United States of America. This Contract is specifically subject to restrictions, limitations and conditions enacted by the California Legislature, contained in the Annual Budget Act, and/or any contract between Center and DDS, and this Contract shall be modified by the Parties to the extent necessary to ensure compliance with the same.
33. **Mutual Drafting.** This Contract shall be construed as a whole according to its fair meaning, and not strictly for or against any of the Parties. The Parties acknowledge that this Contract documents a negotiated agreement and it shall not be construed or interpreted in favor of either Party due to the fact that one of the Party's attorneys drafted this Contract.

34. **Severability.** If any provision of this Contract is deemed invalid or unenforceable pursuant to the provisions set forth in Section 36, the unenforceability thereof shall not affect the remaining provisions of this Contract and the remaining provisions of this Contract shall remain in full force and effect.
35. **Section Headings.** Section headings used in this Contract are for convenience only, are not part of the Contract, and shall not affect any of the terms hereof.
36. **Resolution of Disputes.** Any and all disputes, controversies or claims arising out of or relating to this Contract shall be resolved pursuant to the grievance procedures set forth in Title 17, if applicable. If the grievance procedures set forth in Title 17 do not apply to a dispute, or after exhausting the applicable grievance procedures set forth in Title 17, all remaining disputes, controversies or claims shall be settled by a mutually agreed upon arbitrator in accordance with the rules of the American Arbitration Association. The arbitrator's decision may be entered as a judgment in any court having jurisdiction or may be appealed to the Superior Court of California in and for the County of San Joaquin within thirty (30) days of issuance.
37. **Attorney's Fees and Costs.** In the event of an appeal of an audit finding, hearing before the Office of Administrative Hearings, arbitration, or should either Party bring an action in law or equity, in which a Party incurs attorney's fees to enforce the terms of this Contract, the prevailing party thereto may recover reasonable attorney's fees and all costs incurred in connection therewith, including, but not limited to, fees for reasonably necessary expert witnesses.
38. **Exclusive Benefit.** This Contract, and each and every provision hereof, is for the exclusive benefit of the Parties hereof and not for the benefit of any third party.
39. **Counterparts.** This Contract may be executed in duplicate counterparts, each of which shall be deemed an original, but all of which shall together constitute one and the same instrument.
40. **Delivery by Facsimile or Electronic Mail.** Duly executed signatures to this Contract may be delivered by facsimile or electronic mail, and signature pages delivered by such method shall be deemed equivalent to and of the same force and effect as original signature pages.
41. **Successors and Assigns.** This Contract is binding on the successors and assigns of the Parties.
42. **Execution of Contract.** By signing this Contract, the Parties confirm that they have read this Contract, understand its contents, agree to its terms, have consulted with their respective attorneys, and are voluntarily entering into this Contract. The Parties warrant that the person signing on behalf of each Party is an authorized representative of that Party.

Accepted and agreed to:

Valley Mountain Regional Center

Douglas Bonnet

Dated: 01 / 05 / 2024

By: Douglas Bonnet  
Its: Interim Executive Director

Contractor  
Haven Enterprise dba Denali Home

*chrisduldulao@gmail.com*

Dated: 01 / 02 / 2024

By: Christopher Duldulao  
Its: Chief Operating Officer

*dennisramas@yahoo.com*

Dated: 01 / 02 / 2024

By: Dennis Ramas  
Its: Chief Financial Officer

## EXHIBIT A SERVICES

1. Contractor is vendored by Center pursuant to Title 17, Section 54356 as a DSS Licensed-Specialized Residential Facility (Elderly) (Habilitation) - Service Code 113.

