



Valley Mountain Regional Center Finance Committee Meeting

Finance Committee: Linda Collins, Chair and Treasurer,
Margaret Heinz, Connie Uychutin, Alicia Schott, Jose Lara,
Lisa Utsey, Dena Pfeifer

Thursday, 03/05/20, 5:30 PM

VMRC Stockton Office, Cohen Board Room

702 N. Aurora Street

Stockton, CA, 95202

Dial In Number: 209-955-3678, Meeting Number: 3656#, Access Code: 702#

For meeting accommodations, please contact Doug Bonnet at 209-955-3656, or
dbonnet@vmrc.net.



Meeting Book - Valley Mountain Regional Center Finance Committee Meeting

Valley Mountain Regional Center Finance Committee Meeting Agenda

A. Review and Approval of Meeting Agenda Linda Collins	Committee Action	
B. Review and Approval of Finance Committee Meeting Minutes of 02/05/20 Linda Collins	Committee Action	
Fin Com Minutes 02 05 20.docx		Page 3
C. Public Comment Linda Collins		
D. Approval of Contracts over \$250,000 Corina Ramirez	Committee Action	
Contract Board Approval Report 2020-05-31.pdf		Page 6
Contract Board Approval Report 2020-06-30.pdf		Page 13
Bright Futures Combined Contract 20-21.pdf		Page 15
Contract Summary Board Resolution 2020-04.pdf		Page 55
E. Fiscal Department Update Claudia Reed	Committee Action	
1. Acceptance of Contract Status Report (CSR) and Review of Purchase of Service (POS) Expenditures and Operations (OPS) Expenditures Claudia Reed	Committee Action on CSR Only	
2019 - 2020 Financial Statements January for March.pdf		Page 56
2. VMRC Monthly POS Expenditure (PEP) Summary Claudia Reed		
PEP for March 2020.xlsx		Page 59
3. Acceptance of Restricted Donations Claudia Reed	Committee Action	
Poppelwell Fund for March Meeting.xlsx		Page 62
F. Next Meeting - Wednesday, April 1, 2020, 5:30 PM, VMRC Stockton Office, Cohen Board Room		



Finance Committee Meeting Minutes

02/05/2020 | 05:30 PM - 06:30 PM - Pacific Time (US & Canada)

Attendees (18)

Committee Members Present: Margaret Heinz, Board President, Linda Collins, Treasurer and Committee Chair, Jose Lara, Lisa Utsey and Facilitator, Connie Uychutin

Informed Absence: Alicia Schott

Other Board Members Present: Lynda Mendoza, Dena Pfeifer and Facilitator

Staff Present: Christine Couch, Compliance Manager, Claudia Reed, CFO, Corina Ramirez, Administrative Assistant – Resource Development, Tony Anderson, Executive Director, Doug Bonnet, Special Assistant to the Executive Director, Carlos Hernandez, Cultural Specialist

Public Present: Mariela Ramos, Maria Solano, Gricelda Estrada

Linda Collins called the meeting to order at 5:30 PM

A. Review and Approval of Meeting Agenda

Linda asked for a motion to approve the Meeting Agenda. Lisa Utsey made a motion. Margaret Heinz seconded the motion. The Meeting Agenda was approved unanimously.

B. Review and Approval of Finance Committee Meeting Minutes of 01/08/20

Linda asked for a motion to approve the Finance Committee Meeting Minutes of 01/08/20. Lisa made a motion to approve the minutes. Margaret seconded the motion. The Finance Committee Meeting Minutes of 01/08/20 were approved unanimously.

C. Public Comment

Linda mentioned public comments are 3 minutes. When using an interpreter public comments are 6 minutes.

Linda stated this is the only time in the meeting for public comment and questions.

Maria Solano - My son is Nathan Solano and I'm representing him. My son was approved for ABA services on December 2, 2019 with Genesis. Up until today he does not have any services. Tony and Cindy told me it would take 4 weeks and the agency said the same thing. It's been over 2 months, my son has needs, he's 21 years old, very tall, bad behaviors, no services in the home, does not sleep at night. Please start providing ABA services. Now 2.5 months have gone by and he still has no services. I don't have any answers of how long it will take.

D. Approval of Contracts over \$250,000

Corina Ramirez reported that there were no new contracts to approve this month.

Corina will answer questions that the committee had last month.

Prior to the 2008 rate freeze, rates set for Transportation services were determined by negotiations via Cost Statement. When the gas crisis occurred in the early 2000's, it was difficult for our transportation vendors to project their costs and we were at risk of losing service providers for our consumers. So then a 50/50 split cost was negotiated into contracts. Again, vendors were required to submit a Cost Statement, however, this would be done on a monthly basis. We then took the average cost of gas the vendor paid for the previous month and this became the new "base" cost. Any amount above the "base" cost was split amongst the vendor and VMRC. So if their base cost was \$4 per gallon but the new fuel cost average was \$5 per gallon, VMRC would contribute \$0.50 & the vendor would be responsible for the other \$0.50.

When the rate freeze happened in 2008, we needed to lock in a base cost, which is what you see in contracts now. Since the base costs are at a higher price than current fuel costs, VMRC is not contributing to the 50/50 split at this time.

E. Fiscal Department Update

1. **Acceptance of Contract Status Report (CSR)** – Page 9 - Claudia Reed presented the CSR. Linda asked for a motion to approve the CSR. Lisa Utsey made a motion to approve the CSR. Connie Uychutin seconded the motion. The CSR was approved unanimously.
2. **Purchase of Services (POS) and Operations (OPS) Expenditures** – Pages 6 – 7. Claudia Reed presented.
3. **VMRC Monthly Purchase of Service (POS) Expenditure (PEP) Summary** - Claudia Reed. No Report this month.
4. **Acceptance of Restricted Donations** – Pages 8 – 9. Claudia Reed presented. Linda Collins asked for a motion to accept the Restricted Donations. Jose Lara made a motion to accept the Restricted Donations. Margaret seconded the motion. The Restricted Donations were accepted by unanimous approval.

Linda Collins asked if we need to meet every month as a Finance Committee. She will not be able to make the March meeting. The committee agreed to move next month's meeting to Thursday, March 5, 2020, at 5:30 PM.

F. Next Meeting - Thursday, March 5, 2020, 5:30 PM, VMRC Stockton Office, Cohen Board Room

Contract Board Approval Report

Contracts Expiring:
5/31/2020

VendorNumber	VendorName	SrvCode	VendorCategory
PV1282	Communication Station	116	Early Start Specialized Therapeutic Services

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$2,227,938	6/1/2019	5/31/2020	Brian Bennett	RD

Rate

\$123.74/hr-Home Visits; \$163.01/discipline-Intake Elig Evals & Clinical Spec Assmts; \$190.17/Intake Elig Eval w/Family Assmt; \$277.11 per SI Eval; \$0.505/mile-Home Visits

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	5.11%
\$2,341,823	6/1/2020	5/31/2021	Auto Renew Amend	Increase NTE\$		

PropRate

\$123.74/hr-Home Visits; \$163.01/discipline-Intake Elig Evals & Clinical Spec Assmts; \$190.17/Intake Elig Eval w/Family Assmt; \$277.11 per SI Eval; \$0.505/mile-Home Visits

VendorNumber	VendorName	SrvCode	VendorCategory
SV0028	Community Anchor Services SLS	896	Supported Living Services

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$200,000	6/1/2019	5/31/2020	Brian Bennett	RD

Rate

\$31.52 per hour

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	31.78%
\$263,568	6/1/2020	5/31/2022	Auto Renew Amend	Increase NTE\$		

PropRate

\$31.52 per hour

VendorNumber	VendorName	SrvCode	VendorCategory
HV0347	OpenDoor Services Tracy CITP	55	Community Integration Training Program

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$868,217	6/1/2019	5/31/2020	Brian Bennett	RD

Rate

\$88.45/cons/day

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	0.00%
\$868,217	6/1/2020	5/31/2021	Auto Renewal	Extend Contract		

PropRate

\$88.45/cons/day

Contract Board Approval Report

Contracts Expiring:
5/31/2020

VendorNumber	VendorName	SrvcCode	VendorCategory
HV0395	OpenDoor Services Stockton BMP	515	Behavior Management Program

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$2,091,972	6/1/2019	5/31/2020	Brian Bennett	RD

Rate

\$90.40/cons/day (DDS-set rate)

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	8.05%
\$2,260,364	6/1/2020	5/31/2021	Auto Renew Amend	Increase NTE\$		

PropRate

\$90.40/cons/day (DDS-set rate)

VendorNumber	VendorName	SrvcCode	VendorCategory
HV0122	OpenDoor Services Modesto BMP	515	Behavior Management Program

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$1,097,588	6/1/2019	5/31/2020	Brian Bennett	RD

Rate

\$92.73/cons/day (DDS-set rate)

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	14.50%
\$1,256,698	6/1/2020	5/31/2021	Auto Renew Amend	Increase NTE\$		

PropRate

\$92.73/cons/day (DDS-set rate)

VendorNumber	VendorName	SrvcCode	VendorCategory
HV0138	OpenDoor Services Modesto CITP	55	Community Integration Training Program

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$873,708	6/1/2019	5/31/2020	Brian Bennett	RD

Rate

\$88.78/cons/day

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	8.20%
\$945,350	6/1/2020	5/31/2021	Auto Renew Amend	Increase NTE\$		

PropRate

\$88.78/cons/day

Contract Board Approval Report

Contracts Expiring:
5/31/2020

VendorNumber	VendorName	SrvcCode	VendorCategory
HV0137	OpenDoor Services Stockton CTP	55	Community Integration Training Program

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$2,198,350	6/1/2019	5/31/2020	Brian Bennett	RD

Rate

\$89.08 per day of actual attendance

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	0.00%
\$2,198,350	6/1/2020	5/31/2021	Auto Renewal	Extend Contract		

PropRate

\$89.08 per day of actual attendance

VendorNumber	VendorName	SrvcCode	VendorCategory
HV0479	OpenDoor Services, Inc. Manteca CTP	55	Community Integration Training Program

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$538,095	6/1/2019	5/31/2020	Brian Bennett	RD

Rate

\$86.51 per consumer per day

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	22.65%
\$660,000	6/1/2020	5/31/2021	Auto Renew Amend	Increase NTE\$		

PropRate

\$86.51 per consumer per day

VendorNumber	VendorName	SrvcCode	VendorCategory
HV0466	OpenDoor Services, Inc. Manteca BMP	515	Behavior Management Program

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$380,242	6/1/2019	5/31/2020	Brian Bennett	RD

Rate

\$88.12 per consumer, per day (DDS Set rate)

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	52.26%
\$578,948	6/1/2020	5/31/2021	Auto Renew Amend	Increase NTE\$		

PropRate

\$88.12 per consumer, per day (DDS Set rate)

Contract Board Approval Report

Contracts Expiring:
5/31/2020

VendorNumber	VendorName	SrvCode	VendorCategory
PV1291	Steps Intervention Services	116	Early Start Specialized Therapeutic Services

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$656,921	6/1/2019	5/31/2020	Brian Bennett	RD

Rate

\$123.36/hr-Home Visits; \$163.01/discipline-Intake Elig Evals & Clinical Specialist Assmt; \$190.17/Intake Elig Eval w/Family Assmt; \$0.505/mile-Home Visits

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	9.60%
\$720,000	6/1/2020	5/31/2021	Auto Renew Amend	Increase NTE\$		

PropRate

\$123.36/hr-Home Visits; \$163.01/discipline-Intake Elig Evals & Clinical Specialist Assmt; \$190.17/Intake Elig Eval w/Family Assmt; \$0.505/mile-Home Visits

VendorNumber	VendorName	SrvCode	VendorCategory
PA0337	Trust Management Services	34	Money Management

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$647,349	6/1/2019	5/31/2020	Brian Bennett	RD

Rate

10% of a consumer's cash receipts, NTE to \$44/mo/cons

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	4.62%
\$677,283	6/1/2020	5/31/2021	Auto Renewal	Increase NTE\$		

PropRate

10% of a consumer's cash receipts, NTE to \$44/mo/cons

VendorNumber	VendorName	SrvCode	VendorCategory
H29312	UCP San Joaquin Creative Interventions	116	Early Start Specialized Therapeutic Services

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$1,608,582	6/1/2019	5/31/2020	Claire Lazaro	RD

Rate

\$124.11/hr Home Visits; \$217.34/hr Foothills Home Visits; \$163.01/discip-Intake Elig Evals; \$190.17/eval-Intake Elig Eval w/Family Asmt; \$163.01/asmt for indiv clinical asmts; \$277.11/SI Eval; \$0.505/mile in valley

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	0.00%
\$1,608,582	6/1/2020	5/31/2021	Auto Renewal	Extend Contract		

PropRate

\$124.11/hr Home Visits; \$217.34/hr Foothills Home Visits; \$163.01/discip-Intake Elig Evals; \$190.17/eval-Intake Elig Eval w/Family Asmt; \$163.01/asmt for indiv clinical asmts; \$277.11/SI Eval; \$0.505/mile in valley

Contract Board Approval Report

Contracts Expiring:
5/31/2020

VendorNumber	VendorName	SrvCode	VendorCategory
H29413	UCP San Joaquin In-Home Respite	862	In-Home Respite Services Agency

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$435,418	6/1/2019	5/31/2020	Brian Bennett	RD

Rate
\$32.79/hr-1 cons (DDS-set rate), \$17.40/cons/hr-2 cons; \$14.21/cons/hr-3 cons; \$0.575 per eligible mile.