"A regional center shall classify a vendor as a DSS Licensed-Specialized Residential Facility provider if the vendor operates a residential care facility licensed by the Department of Social Services (DSS) for individuals with developmental disabilities who require 24-hour care and supervision and whose needs cannot be appropriately met within the array of other community living options available. Primary services provided by a DSS Licensed-Specialized Residential Facility may include personal care and supervision services, homemaker, chore, attendant care, companion services, medication oversight (to the extent permitted under State law) and therapeutic social and recreational programming, provided in a home-like environment. Incidental services provided by a DSS Licensed-Specialized Residential Facility may include home health care, physical therapy, occupational therapy, speech therapy, medication administration, intermittent skilled nursing services, and/or transportation, as specified in the IPP. This vendor type provides 24-hour on-site response staff to meet scheduled or unpredictable needs in a way that promotes maximum dignity and independence, and the provision of supervision and direct care support to ensure the consumers' health, safety and well-being. Other individuals or agencies may also furnish care directly, or under arrangement with the DSS Licensed-Specialized Residential Facility, but the care provided by these other entities must supplement the care provided by the DSS Licensed-Specialized Residential Facility and does not supplant it. Regional Center monitoring of the DSS Licensed-Specialized Residential Facility shall be in accordance with the applicable state laws and licensing regulations, including Title 17, and the regional center admission agreement. Payment for services in a DSS Licensed-Specialized Residential Facility must be made pursuant to Title 17, Section 56919 (a), after the regional center obtains approval from the Department for payment of the prevailing rate or, pursuant to Welfare & Institutions Code, Section 4648 (a)(4), the regional center may contract for the provision of services and supports for a period of up to three years, subject to the availability of funds."

2. Additional Definitions:

- A. "Direct Care Staff" means staff who personally provide hands-on training provided by the vendor in accordance with the requirements of consumers' Individual Program Plan and the provisions of Title 17, Section 56722 of California Code of Regulations.
- B. "Direct Care Staff" means staff that personally provide hands-on training provided by the vendor in accordance with the requirements of consumers' Individual Program Plan and the provisions of Title 17, Section 56722 of California Code of Regulations, and who are involved in program preparation functions in accordance with the provisions of Title 17, Section 54302(a)(23).
- C. "Generic Agency" means any agency which has a legal responsibility to serve all members of the general public, and which is receiving public funds for providing such services.
- D. "Natural Environments" means places and social contexts commonly used by individuals without disabilities.
- E. "Person Centered Planning" means an approach to determining, planning for, and working toward the preferred future of a person with developmental disabilities and her or his family.

- F. "Residential Care Facility for the Elderly" means a housing arrangement chosen voluntarily by the resident, the resident's guardian, conservator or other responsible person; where 75 percent of the residents are sixty years of age or older and where varying levels of care and supervision are provided, as agreed to at time of admission or as determined necessary at subsequent times of reappraisal. Any younger residents must have needs compatible with other residents. Title 22 Division 6, Chapter 8

3. Specific Consumer Services.

- A. Contractor agrees to provide the Specialized Residential (Elderly) services in a licensed RFCE located at 151 Sagebrush Court Valley Springs, CA 95252
- B. Denali Home will be vendored for a total of four (4) beds. The facility will have private bedrooms and zero shared rooms.
- C. Denali Home will be a specialized residential facility (elderly persons; age 60 and up) for intellectually and developmentally disabled male or female ambulatory children between the ages of 60 and over who may have mild to moderate behavior deficits, mild to moderate self-care deficits who would benefit from awake nighttime staffing.
- D. Contractor agrees to support elderly individuals with deficits in physical coordination and mobility by assuring grab bars and ramps are maintained in good repair.
- E. Contractor agrees to support elderly individuals who demonstrate disruptive and self-injurious behaviors by creating behavior plans to minimize negative behaviors and to promote positive and safe alternatives to behavior excess.
- F. Contractor agrees to support elderly individuals who demonstrate deficits related to social skills and social behaviors, by promoting socially acceptable relationships thru teaching and reinforcing appropriate behaviors and by offering more opportunities for community integration.
- G. Contractor agrees to provide services in accordance with Contractor's Program Design attached hereto as Exhibit C and incorporated herein by reference.
- H. Refusal to accept a referral is limited to individuals who are judged to be beyond the scope of the "target population" contained in the approved program design (Exhibit C).
- I. Contractor agrees to adhere to the Zero Tolerance Policy for Consumer Abuse or Neglect attached hereto as Exhibit D.
- J. The contractor hereby warrants that all work will be performed in accordance with accepted professional practices and standards as well as the requirements of applicable federal, state, and local laws.
- K. Contractor agrees that this facility shall be operated in accordance with Title 17, Division 2 of the California Code of Regulations concerning services for persons with developmental disabilities, Title 22, Division 6 of the California Code of Regulations concerning licensure of community care facilities, and Center's "Best Practice in Licensed Residential Services."
- L. Contractor under this agreement is obligated to the following service delivery model:

- 1) Facility Management Oversight- a “Facility Administrator” for Group Homes for Children certified by Community Care Licensing of no less than 20 hours weekly as required by Center’s Best Practice in Licensed Residential Services, or more if needed. A full-time 40 hour per week “House Manager” to assist in the oversight of the facility operations and implementation of components of the specialized residential service.
  - 2) Staffing Ratios and Consumer Supervision- at a ratio to meet consumer supervision needs, two hundred and fifty two (252) hours of additional weekly direct care staffing above the required 24-hour staff person; one (1) awake-night staff.
  - 3) Assessment and support strategies shall incorporate the diverse and multi-cultural uniqueness of each individual.
  - 4) Consultant Services: consultant services shall be no less than 16 hours per resident, every six months. Consultants shall be based on the needs of the individual and based on input from the planning team.
- M. Contractor acknowledges that Contractor does not obtain by this Contract a guarantee and/or a vested right to have any particular consumer(s) and/or any number of consumers assigned, transferred or sent to Contractor under this Contract other than the number(s) specified in Section 1 of this Contract. Further, Contractor shall not limit and/or interfere with Center’s right under Title 17 regulations to secure for any consumer served by Contractor additional and/or alternative services which the consumer’s Planning Team has determined as needed.
- N. Contractor is to provide appropriate personnel and equipment to perform the services contracted for herein.
- 1) The contractor agrees to follow IPP documentation requirements as stated in Title 17, California Code of Regulations, Sections 56022 and 56026, and to provide such training to its staff as needed to assure adequacy of documentation.
  - 2) Contractor agrees to follow person centered planning philosophies as published by DDS for consumers receiving services, and to provide and/or procure such training as needed to ensure adequate staff participation in person centered planning.
  - 3) Contractor agrees to notify consumers, service coordinators, care providers, and other significant persons of annual review staffing in advance. Center agrees to notify Contractor of annual or IPP meetings in advance.
  - 4) Contractor agrees that it shall submit written quarterly reports to the Center’s case management staff for individual consumers reflecting progress toward the agreed upon Individual Program Plan (IPP) objectives.

4. Authorization to Purchase Services Required.

- A. Contractor may not initiate any Services for Consumers until it has received a duly executed Authorization to Purchase Services from Center. Center will not be responsible for any services performed without written authorization.
- B. Program Managers and the After-Hours Response team are the only Center staff who are designated to give verbal authorization for services or to provide additional services beyond the authorized hours.
- C. Verbal authorization must be followed up within 15 days from the initiation of the requested services by a formal letter of request by the Contractor in order to receive a duly executed Authorization to Purchase Services from the Center.
- D. Contractor must maintain separate records to support direct service billing for each consumer served and indirect or administrative billing performed during the course of providing services.

5. Contractor Staff.

- A. Contractor hereby warrants that all work will be performed in accordance with accepted professional practices and standards as well as the requirements of applicable federal, state, and local laws.
- B. Contractor shall contract directly with its staff and shall provide all staff necessary to perform the Services for each Consumer to whom Contractor has agreed to provide services.
- C. Contractor's staff shall be employees of Contractor. Contractor shall be solely responsible for procuring liability insurance for all staff, for payment of any and all applicable unemployment insurance, disability insurance, worker's compensation insurance, federal and state taxes, benefits, and any other required withholdings for all staff.
- D. Contractor shall comply with all applicable laws and regulations regarding the hiring, retention, training, licensure and certification of Direct Care Staff and personnel.
- E. Contractor certifies that all direct care staff providing services under this Contract hold necessary certification and/or licenses required by Title 17, California Code of Regulations and/or Title 22, California Code of Regulations or other pertinent State or Federal regulations.
  - 1) Contractor agrees that all direct care staff providing services under this Contract shall be currently certified to provide first aid, Cardiopulmonary Resuscitation, and any other emergency services required by law or regulation.
  - 2) Contractor shall provide to all direct care staff providing services under this Contract continuing education training on the needs of developmentally disabled persons relevant to the accepted program design (Exhibit C) as per Title 17 Section 56038 et seq. Center may assist in such in-service training if requested to do so by Contractor. Contractor shall provide to Center proof of in-service training upon request.