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	0.00%
\$435,418	6/1/2020	5/31/2021	Auto Renewal	Extend Contract		

PropRate
\$32.79/hr-1 cons (DDS-set rate), \$17.40/cons/hr-2 cons; \$14.21/cons/hr-3 cons; \$0.575 per eligible mile.

VendorNumber	VendorName	SrvCode	VendorCategory
HV0009	UCP San Joaquin Hammer Ranch ADC II	510	Adult Development Center

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$1,650,445	6/1/2019	5/31/2020	Brian Bennett	RD

Rate
\$82.30/cons/day = \$1.68/hr Non-mobile supplement

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	0.00%
\$1,650,445	6/1/2020	5/31/2021	Auto Renewal	Extend Contract		

PropRate
\$82.30/cons/day = \$1.68/hr Non-mobile supplement

VendorNumber	VendorName	SrvCode	VendorCategory
H29232	UCP San Joaquin Manteca ADC I	510	Adult Development Center

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$693,956	6/1/2019	5/31/2020	Brian Bennett	RD

Rate
\$77.65/cons/day + \$1.68/hr Non-mobile supplement

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	3.75%
\$720,000	6/1/2020	5/31/2021	Auto Renew Amend	Increase NTE\$		

PropRate
\$77.65/cons/day + \$1.68/hr Non-mobile supplement

Contract Board Approval Report

Contracts Expiring:
5/31/2020

VendorNumber	VendorName	SrvcCode	VendorCategory
HV0264	UCP San Joaquin Program Without Walls	55	Community Integration Training Program

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$615,950	6/1/2019	5/31/2020	Brian Bennett	RD

Rate

\$88.65 per consumer per day of actual attendance

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	3.90%
\$640,000	6/1/2020	5/31/2021	Auto Renew Amend	Increase NTE\$		

PropRate

\$88.65 per consumer per day of actual attendance

VendorNumber	VendorName	SrvcCode	VendorCategory
S29405	UCP San Joaquin SAIL	896	Supported Living Services

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$681,072	6/1/2019	5/31/2020	Brian Bennett	RD

Rate

\$34.75/hr; + \$45.17 outside S.J. County

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	2.78%
\$700,000	6/1/2020	5/31/2021	Auto Renew Amend	Increase NTE\$		

PropRate

\$34.75/hr; + \$45.17 outside S.J. County

VendorNumber	VendorName	SrvcCode	VendorCategory
HV0398	UCP San Joaquin Applied Abilities Program (AAP)	515	Behavior Management Program

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$392,218	6/1/2019	5/31/2020	Brian Bennett	RD

Rate

\$89.45/cons/day (DDS-set rate); non-mobile rate of \$1.68 per consumer per hour

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	0.00%
\$392,218	6/1/2020	5/31/2021	Auto Renewal	Extend Contract		

PropRate

\$89.45/cons/day (DDS-set rate); non-mobile rate of \$1.68 per consumer per hour

Contract Board Approval Report

Contracts Expiring:
5/31/2020

VendorNumber	VendorName	SrvcCode	VendorCategory
HV0418	UCP San Joaquin Applied Abilities Program (AAP)	55	Community Integration Training Program

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$711,520	6/1/2019	5/31/2020	Brian Bennett	RD

Rate

\$85.37/cons/day

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	1.89%
\$725,000	6/1/2020	5/31/2021	Auto Renew Amend	Increase NTE\$		

PropRate

\$85.37/cons/day

VendorNumber	VendorName	SrvcCode	VendorCategory
HV0159	UCP San Joaquin Stockton ADC III	510	Adult Development Center

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$1,007,825	6/1/2019	5/31/2020	Brian Bennett	RD

Rate

\$75.35/cons/day + \$1.68/hr Non-mobile supplement

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	2.17%
\$1,029,738	6/1/2020	5/31/2021	Auto Renew Amend	Increase NTE\$		

PropRate

\$75.35/cons/day + \$1.68/hr Non-mobile supplement

Contract Board Approval Report

Contracts Expiring:
6/30/2020

VendorNumber	VendorName	SrvcCode	VendorCategory
HV0498	Bright Futures #3	113	Specialized Residential Facility (Habilitation)-DSS-Lice

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$815,498	7/1/2019	6/30/2020	Brian Bennett	RD

Rate

\$15,851.30 per consumer, per month, inclusive of SSI

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	0.00%
\$815,498	7/1/2020	6/30/2021	New Contract	Extend Contract		

PropRate

\$15,851.30 per consumer, per month, inclusive of SSI

VendorNumber	VendorName	SrvcCode	VendorCategory
HV0427	Bright Futures 1	113	Specialized Residential Facility (Habilitation)-DSS-Lice

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$828,475	7/1/2019	6/30/2020	Brian Bennett	

Rate

\$15,978.50 per cons per mo, inclusive of SSI

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	7.98%
\$894,548	7/1/2020	6/30/2021	New Contract	Increase NTE\$		

PropRate

\$15,978.50 per cons per mo, inclusive of SSI

VendorNumber	VendorName	SrvcCode	VendorCategory
HV0428	Bright Futures 2	113	Specialized Residential Facility (Habilitation)-DSS-Lice

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$818,160	7/1/2019	6/30/2020	Brian Bennett	RD

Rate

Neg Rate \$15,851.31 cons/mo inclusive of SSI

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	8.40%
\$886,916	7/1/2020	6/30/2021	New Contract	Increase NTE\$		

PropRate

Neg Rate \$15,851.31 cons/mo inclusive of SSI

Contract Board Approval Report

Contracts Expiring:
6/30/2020

VendorNumber	VendorName	SrvCode	VendorCategory
HV0448	Khan Guest Home LLC	113	Specialized Residential Facility (Habilitation)-DSS-Lice

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$847,522	7/1/2019	6/30/2020	Brian Bennett	RD

Rate

\$12,736.38/cons/mo, inclusive of SSI

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	0.00%
\$847,522	7/1/2020	6/30/2021	Auto Renewal	Extend Contract		

PropRate

\$12,736.38/cons/mo, inclusive of SSI

VendorNumber	VendorName	SrvCode	VendorCategory
HV0472	Shadman House	113	Specialized Residential Facility (Habilitation)-DSS-Lice

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$817,418	7/1/2019	6/30/2020	Brian Bennett	RD

Rate

\$15,851.30 per consumer, per month, inclusive of SSI

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	8.50%
\$886,916	7/1/2020	6/30/2021	Auto Renew Amend	Increase NTE\$		

PropRate

\$15,851.30 per consumer, per month, inclusive of SSI

VendorNumber	VendorName	SrvCode	VendorCategory
HV0446	STEPS Home Omelagah, Inc.	113	Specialized Residential Facility (Habilitation)-DSS-Lice

Current Contract:

NTE	EffecDate	ExpDate	ResponsibleStaff	ResponsibleDept
\$817,418	7/1/2019	6/30/2020	Brian Bennett	RD

Rate

\$15,851.30 per consumer per month, inclusive of SSI

Proposed Contract:

PropNTE	PropEffDate	PropExpDate	Action:	ActionReason:	Increase/Decrease in NTE \$:	8.50%
\$886,916	7/1/2020	6/30/2021	Auto Renew Amend	Extend Contract		

PropRate

\$15,851.30 per consumer per month, inclusive of SSI

**Valley Mountain Regional Center
POS Independent Contractor Contract**

This POS Independent Contractor Contract ("Contract") is entered into this 1st day of July, 2020, in the City of Stockton, County of San Joaquin, State of California, by and between Valley Mountain Regional Center, Inc. ("Center") and Candice Bright dba "Bright Futures" ("Contractor"). Each party to this Contract shall be referred to individually as "Party" and collectively as "Parties." This Contract shall be effective on and after the date in which both Parties sign it ("Effective Date").

RECITALS

- A. WHEREAS, pursuant to Section 4648 of the California Welfare and Institutions Code ("W&I Code"), Center is authorized to, pursuant to Vendorization or a contract, purchase services or supports for its Consumers from any individual or agency which Center determines will best accomplish all or any part of its Consumers' program plans;
- B. WHEREAS, Title 17 of the California Code of Regulations ("Title 17") permits the establishment of service contracts for the provision of Vendored services;
- C. WHEREAS, Contractor is currently Vendored by Center to provide the Services described in Exhibit A for Center's Consumers;
- D. WHEREAS, Center and Contractor desire to define the terms and conditions pursuant to which Contractor will provide the Services described in Exhibit A to Center's Consumers;
- E. NOW, THEREFORE, for valuable consideration, including the promises and payments described herein, the Parties agree as follows:

AGREEMENT

- 1. **Truth of Recitals and Representations.** The Parties hereby acknowledge the truth of the Recitals set forth above, and agree that the facts recited are conclusively presumed to be true as between the Parties and their successors in interest pursuant to Section 622 of the California Evidence Code. Contractor further affirms that all fiscal and other documentation submitted to Center in conjunction with the negotiation and preparation of this Contract, and Contractor's Vendorization, is complete and accurate to the best of Contractor's knowledge, supported by records and source documents, prepared in accordance with all instructions provided by Center and all applicable laws, and subject to review pursuant to any audit by Center, the State of California Department of Developmental Services, and/or any other agency of the State of California or the Federal Government, as permitted by law.
- 2. **Definitions.** The definitions set forth in the W&I Code and Title 17 shall apply to this Contract. The following terms, some of which are taken therefrom, are specifically utilized in this Contract.
 - A. "Consumer" means an individual who has been determined by Center to meet the eligibility criteria of W&I Code Section 4512(a) and Sections 54000, 54001 and 54010 of Title 17, and for whom Center has accepted responsibility to provide services.
 - B. "DDS" means the State of California Department of Developmental Services.
 - C. "Individual Program Plan" or "IPP" means a written plan that is developed by Center's Planning Team in accordance with the provisions of W&I Code Sections 4646 and 4646.5.