- F. Contractor agrees that all staff or volunteers having contact with consumers under this Contract will have cleared a fingerprint/background check that includes the Department of Justice prior to employment and contact with consumers. Contractor will:
- 1) Contractor shall require all staff providing Services having contact with Consumers under this Contract to have cleared a fingerprint /background check that includes the Department of Justice prior to having any contact with Consumers, and annually thereafter, unless clearance is sought through the Department of Social Services or the TrustLine Registry, which includes automatic checks for subsequent arrests. Contractor shall provide Center, upon request, with proof of the fingerprint clearance.
  - 2) Contractor shall require individuals to submit references from prior employers and/or volunteer organizations. Contractor shall verify the accuracy of these references and document the verification in the employee/volunteer personnel file.
  - 3) Contractor, within the scope of and direction of Contractor's agency exclusively, agrees to develop, retain, and implement employment policies and programs to encourage and promote Contractor's employee fitness during performance of service delivery tasks connected with the intended beneficiaries (Center's consumers) within Contractor's program design, California Code of Regulations Title 17 and Title 22 and Direct Support Professional requirements satisfying the principal purpose of "agreement" between Center and Contractor.



**EXHIBIT B**  
**RATE OF PAYMENT**

1. Center shall pay Contractor at the following Negotiated Rate:
  - A. \$21,478.79 per consumer per month, inclusive of SSI.
  - B. Center will pay the difference between the rate listed in 1A and the current SSI amount; this amount is referred to as the "Rate Supplement."
  - C. Should Contractor accept a respite or short-term placement (less than a full month), the rate will be the monthly rate divided by 21.
  - D. This rate shall constitute the total amount of compensation for Contractor's performance of this Contract.
2. \$1,050,373.92 is the maximum amount which can be paid by Center to Contractor under this Contract, unless otherwise agreed to in writing by the Parties.
3. Contractor attests that fiscal and program related documentation is complete, accurate to the best of Contractor's knowledge, supported by records and source documentation, prepared in accordance with instructions provided by the Department and subject to audit.
4. Both parties to this Contract have come to a full understanding and agreement of a specified method used to accumulate data contained in the Contractor's documentation. Contractor attests that it utilizes said specified methods.
5. Center has informed Contractor of the applicable and correct median rate for the services to be provided under this Contract.

**EXHIBIT C**  
**PROGRAM DESIGN**

## **EXHIBIT D**

### **ZERO TOLERANCE POLICY FOR CONSUMER ABUSE OR NEGLECT**

#### **1.0 STATEMENT OF PURPOSE**

Valley Mountain Regional Center (VMRC) has no tolerance for consumer abuse or neglect. Research studies document that individuals with developmental disabilities have a significantly higher risk of becoming the victims of abuse, neglect, or exploitation as compared to the non-disabled population. In an effort to ensure the health, safety, and security of VMRC consumers, our agency is committed to a "Zero Tolerance" standard for consumer abuse and neglect.

#### **2.0 DEFINITIONS**

Abuse includes, but is not limited to, physical abuse, neglect, financial abuse, abandonment, isolation, abduction, or other treatment which results in physical harm, pain or emotional/mental suffering, or deprivation, by a person providing care and supervision, of goods and services which are necessary to avoid physical harm or emotional/mental suffering further defined as follows:

- **Physical Abuse:** Any act which results in non-accidental injury. The use or attempt to use force on the person of another, unreasonable physical constraint, prolonged or continual deprivation of food and water, chemical restraint, or psychotropic medication without medical authorization used for punishment.
- **Sexual Abuse:** Any non-consenting sexual act or behavior.
- **Financial Abuse:** The illegal or unethical exploitation and/or use of a consumer's funds, property, or other assets.
- **Neglect:** The negligent failure of a person(s) having care or custody of a consumer to exercise a reasonable degree of care including, but not limited to, a failure to assist in personal hygiene and the provision of food, clothing, and shelter, or failure to provide medical care or protect the client from health and safety hazards including a medical diagnosis of failure to thrive.
- **Abandonment:** The desertion of a consumer by someone who is a caregiver or has custody of the consumer under circumstances in which a reasonable person would continue to provide care and custody.
- **Abduction:** The removal, without the consent of the consumer/conservator, of a consumer/conservatee to another state.
- **Isolation:** The intentional preventing of a consumer from receiving mail, telephone calls or visitors when contrary to the expressed wishes of the consumer.
- **Emotional Abuse/Mental Suffering:** Fear, agitation, confusion, severe depression or other forms of emotional distress that is brought about by deprivation, threats, harassment, or other forms of intimidating behavior.

#### **3.0 PRINCIPALS, GUIDELINES**

VMRC and all of their service providers shall ensure employees are fully informed of VMRC's Zero Tolerance Policy on Consumer Abuse and Neglect.

Employees are to be fully informed of the policy upon hire and annually thereafter. Each employee must be knowledgeable of

- 1) their responsibility to protect consumers from abuse and neglect, and
- 2) the signs of abuse and neglect, and
- 3) the process for reporting suspected abuse or neglect, and
- 4) the consequences of failing to follow the law and enforcing this policy.

“Reasonable suspicion” is the standard for reporting abuse and neglect. All VMRC staff who are mandated reporters pursuant to the California Penal Code, and all employees of service providers and long-term care facilities who are mandated reporters will strictly comply with the reporting laws at all times. A mandated reporter must (unless exempt under law) report all consumer abuse to the applicable governmental authorities immediately or as soon as practicable after his or her discovery or reasonable belief that consumer abuse has occurred.

Consumer abuse committed by VMRC employees or employees of service providers or long-term care facilities will not be tolerated. All such abuse or allegations of such abuse will be thoroughly investigated. Any VMRC employee found to have engaged in abuse against a consumer will be subject to severe discipline, up to and including termination. Any abuse found to have been committed by a service provider or long-term care facility employee will be referred to the appropriate authorities and the service provider may also be subject to sanctions up to and including devendorization which equates to removal from the list of those authorized to provide services for any and all regional center consumers.

## REPORTING PROCEDURES

Suspected abuse must be reported immediately by calling the appropriate 24-hour hotline and followed by a written report within 2 days of receiving the information concerning the incident.

If you suspect that a child is being abused, contact Child Protective Services:

- San Joaquin County (209)468-1333
- Stanislaus County (800)558-3665
- Amador County (209)223-6550 or (209)223-1075 (After Hours)
- Calaveras County (209)754-6452 or (209)754-6500 (After Hours)
- Tuolumne County (209)533-5717 or (209)533-4357 (After Hours)

If you suspect that an adult is being abused, contact Adult Protective Services:

- San Joaquin County (209)468-2202 or (888)800-4800
- Stanislaus County (800)336-4316
- Amador County (209)223-6550 or (209)223-1075 (After Hours)
- Calaveras County (209)754-6452 or (209)754-6500 (After Hours)

- Tuolumne County (209)768-7753 or (209)533-HELP (4357) (After Hours)

Ombudsman's Offices:

- San Joaquin (209)468-3785
- Stanislaus (209)529-3784
- Amador (209)532-7632
- Calaveras (209)532-7632
- Tuolumne (209)532-7632

**EXHIBIT E**  
**BUSINESS ASSOCIATE AGREEMENT**

# Signature Certificate

Reference number: ACZNM-BVGZH-EAZDK-O2GQT

## Signer

## Timestamp

## Signature

Email: chrisduldulao@gmail.com

Sent:

03 Jan 2024 00:52:16 UTC

Viewed:

03 Jan 2024 00:56:11 UTC

Signed:

03 Jan 2024 00:56:54 UTC

*chrisduldulao@gmail.com*

### Recipient Verification:

✓ Email verified

03 Jan 2024 00:56:11 UTC

IP address: 98.35.223.154

Location: Oakley, United States

Email: dennisramas@yahoo.com

Sent:

03 Jan 2024 00:52:16 UTC

Viewed:

03 Jan 2024 01:20:36 UTC

Signed:

03 Jan 2024 01:25:10 UTC

*dennisramas@yahoo.com*

### Recipient Verification:

✓ Email verified

03 Jan 2024 01:20:36 UTC

IP address: 97.73.128.16

## Douglas Bonnet

Email: dbonnet@vmrc.net

Sent:

03 Jan 2024 00:52:16 UTC

Viewed:

05 Jan 2024 22:54:35 UTC

Signed:

05 Jan 2024 22:55:36 UTC

Douglas Bonnet

### Recipient Verification:

✓ Email verified

05 Jan 2024 22:54:35 UTC

IP address: 67.169.232.89

Location: Stockton, United States

Document completed by all parties on:

05 Jan 2024 22:55:36 UTC

Page 1 of 1



Signed with PandaDoc

PandaDoc is a document workflow and certified eSignature solution trusted by 50,000+ companies worldwide.



## Contract Summary and Board Resolution

Valley Mountain Regional Center's Board of Directors reviewed the contracts below and passed the following resolution:

**RESOLVED THAT** in compliance with VMRC's BOD Contract Policy, the contracts listed below between VMRC and stated vendors were reviewed and approved by the VMRC BOD in Stockton, CA and Board hereby authorized any Officer of the corporation to execute the Agreement without material changes but otherwise on such terms deemed satisfactory to such Officer.

1 Above the Clouds PV1719 116	\$ 1,020,000
2 Family Therapeutic Services SV0027 896	\$ 1,680,000
3 Howard Prep HV0306 515	\$ 600,000
4 Howard Prep HV0206 954	\$ 307,562
5 Howard Prep HV0203 950	\$ 889,203
6 Howard Prep HV0157 855	\$ 393,117
7 Howard Prep H29489 904	\$ 480,000
8 Howard Prep HV0205 954	\$ 412,795
9 Howard Prep H29188 510	\$ 660,331
10 Jacktone Road Care Home HV0533 113	\$ 727,052
11 MedicAlert Foundation Z15640 051	\$ 360,000
12 The Golden Touch SV0026 896	\$ 624,000

VMRC Board of Directors hereby authorizes and designates any office of VMRC to finalize, execute and deliver the Contract on behalf of VMRC, in such form as VMRC's counsel may advise, and on such further terms and conditions as such Officer may approve. The final terms of the Contract shall be conclusively evidence by the execution of the Contract by such Officer. For purposes of this authorization, and "Officer" means VMRC's Executive Director, Chief Financial Officer and no one else.

**Certification by Secretary:** I certify that: (1) I am the Secretary of VMRC; (2) the foregoing Resolution is a complete and accurate copy of the Resolution duly adopted by VMRC's Board of Directors; (3) the Resolution is in full force and has not been revoked or changed in any way.

---

Lisa Utsey, Board Secretary

Date



# Valley Mountain Regional Center

## Contract Status

AS OF: December 30, 2023

	OPS	Grant & Other Allocated Funds	Wellness Grant	POS including Federal C	General Total	OPS CPP	START	POS CPP	FG/SC Total
<b>Current FY 2023</b>									
Contract Year E-1	55,388,825			350,864,354	406,253,179	490,914		148,855	578,369
Spent to Date	23,721,842			152,943,488	176,665,331	4,325		-	241,282
Unspent	31,666,983			197,920,866	229,587,849	486,589		148,855	337,087
<b>Prior FY 2023</b>									
Contract Year D-3	47,041,601	626,914		338,893,808	386,562,323	490,914		2,278,313	560,300
Spent to Date	46,867,882	-		318,480,414	365,348,295	490,914	-	366,481	440,682
Unspent	173,719	626,914		20,413,394	21,214,028	-	-	1,911,832	119,618
<b>2nd Prior FY 2022</b>									
Contract Year C-4	39,192,861	759,403	1,845,089	289,521,453	331,318,806	486,684	1,135,000	1,224,831	497,593
Spent to Date	39,141,039	662,226	510,995	259,006,166	299,320,427	486,684	836,036	709,461	344,428
Unspent	51,822	97,177	1,334,094	30,515,287	31,998,379	(0)	298,964	515,370	153,165

## POS EXPENDITURES

December 19, 2023

	Year to Date	Prior Year to Date	Budget	% of Total Budget
Community Care Facility	53,499,735	35,241,974	121,400,000	44.1%
ICF/SNF FACILITY	150,863	52,306	360,000	41.9%
Day Care	485,304	395,322	1,224,000	39.6%
Day Training	21,698,150	13,895,285	47,296,054	45.9%
Supported Employment	907,149	566,847	2,800,000	32.4%
Work Activity Program	64,367	141,619	403,147	16.0%
Non-Medical Services-Professional	520,753	413,911	1,190,586	43.7%
Non-Medical Services-Programs	12,138,543	8,579,847	27,400,000	44.3%
Home Care Services-Programs	673,016	548,888	2,000,000	33.7%
Transportation	9,004,196	1,194,398	20,300,000	44.4%
Transportation Contracts	1,940,072	2,224,483	8,691,406	22.3%
Prevention Services	7,626,867	5,148,744	16,580,000	46.0%
Other Authorized Services	22,464,475	11,488,257	50,678,361	44.3%
P&I Expense	40,084	25,804	180,000	22.3%
Medical Equipment	65,079	75,541	356,480	18.3%
Medical Care Professional Services	2,825,851	1,389,157	6,844,000	41.3%
Medical Care-Program Services	4,905	3,617	40,320	12.2%
Respite-in-Home	17,969,695	10,089,133	40,520,000	44.3%
Respite Out-of-Home	737,181	489,637	1,700,000	43.4%
Camps	127,204	33,092	900,000	14.1%
	152,943,488	91,997,864	350,864,354	43.6%
CPP		729,813	148,855	0.0%
<b>Total Purchase of Service</b>	<b>152,943,488</b>	<b>92,727,677</b>	<b>351,013,209</b>	<b>43.6%</b>

ICF SPA RECEIVABLES                      \$                      2,956,177

# OPERATIONS EXPENDITURES

December 30, 2023

	Year to Date	Prior Year to Date	Budget	% of Total Budget
Salaries and Wages	16,514,503	9,663,582	36,100,186	45.7%
Temporary Help	152	3,008	52,000	0.3%
Fringe Benefits	3,031,936	3,381,357	7,871,037	38.5%
Contracted Employees	120,616	44,692	281,333	42.9%
<b>Salaries and Benefits Total</b>	<b>19,667,207</b>	<b>13,092,639</b>	<b>44,304,555</b>	<b>44.4%</b>

	Year to Date	Prior Year to Date	Budget	% of Total Budget
Facilities Rent	1,059,576	830,956	3,140,000	33.7%
Facilities Maintenance	514,340	406,444	1,420,000	36.2%
Information Technology	1,489,786	1,139,725	2,701,398	55.1%
General Office Expense	228,296	221,496	576,000	39.6%
Operating Expenses	150,907	84,432	448,000	33.7%
Equipment	19,779	17,659	64,000	30.9%
Professional Expenses	201,016	108,230	628,000	32.0%
Consultants	119,821	182,081	360,000	33.3%
Office Expenses	67,614	26,358	154,000	43.9%
Travel and Training Expenses	203,502	77,105	523,589	38.9%
Foster Grandparent/Senior Companion Expenses	241,282	118,932	578,369	41.7%
CPP Expense	4,325	9,525	490,914	0.9%
<b>Total Operating Expenses</b>	<b>23,967,449</b>	<b>16,315,582</b>	<b>55,388,825</b>	<b>43.3%</b>

**Operating Expenses:** Telephone, Utilities

**Equipment:** Equipment Purchases, Equipment Contract Leases

**Professional Expenses:** Accounting Fees, Advertising, ARCA Dues, Bank Fees, Insurance, Interest, Legal Fees, Fees, Licenses and Miscellaneous

**Office Expenses:** Consumer Medical Record Fees, Postage and Shipping, Printing

**Travel and Training Expenses:** Board of Director Expense, Travel Admin, Travel Consumer Services