- D. "Individual Service Plan" or "ISP" means an individualized plan generated for each Consumer to detail exactly how to meet the goals set forth in the IPP.
 - E. "Internal Grievance Procedure" means the written set of procedures, established pursuant to W&I Code Section 4705(a), a vendor uses to achieve the communication and resolution of Consumer dissatisfaction.
 - F. "Planning Team," also known as Interdisciplinary (ID) Team, means the group of persons convened in accordance with the W&I Code Section 4646 for the purpose of preparing a Consumer's IPP.
 - G. "Record" means any book, document, writing, whether tangible, or in an electronic format, evidencing operational, financial or service activities of a service provider such as Contractor, or Center, or pertaining to the service program and/or the provision of services to persons with developmental disabilities.
 - H. "Service" means assistance provided and duties performed by a Vendor for a Consumer, as described in Exhibit A.
 - I. "Service Coordinator" means the individual specified in W&I Code Section 4648(a) as the person with the responsibility for implementing, overseeing, and monitoring a Consumer's IPP and for maintaining the Consumer's case management or service coordination file. Service Coordinator is synonymous with Center case manager, counselor or client program coordinator.
 - J. "Service Design," also known as Program Design, means a written description of the service delivery capabilities and orientation developed, maintained, and implemented by a Vendor in compliance with the rules and regulations.
 - K. "Special Incident Report" is the documentation prepared by Vendor staff or long-term health care facility staff detailing a special incident and provided to Center and appropriate law enforcement authorities.
 - L. "Vendor" means an applicant which has been given a Vendor identification number and has completed the Vendorization process set forth in Section 54302, *et seq.* of Title 17.
 - M. "Vendorization" means the process used to:
 - 1) Verify that an applicant meets all of the requirements and standards pursuant to Section 54320 of Title 17 prior to the provision of Services to Consumers; and,
 - 2) Assign Vendor identification numbers, service codes and sub-codes, for the purpose of identifying Vendor expenditures.
3. **Purpose of Contract.** The purpose of this Contract is to outline the obligations and duties of Contractor in providing the Services described in Exhibit A to Consumers and the means and process by which Contractor shall be compensated for said Services.
4. **Term of Contract.** This Contract shall commence on the 1st day of July, 2020 ("Commencement Date"), and shall terminate at midnight on the 30th day of June, 2021 ("Termination Date"), unless renewed by the Parties, or terminated earlier, pursuant to the terms of this Contract. Center shall not be required to compensate Contractor for Services performed by Contractor prior to Contractor's receipt of a written Authorization to Purchase Services from Center or subsequent

to termination of this Contract, and any Services performed subsequent to termination of this Contract shall not be construed as a renewal of this Contract.

- A. Automatic Renewal of Contract. On the Termination Date, this Contract shall automatically renew for a one (1) year period ("Renewal Period"), on the same terms and conditions contained herein, including, but not limited to, the rate to be paid by Center for Contractor's Services, unless: (1) no later than sixty (60) days before the Termination Date, a Party gives written notice to the other Party of the intent to terminate the Contract on the Termination Date; or (2) this Contract is terminated pursuant to Section 4(B) below. If this Contract is automatically renewed pursuant to this Section, Center shall send a written confirmation to Contractor within thirty (30) days of the originally scheduled Termination Date. This Contract can be renewed pursuant to this provision for a maximum of five (5) years from the original Commencement Date. For automatic renewal to occur, the process set forth in this Section must be followed each year until this Contract is terminated. Automatic renewal of this Contract for one (1) or more years does not guarantee renewal for any subsequent year. If either Party desires to modify any of the terms of this Contract during a Renewal Period, that Party shall send a written request for a meeting to discuss the proposed modification(s). Any modification to this Contract during the renewal process, or otherwise, shall be set forth in a written amendment signed by both Parties. No oral representation by the Parties or their representatives shall be effective to renew this Contract.
- B. Early Termination of Contract by Center. Center may terminate this Contract prior to the date set forth in this Section 4 should Center, DDS or the State of California determine that Contractor has failed to comply with any provision of this Contract, failed to comply with the written Authorization to Purchase Services, and/or failed to comply with any applicable Federal, State or local law or regulation, including, but not limited to, those which govern the provision of Services to persons with developmental disabilities. Should Center, DDS or the State of California determine that termination of this Contract is appropriate, Center shall provide Contractor with a written notice of termination describing the reason for termination ("Center's Notice of Termination"). This Contract shall be deemed terminated thirty (30) days following delivery of Center's Notice of Termination ("Termination Date"). Center's Notice of Termination shall be deemed delivered on the date that it is either mailed via certified mail, return receipt requested, or personally delivered to Contractor. Termination may occur without notice, or with less than thirty (30) days' notice, if Center determines that either: the results of any evaluation of Contractor's Service delivery warrant termination without notice or with notice of less than thirty (30) days, or Contractor's Service delivery contributes to life-threatening dangers to, or has resulted in abuse of, a Consumer.
- C. Early Termination of Contract by Contractor. Should Contractor determine that termination of this Contract is appropriate, Contractor shall provide Center with a notice of termination describing the reason for termination ("Contractor's Notice of Termination"). This Contract shall be deemed terminated thirty (30) days following delivery of Contractor's Notice of Termination, unless Contractor specifies in its Notice of Termination that termination shall be effective at a later date ("Termination Date"). Contractor's Notice of Termination shall be deemed delivered on the date that it is either mailed via certified mail, return receipt requested, or personally delivered to Center.

- D. Termination of Contract by Either Party Without Cause. Notwithstanding Sections 4(A), 4(B) or 4(C) of this Contract, this Contract may be terminated by either Party without cause upon ninety (90) days written notice to the other Party, or as mutually agreed.
 - E. No Payment for Services Performed After Termination Date. On and after the Termination Date, Contractor shall not conduct any further activities under this Contract and Center's obligation to pay Contractor pursuant to this Contract shall cease. Any expenses incurred by Contractor following the Termination Date will not be reimbursed pursuant to this Contract. If Contractor performed Services prior to the Termination Date that are reimbursable pursuant to the terms of this Contract, Contractor shall deliver a final claim form within ten (10) business days of the Termination Date. Center shall reimburse Contractor for those Services that were legitimately performed prior to the Termination Date.
 - F. Compliance With Laws Upon Termination. In the event of termination, Center and Contractor shall comply with all applicable Federal, State and local laws and regulations, including, but not limited to, W&I Code Sections 4502, 4646, 4646.4, 4648, 4710 and 4741.
5. **Referral of Consumers.** Center's case management staff may refer Consumers to Contractor, as it deems appropriate, through Contractor's designee. Contractor acknowledges and agrees that Center is not obligated to refer any particular number of Consumers to Contractor, or any Consumers at all, and that this Contract is not intended to, nor shall it constitute a guarantee or vested right to have any particular Consumer, or any number of Consumers referred, assigned, transferred or sent to Contractor for Services. Contractor further acknowledges and agrees that Center shall not be liable to Contractor, for any cause of action, in law or equity, including, but not limited to, breach of contract or breach of the implied covenant of good faith and fair dealing, if Center does not refer, assign, transfer or send any particular Consumer, or any number of Consumers to Contractor for Services. Contractor further acknowledges and agrees that it shall not interfere with or limit Center's right under the W&I Code and Title 17 to secure for any Consumer served by Contractor, additional and/or alternative services which Center has determined are appropriate.
6. **Services.** Contractor shall provide Services in accordance with all applicable Federal, State and local laws or regulations, including but not limited to, Title 17 as it relates to the appropriate service type, in accordance with the Program Design attached hereto and incorporated herein as Exhibit C, and in accordance with the terms and conditions of this Contract, including Exhibits and/or documents referenced in this Contract. In the event of a conflict between the terms of the Program Design and this Contract, the terms of this Contract shall govern. The level of service provided by Contractor shall, at a minimum, be consistent with Contractor's Program Design, any other program-related documentation relied upon by the Department as a basis for establishing rates of payment, and any applicable Federal, State and local laws or regulations.
7. **Contractor's Duties.**
- A. Authorization to Purchase Services Required. Contractor may not initiate or provide any Services for Consumers until Center has issued a duly executed Authorization to Purchase Services.

B. Special Incident Reporting Requirements.

- 1) Contractor shall report any and all Special or Unusual Incidents pursuant to Section 54327 of Title 17, including, but not limited to:
 - a) The Consumer is missing and Contractor or long-term health care facility has filed a missing persons report with a law enforcement agency;
 - b) Reasonably suspected abuse/exploitation, including: physical, sexual, fiduciary, emotional/mental, or physical and/or chemical restraint;
 - c) Reasonably suspected neglect, including failure to: provide medical care for physical and mental health needs; prevent malnutrition or dehydration, protect from health and safety hazards, failure to assist in personal hygiene or the provision of food, clothing, or shelter, or exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of a dependent adult;
 - d) Serious injury or accident, including: lacerations requiring sutures or staples, puncture wounds requiring medical treatment beyond first aid, fractures, dislocations, bites that break the skin and require medical treatment beyond first aid, internal bleeding requiring treatment beyond first aid, any medication errors, medication reactions that require medical treatment beyond first aid, and burns that require medical treatment beyond first aid;
 - e) Any unplanned or unscheduled hospitalization due to the following conditions: respiratory illness, seizure-related, cardiac-related, internal infection, diabetes, including diabetes-related complications, wound/skin care, nutritional deficiencies, or involuntary psychiatric admission;
 - f) The death of any Consumer, regardless of cause and regardless of when or where it occurred; and
 - g) The victimization of a Consumer of the following crimes: robbery, aggravated assault, larceny, burglary, and rape/attempted rape, regardless of when or where such an incident occurred.
- 2) Special Incident Reports shall be made to the appropriate law enforcement authorities and to Center, via telephone, electronic mail or facsimile, immediately upon discovery of the incident, and under no circumstances shall said reporting occur more than twenty-four (24) hours after an incident occurred, was discovered, or was suspected, whichever is earliest. Notification to the assigned Service Coordinator, the Officer of the Day, or the After Hours Response Team, as identified by the Special Projects Unit of Center, shall satisfy the initial reporting requirement.
- 3) Thereafter, within forty-eight (48) hours after the alleged incident occurred, was discovered, or suspected, whichever is earliest, Contractor shall provide Center with a written report describing the alleged incident and Contractor's response thereto. Failure to report any such incident to the appropriate law enforcement

authorities and to Center, and/or failure to provide the required written report, shall constitute a distinct and separate ground for automatic termination of this Contract, notice of which shall be served personally or via certified mail, return receipt requested, to Contractor.

- 4) Written reports of incidents shall include all relevant information, including, but not limited to:
 - a) Contractor's name, address and telephone number;
 - b) Date, time and location of the incident;
 - c) Name(s) and date(s) of birth, and UCI Number(s) of each Consumer involved in the incident and the name, address and phone number of the Consumer's family member(s) or authorized representative(s);
 - d) The name, address and phone number of the Consumer's family member(s) or authorized representative(s) who were contacted and informed of the incident;
 - e) Description of the incident;
 - f) Description of the alleged perpetrator(s) of the incident, including, but not limited to, name, age, height, weight, address, phone number, occupation, and relationship to Consumer;
 - g) Treatment provided to Consumer, if any;
 - h) Identification of potential witnesses, including, but not limited to, name, address, telephone number and context of witnessing the incident;
 - i) Identity of all law enforcement, licensing, protective services and/or other agencies or individuals notified of the incident, or otherwise involved in the incident;
 - j) Action(s) taken by Contractor, law enforcement, licensing, protective services and/or other agencies or individuals in response to the incident; and
 - k) Dated signature of the person creating the written report on behalf of Contractor.
- 5) Failure to Report. Failure to report any of the foregoing incidents to the appropriate law enforcement authorities and to Center, and/or failure to provide the required written report, shall constitute a distinct and separate ground for automatic termination of this Contract, notice of which shall be served personally or via certified mail, return receipt requested, to Contractor.

- C. Compliance with IPP. Contractor shall follow IPP documentation requirements as set forth in Section 56720 of Title 17 and W&I Code Section 4648 and shall properly train all staff as necessary to ensure the adequacy of said documentation. All Services rendered by Contractor shall be in furtherance of the IPP. Contractor shall not render Services that are not called for in the IPP. Center shall notify Contractor of annual IPP meetings at least five (5) days in advance of all such meetings, unless the Consumer or their legally authorized representative asks that the Contractor not be at the meeting.

- D. Developing ISPs. Contractor agrees to follow “person centered planning philosophies,” as published by DDS, in developing ISPs for Consumers and/or to procure such training as is necessary to ensure adequate staff participation in person centered planning.
 - E. Use of Public or Generic Resources. Regional centers are required to use generic services when available. Contractor understands and agrees that if a Consumer or Consumer’s authorized representative chooses not to access available generic services (e.g. IHSS, Medi-Cal, public school, California Children's Service), Center will not be able to pay for the service. Contractor shall utilize, to the fullest extent feasible, those public resources which are available without additional cost to Contractor and which will enhance the quality of Services provided to those Consumers who can benefit from the use of such resources.
 - F. Grievance Reports / Complaints. Contractor shall establish, adopt and periodically review a written Internal Grievance Procedure under which Consumers, or their authorized representatives or advocates may file complaints to address their grievances and by which Contractor shall resolve grievances pursuant to W&I Code Section 4705.
 - G. Abuse Reporting Training. Contractor shall train all staff regarding legally mandated abuse reporting requirements prior to the employee’s provision of Services to Consumers and annually thereafter.
 - H. Staff Background Checks.
 - 1) Contractor shall require all staff providing Services having contact with Consumers under this Contract to have cleared a fingerprint /background check that includes the Department of Justice prior to having any contact with Consumers, and annually thereafter, unless clearance is sought through the Department of Social Services or the TrustLine Registry, which includes automatic checks for subsequent arrests. Contractor shall provide Center, upon request, with proof of the fingerprint clearance.
 - 2) Contractor shall require individuals to submit references from prior employers and/or volunteer organizations. Contractor shall verify the accuracy of these references and document the verification in the employee/volunteer personnel file.
 - 3) Contractor, within the scope of and direction of Contractor’s agency exclusively, agrees to develop, retain, and implement employment policies and programs to encourage and promote Contractor’s employee fitness during performance of service delivery tasks connected with the intended beneficiaries (Center’s consumers) within Contractor’s program design, California Code of Regulations Title 17 and Title 22 and Direct Support Professional requirements satisfying the principal purpose of “agreement” between Center and Contractor.
8. **Payment for Services.** The Parties fully understand and agree that payment under this Contract is dependent upon available Federal and/or State funding. Contractor expressly acknowledges that the State and/or Federal Government may, from time to time during the Term of this Contract, require changes to the rate of payment that Center pays Contractor. Accordingly, Contractor expressly acknowledges and agrees that adjustments to the rate of payment described herein may be made by Center. Center will notify Contractor, in writing, of any such adjustments

to the rate of payment and of the effective date of any adjustment. Contractor expressly understands and agrees that Contractor shall have no right to object to any such adjustments and that any such adjustments shall not give rise to any cause of action, in law or equity, against Center. In the event that Center is not allocated any funds for the Services referenced in this Contract, this Contract shall be null and void and of no further force and effect, in which event, Center shall have no obligation to pay any funds whatsoever to Contractor, or to furnish any other consideration under this Contract, and Contractor shall not be obligated to perform pursuant to this Contract. Payment to Contractor shall only be made according to the following terms:

- A. Reliance on Authorization to Purchase Services. All payments shall be made in accordance with the Authorization to Purchase Services provided to Contractor by Center. Payments shall not be made based upon oral authorization to provide services.
- B. Rate of Payment.
 - 1) The rate paid to Contractor by Center shall be cost effective to the State of California.
 - 2) Contractor shall not charge Center a rate for Services to Consumers that is more than Contractor would charge, or is charging, for any other purchase of the same or substantially similar service.
 - 3) Center shall pay Contractor at the rate described in Exhibit B which shall constitute the total amount of compensation for Contractor's performance of this Contract.
 - 4) Contractor also understands and agrees that the maximum amount described in Exhibit B is the highest total amount which can be paid by Center to Contractor under this Contract, unless otherwise agreed to in writing by the Parties. Contractor further understands and agrees that if the maximum amount described in Exhibit B is exceeded, this Contract is not valid unless and until it is approved by Center's Governing Board. Nothing in this Contract shall be deemed to guarantee that Contractor will receive the maximum amount of compensation provided for in Exhibit B, or any compensation at all, as Center cannot guarantee the amount of Services that Contractor will be asked to provide for Consumers.
- C. Payment in Arrears. Center shall pay Contractor in arrears for Services provided in the previous calendar month.
 - 1) Claim Form. Contractor shall bill Center, on a monthly basis, for Services provided in the previous month by submitting to Center a completed and signed claim form in the format provided by Center, or electronically. Contractors who submit their claim forms electronically shall retain all supporting and required source documentation. All other contractors shall submit all supporting and required source documentation with their claim forms. The supporting and required source documentation shall include, at a minimum, the information required by Section 50604(d) of Title 17.
 - 2) Certification. For each claim form submitted, Contractor shall certify under penalty of perjury:

- a) All services for which Contractor is seeking payment have been provided to Center consumers by Contractor; and
 - b) All information submitted to Center along with the claim form is accurate and complete.
- 3) Time for Submittal of Claim Form. Claim forms, and supporting and required source documentation, if applicable, shall be submitted to Center on or before the tenth (10th) day of each month. Contractor understands that at the very latest, Contractor must submit a claim for reimbursement for all Services performed within ninety (90) days of the actual date of service. On each claim form, Contractor shall certify that all Services for which Contractor seeks reimbursement were performed within ninety (90) days of submittal of the claim form. Contractor further understands and agrees that it shall not be paid by Center for any Services that were performed more than ninety (90) days before submittal of a claim form for the Services.
- D. Date for Payment by Center. On or before the twenty-fifth (25th) of each month, Center shall pay Contractor according to the information provided in each claim form. Notwithstanding the foregoing, Center shall have no obligation to pay Contractor: if Contractor fails to provide supporting and required source documentation for each claim form submitted to Center on or before the 10th of the month, or fails to make such information available in the case of electronic billing; if Center disputes any item submitted by Contractor in conjunction with a claim form; or if the claim form is inconsistent with the Authorization to Purchase Services provided to Contractor by Center.
- E. Limitations on Payment.
 - 1) Center's obligation to pay for Services under this Contract arises only when Contractor has provided the Services fully and satisfactorily in accordance with the terms of this Contract.
 - 2) Payments by Center to Contractor shall not be used to supplant the budget of any agency which has a legal responsibility to serve all members of the general public and is receiving public funds for providing those Services. Nor shall payments by Center to Contractor be used to supplement the rate of payment Contractor is receiving from any agency for Services provided to a Consumer.
 - 3) No more than fifteen percent (15%) of Center funds shall be spent by Contractor on Administrative Costs. Funds spent on direct Services (costs immediately associated with Services to Consumers being offered by Contractor) shall not include any Administrative Costs. Administrative Costs, include, but are not limited to:
 - a) Salaries, wages and employee benefits for managerial personnel whose primary purpose is administrative management of the entity, including, but not limited to, directors and chief executive officers;
 - b) Salaries, wages and benefits of employees who perform administrative functions, including, but not limited to, payroll management, personnel functions, accounting, budgeting, and facility management;

- c) Facility and occupancy costs, directly associated with administrative functions;
 - d) Maintenance and repair;
 - e) Data processing and computer support services;
 - f) Contract and procurement activities, except those provided by a direct service employee;
 - g) Training directly associated with administrative functions;
 - h) Travel directly associated with administrative functions;
 - i) Licenses directly associated with administrative functions;
 - j) Taxes;
 - k) Interest;
 - l) Property insurance;
 - m) Personal liability insurance directly associated with administrative functions;
 - n) Depreciation; and
 - o) General expenses, including, but not limited to, communication costs and supplies directly associated with administrative functions.
- F. Payment in full. In accordance with Section 54326(a)(12) of Title 17, Contractor shall accept payment by Center as payment in full for all materials, supplies, or Services provided by Contractor to Consumers. Contractor shall not bill Center, the Consumer, Consumer's parents, guardians, family, conservator, authorized representative, or any other person or agency, for a supplemental amount, regardless of the actual cost of providing the materials, supplies, or Services.
- G. Additional Requirements for Federal Funds. If Federal Government funds are involved, Contractor shall comply with all Federal rules, including, but not limited to, the applicable sections of the Code of Federal Regulations.

9. Record Preparation and Retention Obligation.

- A. Record Retention Requirement. Contractor shall prepare and retain all service and program financial, accounting, administrative and Consumer-related information, including, but not limited to, complete service records, records related to Contractor's rates, and all books, records, documents and other evidence related to and/or affecting performance of this Contract, the Services provided by Contractor, the billing or invoicing by Contractor, and Contractor's income and expenses ("Contractor's Records"), in accordance with Title 17, including, but not limited to, Section 50604(d) and (e), as applicable, ("Record Retention Requirement").
- B. Record Retention Period. The Record Retention Requirement shall apply for a period of no less than five (5) years following the date of the final payment for the State fiscal year in which Services were rendered by Contractor, provided however, that if an audit is in process, or an appeal of an audit is pending at the end of said timeframe, Contractor's

records shall be retained until all audit exceptions have been resolved ("Record Retention Period"). Contractor shall retain all such records for the Record Retention Period in a separate and distinct location from any of Contractor's records for non-Center Consumers.

- C. Additional Requirements for Financial Records. Contractor shall maintain all financial records by consistently using a single method of accounting. Financial records shall clearly reflect the nature and amounts of all costs and income. All transactions for each month shall be entered into the financial records within thirty (30) days after the end of that month.

10. Access to Contractor Records and Facilities.

- A. Access by Authorized Agencies. Throughout the Term of this Contract, and during the Record Retention Period, Contractor shall permit DDS, Center and any other authorized agency representative of the California Department of Health Services and the United States Department of Health and Human Services when Federal Government funds are involved in the payment for Services (collectively, "Authorized Agencies"), access to all of Contractor's Records, and any facilities belonging to, or used by Contractor pertaining to Services provided to persons with developmental disabilities ("Contractor's Facilities").
- B. Scope of Right of Access. All of Contractor's Records and Facilities shall be made immediately available to the Authorized Agencies for audit, review, examination, excerpt, reproduction, and/or making transcripts.
- C. Time for Access. While the Authorized Agencies will endeavor to give twenty-four (24) hours' notice prior to exercising their right of access, the rights of access described in this Section 10 shall not be limited by a requirement of prior notice. Access without prior notice shall, however, be limited to situations where the Authorized Agency determines that the purpose of the access would be thwarted if advance notice were given (i.e. in cases of suspected fraud, imminent destruction of records or similar circumstances). Contractor shall permit the Authorized Agencies to access Contractor's Records and Facilities at any time during Contractor's normal business hours.

- 11. Audits by Center.** Center may audit Contractor's Records pursuant to Title 17, including, but not limited to, Section 50606, *et seq.* Should the results of any audit by the Center or any other Authorized Agency result in a finding that any or all of the payments made by Center to Contractor were in error or were otherwise improper or disallowed based upon the terms of this Contract, Center's contract with DDS, or as a result of any applicable Federal, State or local law or regulation, Contractor shall comply with the provisions of Title 17 relating to repayment and appeal of audit findings and/or recommendations. Should Contractor elect to appeal any audit findings and/or recommendations, Contractor shall utilize and be bound by Sections 50700 *et seq.* of Title 17. Contractor shall accept financial liability for any audit findings and/or recommendations disclosed by an audit and promptly repay amounts owed unless the audit findings are appealed and liquidation is stayed pursuant to Section 50705 of Title 17.

- 12. Audit or Review by Independent Accounting Firm.** Contractor shall contract with an independent accounting firm for an audit or review of Contractor's financial statements, subject to the following:

- A. When the amount received by Contractor from Center and/or any other regional center, during each state fiscal year, is more than or equal to Five Hundred Thousand Dollars (\$500,000), but less than Two Million Dollars (\$2,000,000), Contractor shall obtain an independent review report of its financial statements for the fiscal year that includes the last day of the most recent state fiscal year. Consistent with Subchapter 21 (commencing with Section 58800) of Title 17, this requirement shall also apply to work activity program providers receiving less than Five Hundred Thousand Dollars (\$500,000).
- B. When the amount received by Contractor from Center and/or any other regional center, during each state fiscal year, is equal to or more than Two Million Dollars (\$2,000,000), Contractor shall obtain an independent audit of its financial statements for the fiscal year that includes the last day of the most recent state fiscal year.
- C. Independent review of financial statements must be performed by an independent accounting firm and shall cover, at a minimum, all of the following:
 - 1) An inquiry into Contractor's accounting principles and practices and methods used in applying them;
 - 2) An inquiry into Contractor's procedures for recording, classifying, and summarizing transactions and accumulating information;
 - 3) Analytical procedures designed to identify relationships or items that appear to be unusual;
 - 4) An inquiry about budgetary actions taken at meetings of the board of directors or other comparable meetings;
 - 5) An inquiry about whether the financial statements have been properly prepared in conformity with generally accepted accounting principles and whether any events subsequent to the date of the financial statements would have a material effect on the statements under review; and
 - 6) Working papers prepared in connection with a review of financial statements describing items covered as well as any unusual items, including their disposition.
- D. Independent review report shall cover, at a minimum, all of the following:
 - 1) Certification that the review was performed in accordance with standards established by the American Institute of Certified Public Accountants;
 - 2) Certification that the statements are the representations of management;
 - 3) Certification that the review consisted of inquiries and analytical procedures that are lesser in scope than those of an audit; and
 - 4) Certification that the accountant is not aware of any material modifications that need to be made to the statements for them to be in conformity with generally accepted accounting principles.
- E. Contractor shall provide copies of the independent audit or independent review report and accompanying management letters to Center within thirty (30) days after completion of the audit or review. Center shall review and require resolution by Contractor of issues identified in the report that have an impact on Center services. Center shall take appropriate action, up to termination of vendorization, for lack of adequate resolution of

issues. Center shall notify DDS of all qualified opinion reports, or reports noting significant issues that directly or indirectly impact Center services, within thirty (30) days after receipt, which notification shall include a plan for resolution of the issues.

- F. The requirements of this Section 12 do not apply to payments made using usual and customary rates, as defined by Title 17 for services provided by regional centers, or to State and local government agencies, the University of California, or California State University.

13. **Disclosure of Survey Results.** Contractor shall provide Center with information obtained from surveys, questionnaires or other Consumer satisfaction tools relevant to Center's Consumers within thirty (30) days of receiving the same. Contractor shall meet with Center, upon request, to discuss the results of said surveys, questionnaires or other Consumer satisfaction tools, and shall work cooperatively with Center to address any identified areas of deficiency.

14. **Notices.** Any and all notices or other correspondence required to be sent to the Parties shall be deemed delivered when personally delivered or mailed via certified mail, return receipt requested, as follows:

Center: Executive Director
Valley Mountain Regional Center
P.O. Box 692290
Stockton, CA 95269-2290

Contractor: Candice Bright
Bright Futures
1420 Central Blvd
Brentwood, CA 94513

15. **Compliance with Law.** Contractor shall comply with all applicable Federal, State and local laws and regulations in providing Services, expressly including, but not limited to, Titles 17 and 22 of the California Code of Regulations, and the California Welfare and Institutions Code. Contractor expressly acknowledges the applicability of the foregoing, and affirms that it and its employees, agents and everyone acting on Contractor's behalf, have read and agree to abide by the same. Contractor shall comply with the Service Provider Accountability Regulations as set forth in Sections 50601 through 50612 of Title 17. Although this Contract cites to particular laws and regulations, Contractor's obligation to comply with all applicable Federal, State and local laws and regulations is not limited to the specific provisions cited herein. Nothing in this Contract shall be construed as excusing compliance with any existing or future laws or regulations.

16. **Consumer Privacy & Confidentiality.** The Parties shall abide by all applicable Federal, State and local laws and regulations concerning privacy and confidentiality of Consumer records, including, but not limited to, W&I Code Section 4514. The Parties further acknowledge, understand and agree to adhere to their responsibilities as "business associates" under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), as amended, and regulations promulgated there under, including, but not limited to, the Standards for Privacy of Individually Identifiable Health Information at Section 45 of the Code of Federal Regulations, Parts 160 and 164 ("Privacy Regulations"). Accordingly, the Parties shall use Consumer health information only as needed to complete their responsibilities under this Contract and shall not use or disclose Consumer health information for any other purpose. Further, the Parties shall implement and maintain adequate

administrative, technical and physical safeguards to prevent improper use or disclosure of such Consumer health information.

17. **Compliance with Center Policy.** Contractor agrees to comply with all Center policies and procedures as they pertain to Contractor's provision of Services to Center's Consumers, the administration of payments to Contractor, contracts, financial matters, record keeping and retention, and audits. All such policies and procedures are available for review by Contractor at Center's office.
18. **Non-Discrimination.**
- A. **Provision of Services.** Contractor shall not discriminate in the provision of Services on the basis of race, color, creed, national origin, religion, sex, age or condition of physical or mental disability, or any other protected class. Contractor shall comply with Title VII of the Civil Rights Act of 1964 (42 U.S.C. §2000d), as amended, rules and regulations promulgated pursuant thereto, and all Federal, State and local laws and regulations governing discrimination.
 - B. **Employment.** Contractor shall not discriminate against any employee or applicant for employment, and shall take action to ensure that employees and applicants are treated equally without regard to their race, color, creed, national origin, religion, sex, age or condition of physical or mental disability, or any other protected class.
19. **Effect of Legal History.** People (including individuals, partnerships, group associations, corporations, institutions, or entities, and the officers, directors, owners, managing employees or agents thereof) with the following legal histories, within the previous ten (10) years, shall be ineligible to be a Contractor or Contractor's employee:
- A. People convicted of the following crimes:
 - 1) Any felony or misdemeanor involving fraud or abuse in any government program;
 - 2) Any felony or misdemeanor related to neglect or abuse of an elder or dependent adult or child; or
 - 3) Any felony or misdemeanor in connection with interference with, or obstruction of, any investigation into health care related fraud or abuse.
 - B. People found liable for fraud or abuse in any civil proceeding.
 - C. People that have entered into a settlement in lieu of conviction for fraud or abuse in any governmental program.
20. **Contractor Licensing.** Contractor affirms that it has obtained, and will maintain in good standing, all licenses and certificates required by all applicable Federal, State and local laws for the Services Contractor is providing. Contractor shall disclose, in writing, any past, present or pending revocation, denial or adverse action against any of Contractor's licenses or certificates within fifteen (15) days of receiving notice of such action.
21. **Contractor's Affirmation Regarding Program-Related Documents.** Contractor affirms that all fiscal and Services program-related documentation that has been provided to Center, and that will be provided to Center in the future is, and will be complete, accurate, supported by records and source documentation, prepared in accordance with Center's instruction, and available for inspection and audit by Center and DDS. Contractor affirms its understanding that payments

made by Center to Contractor pursuant to this Contract will come from Federal and/or State funds, and any falsification or concealment of a material fact may be prosecuted under Federal and/or State laws.

22. **Indemnification.** Contractor agrees to indemnify, defend and hold harmless, Center, DDS and the State of California, its officers, agents and employees, from and against any and all claims, losses, expenses, damages, injuries, liability and causes of action, of any nature whatsoever (collectively, "Claims"), that in any way accrues or results to Contractor, Center, DDS or the State of California and/or any of their employees, agents, attorneys, successors, assigns, subcontractors, materialmen, laborers or any other person, firm or entity that may be injured or damaged by any act, omission or negligence of Contractor and/or any of its employees, officers, directors, agents, or any person acting on Contractor's behalf in the performance of this Contract. This indemnification provision extends to include any and all costs or expenses, including, but not limited to, attorney's fees and expert fees incurred by Center, DDS and the State of California as the result of any such Claims, and shall survive termination of this Contract. Contractor's obligation to indemnify, defend and hold harmless as described herein shall apply regardless of whether Contractor has acted negligently or intentionally.
23. **Insurance.** Contractor shall procure and maintain, at its own expense, throughout the term of this Contract, a policy of personal liability insurance in the minimum amount of One Million Dollars (\$1,000,000), under which Contractor shall name Center as an additional insured. The "additional insured" portion of Contractor's insurance policy shall include the following language: "Such insurance as is afforded by the endorsement of the additional insured shall apply as primary insurance. Any other insurance maintained by Valley Mountain Regional Center, or its officers or employees, shall be in excess only, and shall not contribute to the insurance afforded by this endorsement." Contractor shall require its insurance company(ies) to notify Center of any renewal and/or cancellation of the insurance policy required by this Contract. Copies of Contractor's insurance policies and the required endorsements shall be provided to Center within five (5) calendar days after the insurance is obtained and after all renewals or cancellations of any policy. Failure by Contractor to maintain the insurance policy required by this Contract shall constitute grounds for immediate termination of this Contract.
24. **No Agency or Employment Relationship.** Nothing in this Contract shall be construed to create any type of agency or employment relationship between Center and Contractor. Contractor, its employees, agents, attorneys, successors, assigns, subcontractors, materialmen, laborers and any other person acting on Contractor's behalf shall act in an independent capacity, as an independent contractor, and not as an officer, employee or agent of Center, DDS or the State of California. Contractor, and all persons acting on Contractor's behalf, shall not hold themselves out as, nor claim to be, an officer, employee or agent of Center, DDS or the State of California as a result of this Contract, and shall not make any application, claim or demand for any right or privilege applicable to any officer, employee or agent of Center, DDS or the State of California, including, but not limited to, unemployment insurance, disability insurance, worker's compensation insurance, or retirement benefits.
25. **No Assignments.** This Contract, and the rights, duties and obligations described herein shall not be assigned. Notwithstanding the foregoing, Contractor shall be permitted to factor or assign its accounts receivable from Center, if, and only if, such factoring or assignment is required in order to obtain equipment financing, and if, and only if, such factoring or assignment is not otherwise

prohibited by law. Any such factoring or assignment shall not be effective unless approved by Center, in writing, which approval shall not be unreasonably withheld.

26. **No Subcontracts.** The Services for which Contractor is Vendored shall not be subcontracted to any other person or entity, except pursuant to prior written consent of Center, and any such subcontracting shall be in accordance with Title 17.
27. **Breach or Default by Contractor.** In the event of Contractor's breach or default under this Contract, Center shall be permitted to either give Contractor notice of the breach or default and a reasonable opportunity to remedy the same, or terminate this Contract pursuant to Section 4(B) hereof. This Section 27 shall not prevent Contractor from pursuing any legal or appeal process set forth in Title 17, in which event this Contract shall remain in force until the legal or appeal process is completed. If Contractor suffers any strike, walk-out or work stoppage by its employees, and as a result of such condition, is unable to continue to provide Services required herein for a period of fifteen (15) days, Center shall be entitled to procure the Services that Contractor is unable to provide from another vendor, and Contractor shall not be compensated for any such substituted service. In such case, Center may, in its sole discretion, terminate this Contract and obtain a replacement for the Services for its Consumers.
28. **Excuse of Performance.** Neither Party shall be deemed in default of any of the provisions of this Contract in the event that the Party's failure to perform is the result of an earthquake, flood or other act of God, fire, riot insurrection, commandeering of vehicles, material, equipment or facilities by the government, strike, labor dispute or work stoppage, or similar cause beyond the Party's control, which renders performance impossible, when evidence thereof is presented to the other Party.
29. **Time of the Essence.** Time is of the essence in relation to each and every provision of this Contract.
30. **Materiality of Each Provision.** Each and every provision, term and condition of this Contract is considered material to this Contract.
31. **Integration.** This Contract contains the entire agreement between the Parties, and replaces any prior representations, agreements or understandings, oral or written, that may have existed between the Parties. The Parties agree that any modification or supplementation of this Contract shall be in writing, signed by the Parties' respective representatives, and must refer specifically to this Contract and the provision(s) modified or supplemented. No other modifications or supplements will be valid. The execution of any modification or supplementation of this Contract shall comply with the requirements of all applicable laws and regulations.
32. **Governing Law.** This Contract, and any other documents to which it refers, shall in all respects be interpreted, enforced and governed by the laws of the State of California and the United States of America. This Contract is specifically subject to restrictions, limitations and conditions enacted by the California Legislature, contained in the Annual Budget Act, and/or any contract between Center and DDS, and this Contract shall be modified by the Parties to the extent necessary to ensure compliance with the same.
33. **Mutual Drafting.** This Contract shall be construed as a whole according to its fair meaning, and not strictly for or against any of the Parties. The Parties acknowledge that this Contract documents a negotiated agreement and it shall not be construed or interpreted in favor of either Party due to the fact that one of the Party's attorneys drafted this Contract.

34. **Severability.** If any provision of this Contract is deemed invalid or unenforceable pursuant to the provisions set forth in Section 36, the unenforceability thereof shall not affect the remaining provisions of this Contract and the remaining provisions of this Contract shall remain in full force and effect.
35. **Section Headings.** Section headings used in this Contract are for convenience only, are not part of the Contract, and shall not affect any of the terms hereof.
36. **Resolution of Disputes.** Any and all disputes, controversies or claims arising out of or relating to this Contract shall be resolved pursuant to the grievance procedures set forth in Title 17, if applicable. If the grievance procedures set forth in Title 17 do not apply to a dispute, or after exhausting the applicable grievance procedures set forth in Title 17, all remaining disputes, controversies or claims shall be settled by a mutually agreed upon arbitrator in accordance with the rules of the American Arbitration Association. The arbitrator's decision may be entered as a judgment in any court having jurisdiction or may be appealed to the Superior Court of California in and for the County of San Joaquin within thirty (30) days of issuance.
37. **Attorney's Fees and Costs.** In the event of an appeal of an audit finding, hearing before the Office of Administrative Hearings, arbitration, or should either Party bring an action in law or equity, in which a Party incurs attorney's fees to enforce the terms of this Contract, the prevailing party thereto may recover reasonable attorney's fees and all costs incurred in connection therewith, including, but not limited to, fees for reasonably necessary expert witnesses.
38. **Exclusive Benefit.** This Contract, and each and every provision hereof, is for the exclusive benefit of the Parties hereof and not for the benefit of any third party.
39. **Counterparts.** This Contract may be executed in duplicate counterparts, each of which shall be deemed an original, but all of which shall together constitute one and the same instrument.
40. **Delivery by Facsimile or Electronic Mail.** Duly executed signatures to this Contract may be delivered by facsimile or electronic mail, and signature pages delivered by such method shall be deemed equivalent to and of the same force and effect as original signature pages.
41. **Successors and Assigns.** This Contract is binding on the successors and assigns of the Parties.
42. **Execution of Contract.** By signing this Contract, the Parties confirm that they have read this Contract, understand its contents, agree to its terms, have consulted with their respective attorneys, and are voluntarily entering into this Contract. The Parties warrant that the person signing on behalf of each Party is an authorized representative of that Party.

Accepted and agreed to:

Valley Mountain Regional Center

Dated: _____

By: Anthony (Tony) Anderson
Its: Executive Director

Contractor
Bright Futures

Dated: _____

By: Candice Bright
Its: Owner/Licensee

Dated: _____

By: Diana Bonnett
Its: Chief Financial Officer

EXHIBIT A
SERVICES – HV0427
Bright Futures 1

1. Contractor is vendored by Center pursuant to Title 17, Section 54356 as an Adult ARF Step-Down Residential Facility - Service Code 113.

“A regional center shall classify a vendor as a ARF Step-Down Facility/Bed provider if the vendor provides temporary 24-hour residential treatment setting for persons who pose an immediate health and safety danger to self or others.”
2. Additional Definitions.
 - A. “Direct Care Staff” means staff that personally provide hands-on training provided by the vendor in accordance with the requirements of consumers’ Individual Program Plan and the provisions of Title 17, Section 56722 of California Code of Regulations, and who are involved in program preparation functions in accordance with the provisions of Title 17, Section 54302(a)(23).
 - B. “Generic Agency” means any agency which has a legal responsibility to serve all members of the general public and which is receiving public funds for providing such services.
 - C. “Natural Environments” means places and social contexts commonly used by individuals without disabilities.
 - D. “Person Centered Planning” means an approach to determining, planning for, and working toward the preferred future of a person with developmental disabilities and her or his family.
3. Specific Consumer Services.
 - A. Contractor agrees to provide the ARF Step-Down CPP Residential Services in a facility located at 2602 Breaker Way, Stockton, CA 95209.
 - B. Bright Futures I will be vendored for a total of five (5) beds; three private rooms and one shared room.
 - C. Bright Futures I is an ARF Step-Down Facility for developmentally disabled adults at risk of institutional placement and provides intensive non-aversive behavioral and mental health supports.
 - D. Contractor agrees that all doors used to enter/exit its licensed facility shall be equipped with alarms that signal movement in and out of the facility, and further that such alarms shall be operational at all times.
 - E. Contractor agrees to provide services in accordance with Contractor’s Program Design attached hereto as Exhibit C and incorporated herein by reference.
 - F. Refusal to accept a referral is limited to individuals who are judged to be beyond the scope of the “target population” contained in the approved program design (Exhibit C).
 - G. Contractor agrees to adhere to the Zero Tolerance Policy for Consumer Abuse or Neglect attached hereto as Exhibit D.

- H. Contractor hereby warrants that all work will be performed in accordance with accepted professional practices and standards as well as the requirements of applicable federal, state, and local laws.
 - I. Contractor agrees that this facility shall be operated in accordance with Title 17, Division 2 of the California Code of Regulations concerning services for persons with developmental disabilities, Title 22, Division 6 of the California Code of Regulations concerning licensure of community care facilities, and Center's "Best Practice in Licensed Residential Services."
 - J. Contractor agrees that its Administrator shall be in the facility providing supervision of direct care staff and the program of services no less than 20 hours per week as required by Center's Best Practice in Licensed Residential Services.
 - K. Contractor acknowledges that Contractor does not obtain by this Contract a guarantee and/or a vested right to have any particular consumer(s) and/or any number of consumers assigned, transferred or sent to Contractor under this Contract other than the number(s) specified in Section 1 of this Contract. Further, Contractor shall not limit and/or interfere with Center's right under Title 17 regulations to secure for any consumer served by Contractor additional and/or alternative services which the consumer's Planning Team has determined as needed.
 - L. Contractor is to provide appropriate personnel and equipment to perform the services contracted for herein.
 - 1) Contractor agrees to follow IPP documentation requirements as stated in Title 17, California Code of Regulations, Sections 56022 and 56026, and to provide such training to its staff as needed to assure adequacy of documentation.
 - 2) Contractor agrees to follow person centered planning philosophies as published by DDS for consumers receiving services, and to provide and/or procure such training as needed to ensure adequate staff participation in person centered planning.
 - 3) Contractor agrees to notify consumers, service coordinators, care providers, and other significant persons of annual review staffings in advance. Center agrees to notify Contractor of annual or IPP meetings in advance.
 - 4) Contractor agrees that it shall submit written quarterly reports to the Center's case management staff for individual consumers reflecting progress toward the agreed upon Individual Program Plan/Individual Family Service Plan (IPP/IFSP) objectives.
4. Authorization to Purchase Services Required.
- A. Contractor may not initiate any Services for Consumers until it has received a duly executed Authorization to Purchase Services from Center. Center will not be responsible for any services performed without written authorization.
 - B. Program Managers and the After-Hours Response team are the only Center staff who are designated to give verbal authorization for services or to provide additional services beyond the authorized hours.

- C. Verbal authorization must be followed up within 15 days from the initiation of the requested services by a formal letter of request by the Contractor in order to receive a duly executed Authorization to Purchase Services from the Center.
 - D. Contractor must maintain separate records to support direct service billing for each consumer served and indirect or administrative billing performed during the course of providing services.
5. Contractor Staff.
- A. Contractor hereby warrants that all work will be performed in accordance with accepted professional practices and standards as well as the requirements of applicable federal, state, and local laws.
 - B. Contractor shall contract directly with its staff and shall provide all staff necessary to perform the Services for each Consumer to whom Contractor has agreed to provide services.
 - C. Contractor's staff shall be employees of Contractor. Contractor shall be solely responsible for procuring liability insurance for all staff, for payment of any and all applicable unemployment insurance, disability insurance, worker's compensation insurance, federal and state taxes, benefits, and any other required withholdings for all staff.
 - D. Contractor shall comply with all applicable laws and regulations regarding the hiring, retention, training, licensure and certification of Direct Care Staff and personnel.
 - E. Contractor certifies that all direct care staff providing services under this Contract hold necessary certification and/or licenses required by Title 17, California Code of Regulations and/or Title 22, California Code of Regulations or other pertinent State or Federal regulations.
 - 1) Contractor agrees that all direct care staff providing services under this Contract shall be currently certified to provide first aid, Cardiopulmonary Resuscitation, and any other emergency services required by law or regulation.
 - 2) Contractor shall provide to all direct care staff providing services under this Contract continuing education training on the needs of developmentally disabled persons relevant to the accepted program design (Exhibit C) as per Title 17 Section 56038 et seq. Center may assist in such in-service training if requested to do so by Contractor. Contractor shall provide to Center proof of in-service training upon request.
 - F. Contractor agrees that all staff or volunteers having contact with consumers under this Contract will have cleared a fingerprint/background check that includes the Department of Justice prior to employment and contact with consumers. The Contractor will:
 - 1) Contractor shall require all staff providing Services having contact with Consumers under this Contract to have cleared a fingerprint /background check that includes the Department of Justice prior to having any contact with Consumers, and annually thereafter, unless clearance is sought through the Department of Social Services or the TrustLine Registry, which includes automatic checks for subsequent arrests. Contractor shall provide Center, upon request, with proof of the fingerprint clearance.

- 2) Contractor shall require individuals to submit references from prior employers and/or volunteer organizations. Contractor shall verify the accuracy of these references and document the verification in the employee/volunteer personnel file.
- 3) Contractor, within the scope of and direction of Contractor's agency exclusively, agrees to develop, retain, and implement employment policies and programs to encourage and promote Contractor's employee fitness during performance of service delivery tasks connected with the intended beneficiaries (Center's consumers) within Contractor's program design, California Code of Regulations Title 17 and Title 22 and Direct Support Professional requirements satisfying the principal purpose of "agreement" between Center and Contractor.

EXHIBIT B
RATE OF PAYMENT – HV0427
Bright Futures 1

1. Center shall pay Contractor at the following Negotiated rate:
 - A. \$15,978.50 per consumer per month, inclusive of SSL.
 - B. This rate shall constitute the total amount of compensation for Contractor's performance of this Contract.
2. **\$894,548** is the maximum amount which can be paid by Center to Contractor under this Contract, unless otherwise agreed to in writing by the Parties.
3. Contractor attests that fiscal and program related documentation is complete, accurate to the best of Contractor's knowledge, supported by records and source documentation, prepared in accordance with instructions provided by the Department and subject to audit.
4. Both parties to this Contract have come to a full understanding and agreement of a specified method used to accumulate data contained in the Contractor's documentation. Contractor attests that it utilizes said specified methods.
5. Center has informed Contractor of the applicable and correct median rate for the services to be provided under this Contract.

EXHIBIT C
PROGRAM DESIGN – HV0427
Bright Futures 1

EXHIBIT A
SERVICES – HV0428
Bright Futures 2

1. Contractor is vendored by Center pursuant to Title 17, Section 54356 as a DSS Licensed-Specialized Residential Facility (Habilitation) - Service Code 113.

“A regional center shall classify a vendor as a DSS Licensed-Specialized Residential Facility provider if the vendor operates a residential care facility licensed by the Department of Social Services (DSS) for individuals with developmental disabilities who require 24 hour care and supervision and whose needs cannot be appropriately met within the array of other community living options available. Primary services provided by a DSS Licensed-Specialized Residential Facility may include personal care and supervision services, homemaker, chore, attendant care, companion services, medication oversight (to the extent permitted under State law) and therapeutic social and recreational programming, provided in a home-like environment. Incidental services provided by a DSS Licensed-Specialized Residential Facility may include home health care, physical therapy, occupational therapy, speech therapy, medication administration, intermittent skilled nursing services, and/or transportation, as specified in the IPP. This vendor type provides 24 hour on-site response staff to meet scheduled or unpredictable needs in a way that promotes maximum dignity and independence, and the provision of supervision and direct care support to ensure the consumers’ health, safety and well-being. Other individuals or agencies may also furnish care directly, or under arrangement with the DSS Licensed-Specialized Residential Facility, but the care provided by these other entities must supplement the care provided by the DSS Licensed-Specialized Residential Facility and does not supplant it. Regional Center monitoring of the DSS Licensed-Specialized Residential Facility shall be in accordance with the applicable state laws and licensing regulations, including Title 17, and the regional center admission agreement. Payment for services in a DSS Licensed-Specialized Residential Facility must be made pursuant to Title 17, Section 56919 (a), after the regional center obtains approval from the Department for payment of the prevailing rate or, pursuant to Welfare & Institutions Code, Section 4648 (a)(4), the regional center may contract for the provision of services and supports for a period of up to three years, subject to the availability of funds.”
2. Additional Definitions:
 - A. “Direct Care Staff” means staff who personally provide hands-on training provided by the vendor in accordance with the requirements of consumers’ Individual Program Plan and the provisions of Title 17, Section 56722 of California Code of Regulations.
 - B. “Direct Care Staff” means staff that personally provide hands-on training provided by the vendor in accordance with the requirements of consumers’ Individual Program Plan and the provisions of Title 17, Section 56722 of California Code of Regulations, and who are involved in program preparation functions in accordance with the provisions of Title 17, Section 54302(a)(23).
 - C. “Generic Agency” means any agency which has a legal responsibility to serve all members of the general public and which is receiving public funds for providing such services.
 - D. “Natural Environments” means places and social contexts commonly used by individuals without disabilities.

- E. "Person Centered Planning" means an approach to determining, planning for, and working toward the preferred future of a person with developmental disabilities and her or his family.

3. Specific Consumer Services.

- A. Contractor agrees to provide the Negotiated Rate Residential services in a facility located at 5345 Barbados Circle, Stockton, California 95210.
- B. Bright Futures 2 will be vendored for a total of five (5) beds. The facility will have three (3) private rooms and one (1) shared room.
- C. Bright Futures 2 will be a step-down facility serving developmentally disabled male or female children between the ages of 6 and 17 being discharged from crisis homes.
- D. Contractor agrees that all doors used to enter/exit its licensed facility shall be equipped with alarms that signal movement in and out of the facility, and further that such alarms shall be operational at all times.
- E. Contractor agrees to provide services in accordance with Contractor's Program Design attached hereto as Exhibit C and incorporated herein by reference.
- F. Refusal to accept a referral is limited to individuals who are judged to be beyond the scope of the "target population" contained in the approved program design (Exhibit C).
- G. Contractor agrees to adhere to the Zero Tolerance Policy for Consumer Abuse or Neglect attached hereto as Exhibit D.
- H. Contractor hereby warrants that all work will be performed in accordance with accepted professional practices and standards as well as the requirements of applicable federal, state, and local laws.
- I. Contractor agrees that this facility shall be operated in accordance with Title 17, Division 2 of the California Code of Regulations concerning services for persons with developmental disabilities, Title 22, Division 6 of the California Code of Regulations concerning licensure of community care facilities, and Center's "Best Practice in Licensed Residential Services."
- J. Contractor under this agreement is obligated to the following service delivery model:
 - 1) Facility Management Oversight- a "Facility Administrator" for Group Homes for Children certified by Community Care Licensing of no less than 20 hours weekly as required by Center's Best Practice in Licensed Residential Services, or more if needed. A full-time 40 hour per week "House Manager" to assist in the oversight of the facility operations and implementation of components of the specialized residential service.
 - 2) Staffing Ratios and Consumer Supervision- at a ratio to meet consumer supervision needs, 252 hours of additional weekly direct care staffing above the required 24-hour staff person; one (1) awake-night staff.
 - 3) Consultant Services: The following consultants will be utilized by Contractor: Behavior Management Consultant (Psychologist or LCSW), or Behavior Analyst Consultant (BCBA), but at minimum 16 hours of Consultant Services will be provided to each resident every six (6) months.

- K. Contractor acknowledges that Contractor does not obtain by this Contract a guarantee and/or a vested right to have any particular consumer(s) and/or any number of consumers assigned, transferred or sent to Contractor under this Contract other than the number(s) specified in Section 1 of this Contract. Further, Contractor shall not limit and/or interfere with Center's right under Title 17 regulations to secure for any consumer served by Contractor additional and/or alternative services which the consumer's Planning Team has determined as needed.
- L. Contractor is to provide appropriate personnel and equipment to perform the services contracted for herein.
 - 1) Contractor agrees to follow IPP documentation requirements as stated in Title 17, California Code of Regulations, Sections 56022 and 56026, and to provide such training to its staff as needed to assure adequacy of documentation.
 - 2) Contractor agrees to follow person centered planning philosophies as published by DDS for consumers receiving services, and to provide and/or procure such training as needed to ensure adequate staff participation in person centered planning.
 - 3) Contractor agrees to notify consumers, service coordinators, care providers, and other significant persons of annual review staffings in advance. Center agrees to notify Contractor of annual or IPP meetings in advance.
 - 4) Contractor agrees that it shall submit written quarterly reports to the Center's case management staff for individual consumers reflecting progress toward the agreed upon Individual Program Plan/Individual Family Service Plan (IPP/IFSP) objectives.
- 4. Authorization to Purchase Services Required.
 - A. Contractor may not initiate any Services for Consumers until it has received a duly executed Authorization to Purchase Services from Center. Center will not be responsible for any services performed without written authorization.
 - B. Program Managers and the After-Hours Response team are the only Center staff who are designated to give verbal authorization for services or to provide additional services beyond the authorized hours.
 - C. Verbal authorization must be followed up within 15 days from the initiation of the requested services by a formal letter of request by the Contractor in order to receive a duly executed Authorization to Purchase Services from the Center.
 - D. Contractor must maintain separate records to support direct service billing for each consumer served and indirect or administrative billing performed during the course of providing services.
- 5. Contractor Staff.
 - A. Contractor hereby warrants that all work will be performed in accordance with accepted professional practices and standards as well as the requirements of applicable federal, state, and local laws.

- B. Contractor shall contract directly with its staff and shall provide all staff necessary to perform the Services for each Consumer to whom Contractor has agreed to provide services.
- C. Contractor's staff shall be employees of Contractor. Contractor shall be solely responsible for procuring liability insurance for all staff, for payment of any and all applicable unemployment insurance, disability insurance, worker's compensation insurance, federal and state taxes, benefits, and any other required withholdings for all staff.
- D. Contractor shall comply with all applicable laws and regulations regarding the hiring, retention, training, licensure and certification of Direct Care Staff and personnel.
- E. Contractor certifies that all direct care staff providing services under this Contract hold necessary certification and/or licenses required by Title 17, California Code of Regulations and/or Title 22, California Code of Regulations or other pertinent State or Federal regulations.
 - 1) Contractor agrees that all direct care staff providing services under this Contract shall be currently certified to provide first aid, Cardiopulmonary Resuscitation, and any other emergency services required by law or regulation.
 - 2) Contractor shall provide to all direct care staff providing services under this Contract continuing education training on the needs of developmentally disabled persons relevant to the accepted program design (Exhibit C) as per Title 17 Section 56038 et seq. Center may assist in such in-service training if requested to do so by Contractor. Contractor shall provide to Center proof of in-service training upon request.
- F. Contractor agrees that all staff or volunteers having contact with consumers under this Contract will have cleared a fingerprint/background check that includes the Department of Justice prior to employment and contact with consumers. Contractor will:
 - 1) Contractor shall require all staff providing Services having contact with Consumers under this Contract to have cleared a fingerprint /background check that includes the Department of Justice prior to having any contact with Consumers, and annually thereafter, unless clearance is sought through the Department of Social Services or the TrustLine Registry, which includes automatic checks for subsequent arrests. Contractor shall provide Center, upon request, with proof of the fingerprint clearance.
 - 2) Contractor shall require individuals to submit references from prior employers and/or volunteer organizations. Contractor shall verify the accuracy of these references and document the verification in the employee/volunteer personnel file.
 - 3) Contractor, within the scope of and direction of Contractor's agency exclusively, agrees to develop, retain, and implement employment policies and programs to encourage and promote Contractor's employee fitness during performance of service delivery tasks connected with the intended beneficiaries (Center's consumers) within Contractor's program design, California Code of Regulations Title 17 and Title 22 and Direct Support Professional requirements satisfying the principal purpose of "agreement" between Center and Contractor.

EXHIBIT B
RATE OF PAYMENT – HV0428
Bright Futures 2

1. Center shall pay Contractor at the following Negotiated Rate:
 - A. \$15,851.31 per consumer per month, inclusive of SSL.
 - B. This rate shall constitute the total amount of compensation for Contractor's performance of this Contract.
2. **\$886,916** is the maximum amount which can be paid by Center to Contractor under this Contract, unless otherwise agreed to in writing by the Parties.
3. Contractor attests that fiscal and program related documentation is complete, accurate to the best of Contractor's knowledge, supported by records and source documentation, prepared in accordance with instructions provided by the Department and subject to audit.
4. Both parties to this Contract have come to a full understanding and agreement of a specified method used to accumulate data contained in the Contractor's documentation. Contractor attests that it utilizes said specified methods.
5. Center has informed Contractor of the applicable and correct median rate for the services to be provided under this Contract.

EXHIBIT C
PROGRAM DESIGN – HV0428
Bright Futures 2

EXHIBIT A
SERVICES – HV0498
Bright Futures 3

1. Contractor is vendored by Center pursuant to Title 17, Section 54356 as a DSS Licensed-Specialized Residential Facility (Habilitation) - Service Code 113.

“A regional center shall classify a vendor as a DSS Licensed-Specialized Residential Facility provider if the vendor operates a residential care facility licensed by the Department of Social Services (DSS) for individuals with developmental disabilities who require 24 hour care and supervision and whose needs cannot be appropriately met within the array of other community living options available. Primary services provided by a DSS Licensed-Specialized Residential Facility may include personal care and supervision services, homemaker, chore, attendant care, companion services, medication oversight (to the extent permitted under State law) and therapeutic social and recreational programming, provided in a home-like environment. Incidental services provided by a DSS Licensed-Specialized Residential Facility may include home health care, physical therapy, occupational therapy, speech therapy, medication administration, intermittent skilled nursing services, and/or transportation, as specified in the IPP. This vendor type provides 24 hour on-site response staff to meet scheduled or unpredictable needs in a way that promotes maximum dignity and independence, and the provision of supervision and direct care support to ensure the consumers’ health, safety and well-being. Other individuals or agencies may also furnish care directly, or under arrangement with the DSS Licensed-Specialized Residential Facility, but the care provided by these other entities must supplement the care provided by the DSS Licensed-Specialized Residential Facility and does not supplant it. Regional Center monitoring of the DSS Licensed-Specialized Residential Facility shall be in accordance with the applicable state laws and licensing regulations, including Title 17, and the regional center admission agreement. Payment for services in a DSS Licensed-Specialized Residential Facility must be made pursuant to Title 17, Section 56919 (a), after the regional center obtains approval from the Department for payment of the prevailing rate or, pursuant to Welfare & Institutions Code, Section 4648 (a)(4), the regional center may contract for the provision of services and supports for a period of up to three years, subject to the availability of funds.”
2. Additional Definitions.
 - A. “Direct Care Staff” means staff that personally provide hands-on training provided by the vendor in accordance with the requirements of consumers’ Individual Program Plan and the provisions of Title 17, Section 56722 of California Code of Regulations, and who are involved in program preparation functions in accordance with the provisions of Title 17, Section 54302(a) (23).
 - B. “Generic Agency” means any agency which has a legal responsibility to serve all members of the general public and which is receiving public funds for providing such services.
 - C. “Natural Environments” means places and social contexts commonly used by individuals without disabilities.
 - D. “Person Centered Planning” means an approach to determining, planning for, and working toward the preferred future of a person with developmental disabilities and her or his family.

3. Specific Consumer Services.

- A. Contractor agrees to provide the Specialized Adult Residential Facility to serve consumers with health support needs in a facility located at 14151 N. Tully Rd. Lodi CA 95240.
- B. Facility will be vendored for a total of five (5) beds; one shared bedroom and (3) private bedrooms.
- C. Bright Futures #3 is a Specialized Adult Residential Facility to serve consumers with health support needs for developmentally disabled adults who would benefit from the promotion of health living options.
- D. Consumers must be offered free access to fitness club membership.
- E. Individualized fitness regimens demonstrating activities that encourage an active lifestyle promoting physical fitness: i.e. - walking, hiking, cycling, swimming/ water therapy, dancing, basketball, tennis, etc.
- F. Contractor agrees to provide services in accordance with Contractor's Program Design attached hereto as Exhibit C and incorporated herein by reference.
- G. Contractor agrees to provide a highly structured program, specifically tailored to promote and encourage healthy lifestyle, using coaching, fitness regimen, technology, nutrition and diet plans individually tailored with metrics to evaluate progress.
- H. The service delivery must have a Board Certified Behavior Analyst delivering 20 hours of consultation monthly, fitness trainer (2 hours daily including prep. time), a nutritionist or dietitian to develop individualize diet, meal plans and provide education regarding healthy living.
- I. Refusal to accept a referral is limited to individuals who are judged to be beyond the scope of the "target population" contained in the approved program design (Exhibit C).
- J. Contractor hereby warrants that all work will be performed in accordance with accepted professional practices and standards as well as the requirements of applicable federal, state, and local laws.
- K. Contractor agrees that this facility shall be operated in accordance with Title 17, Division 2 of the California Code of Regulations concerning services for persons with developmental disabilities, Title 22, Division 6 of the California Code of Regulations concerning licensure of community care facilities, and Center's "Best Practice in Licensed Residential Services."
- L. Contractor agrees that its Administrator shall be in the facility providing supervision of direct care staff and the program of services no less than 20 hours per week as required by Center's Best Practice in Licensed Residential Services.
- M. Contractor agrees to adhere to the Zero Tolerance Policy for Consumer Abuse or Neglect attached hereto as Exhibit D.

- N. Contractor acknowledges that Contractor does not obtain by this Contract a guarantee and/or a vested right to have any particular consumer(s) and/or any number of consumers assigned, transferred or sent to Contractor under this Contract other than the number(s) specified in Section 1 of this Contract. Further, Contractor shall not limit and/or interfere with Center's right under Title 17 regulations to secure for any consumer served by Contractor additional and/or alternative services which the consumer's Planning Team has determined as needed.
- O. Contractor is to provide appropriate personnel and equipment to perform the services contracted for herein.
 - 1) Contractor agrees to follow IPP documentation requirements as stated in Title 17, California Code of Regulations, Sections 56022 and 56026, and to provide such training to its staff as needed to assure adequacy of documentation.
 - 2) Contractor agrees to follow person centered planning philosophies as published by DDS for consumers receiving services, and to provide and/or procure such training as needed to ensure adequate staff participation in person centered planning.
 - 3) Contractor agrees to notify consumers, service coordinators, care providers, and other significant persons of annual review staffing in advance. Center agrees to notify Contractor of annual or IPP meetings in advance.
 - 4) Contractor agrees that it shall submit written quarterly reports to the Center's case management staff for individual consumers reflecting progress toward the agreed upon Individual Program Plan/Individual Family Service Plan (IPP/IFSP) objectives.
- 4. Authorization to Purchase Services Required.
 - A. Contractor may not initiate any Services for Consumers until it has received a duly executed Authorization to Purchase Services from Center. Center will not be responsible for any services performed without written authorization.
 - B. Program Managers and the After-Hours Response team are the only Center staff who are designated to give verbal authorization for services or to provide additional services beyond the authorized hours.
 - C. Verbal authorization must be followed up within 15 days from the initiation of the requested services by a formal letter of request by the Contractor in order to receive a duly executed Authorization to Purchase Services from the Center.
 - D. Contractor must maintain separate records to support direct service billing for each consumer served and indirect or administrative billing performed during the course of providing services.
- 5. Contractor Staff.
 - A. Contractor hereby warrants that all work will be performed in accordance with accepted professional practices and standards as well as the requirements of applicable federal, state, and local laws.

- B. Contractor shall contract directly with its staff and shall provide all staff necessary to perform the Services for each Consumer to whom Contractor has agreed to provide services.
- C. Contractor's staff shall be employees of Contractor. Contractor shall be solely responsible for procuring liability insurance for all staff, for payment of any and all applicable unemployment insurance, disability insurance, worker's compensation insurance, federal and state taxes, benefits, and any other required withholdings for all staff.
- D. Contractor shall comply with all applicable laws and regulations regarding the hiring, retention, training, licensure and certification of Direct Care Staff and personnel.
- E. Contractor certifies that all direct care staff providing services under this Contract hold necessary certification and/or licenses required by Title 17, California Code of Regulations and/or Title 22, California Code of Regulations or other pertinent State or Federal regulations.
 - 1) Contractor agrees that all direct care staff providing services under this Contract shall be currently certified to provide first aid, Cardiopulmonary Resuscitation, and any other emergency services required by law or regulation.
 - 2) Contractor shall provide to all direct care staff providing services under this Contract continuing education training on the needs of developmentally disabled persons relevant to the accepted program design (Exhibit C) as per Title 17 Section 56038 et seq. Center may assist in such in-service training if requested to do so by Contractor. Contractor shall provide to Center proof of in-service training upon request.
- F. Contractor agrees that all staff or volunteers having contact with consumers under this Contract will have cleared a fingerprint/background check that includes the Department of Justice prior to employment and contact with consumers. The Contractor will:
 - 1) Contractor shall require all staff providing Services having contact with Consumers under this Contract to have cleared a fingerprint /background check that includes the Department of Justice prior to having any contact with Consumers, and annually thereafter, unless clearance is sought through the Department of Social Services or the Trust Line Registry, which includes automatic checks for subsequent arrests. Contractor shall provide Center, upon request, with proof of the fingerprint clearance.
 - 2) Contractor shall require individuals to submit references from prior employers and/or volunteer organizations. Contractor shall verify the accuracy of these references and document the verification in the employee/volunteer personnel file.
 - 3) Contractor, within the scope of and direction of Contractor's agency exclusively, agrees to develop, retain, and implement employment policies and programs to encourage and promote Contractor's employee fitness during performance of service delivery tasks connected with the intended beneficiaries (Center's consumers) within Contractor's program design, California Code of Regulations Title 17 and Title 22 and Direct Support Professional requirements satisfying the principal purpose of "agreement" between Center and Contractor.

EXHIBIT B
RATE OF PAYMENT – HV0498
Bright Futures 3

1. Center shall pay Contractor at the following Negotiated rate:
 - A. \$15,851.30 per consumer per month, inclusive of SSL.
 - B. This rate shall constitute the total amount of compensation for Contractor's performance of this Contract.
2. **\$815,498** is the maximum amount which can be paid by Center to Contractor under this Contract, unless otherwise agreed to in writing by the Parties.
3. Contractor attests that fiscal and program related documentation is complete, accurate to the best of Contractor's knowledge, supported by records and source documentation, prepared in accordance with instructions provided by the Department and subject to audit.
4. Both parties to this Contract have come to a full understanding and agreement of a specified method used to accumulate data contained in the Contractor's documentation. Contractor attests that it utilizes said specified methods.
5. Center has informed Contractor of the applicable and correct median rate for the services to be provided under this Contract.

EXHIBIT C
PROGRAM DESIGN – HV0498
Bright Futures 3

EXHIBIT D

Zero Tolerance Policy for Consumer Abuse or Neglect

1.0 STATEMENT OF PURPOSE

Valley Mountain Regional Center (VMRC) has no tolerance for consumer abuse or neglect. Research studies document that individuals with developmental disabilities have a significantly higher risk of becoming the victims of abuse, neglect, or exploitation as compared to the non-disabled population. In an effort to ensure the health, safety, and security of VMRC consumers, our agency is committed to a “Zero Tolerance” standard for consumer abuse and neglect.

2.0 DEFINITIONS

Abuse includes, but is not limited to, physical abuse, neglect, financial abuse, abandonment, isolation, abduction, or other treatment which results in physical harm, pain or emotional/mental suffering, or deprivation, by a person providing care and supervision, of goods and services which are necessary to avoid physical harm or emotional/mental suffering further defined as follows:

- **Physical Abuse:** Any act which results in non-accidental injury. The use or attempt to use force on the person of another, unreasonable physical constraint, prolonged or continual deprivation of food and water, chemical restraint, or psychotropic medication without medical authorization used for punishment.
- **Sexual Abuse:** Any non-consenting sexual act or behavior.
- **Financial Abuse:** The illegal or unethical exploitation and/or use of a consumer’s funds, property, or other assets.
- **Neglect:** The negligent failure of a person(s) having care or custody of a consumer to exercise a reasonable degree of care including, but not limited to, a failure to assist in personal hygiene and the provision of food, clothing, and shelter, or failure to provide medical care or protect the client from health and safety hazards including a medical diagnosis of failure to thrive.
- **Abandonment:** The desertion of a consumer by someone who is a caregiver or has custody of the consumer under circumstances in which a reasonable person would continue to provide care and custody.
- **Abduction:** The removal, without the consent of the consumer/conservator, of a consumer/conservatee to another state.
- **Isolation:** The intentional preventing of a consumer from receiving mail, telephone calls or visitors when contrary to the expressed wishes of the consumer.
- **Emotional Abuse/Mental Suffering:** Fear, agitation, confusion, severe depression or other forms of emotional distress that is brought about by deprivation, threats, harassment, or other forms of intimidating behavior.

3.0 PRINCIPALS, GUIDELINES

VMRC and all of their service providers shall ensure employees are fully informed of VMRC's Zero Tolerance Policy on Consumer Abuse and Neglect.

Employees are to be fully informed of the policy upon hire and annually thereafter. Each employee must be knowledgeable of

- 1) their responsibility to protect consumers from abuse and neglect, and
- 2) the signs of abuse and neglect, and
- 3) the process for reporting suspected abuse or neglect, and
- 4) the consequences of failing to follow the law and enforcing this policy.

"Reasonable suspicion" is the standard for reporting abuse and neglect. All VMRC staff who are mandated reporters pursuant to the California Penal Code, and all employees of service providers and long-term care facilities who are mandated reporters will strictly comply with the reporting laws at all times. A mandated reporter must (unless exempt under law) report all consumer abuse to the applicable governmental authorities immediately or as soon as practicable after his or her discovery or reasonable belief that consumer abuse has occurred.

Consumer abuse committed by VMRC employees or employees of service providers or long-term care facilities will not be tolerated. All such abuse or allegations of such abuse will be thoroughly investigated. Any VMRC employee found to have engaged in abuse against a consumer will be subject to severe discipline, up to and including termination. Any abuse found to have been committed by a service provider or long-term care facility employee will be referred to the appropriate authorities and the service provider may also be subject to sanctions up to and including devendorization which equates to removal from the list of those authorized to provide services for any and all regional center consumers.

REPORTING PROCEDURES

Suspected abuse must be reported immediately by calling the appropriate 24-hour hotline and followed by a written report within 2 days of receiving the information concerning the incident.

If you suspect that a child is being abused, contact Child Protective Services:

- San Joaquin County (209)468-1333
- Stanislaus County (800)558-3665
- Amador County (209)223-6550 or (209)223-1075 (After Hours)
- Calaveras County (209)754-6452 or (209)754-6500 (After Hours)
- Tuolumne County (209)533-5717 or (209)533-4357 (After Hours)

If you suspect that an adult is being abused, contact Adult Protective Services:

- San Joaquin County (209)468-2202 or (888)800-4800

- Stanislaus County (800)336-4316
- Amador County (209)223-6550 or (209)223-1075 (After Hours)
- Calaveras County (209)754-6452 or (209)754-6500 (After Hours)
- Tuolumne County (209)768-7753 or (209)533-HELP (4357) (After Hours)

Ombudsman's Offices:

- San Joaquin (209)468-3785
- Stanislaus (209)529-3784
- Amador (209)532-7632
- Calaveras (209)532-7632
- Tuolumne (209)532-7632

Contract Summary and Board Resolution

Valley Mountain Regional Center's Board of Directors reviewed the contracts below on **April 20, 2020** and passed the following resolution:

RESOLVED THAT in compliance with VMRC's BOD Contract Policy, the contracts listed below between VMRC and stated vendors were reviewed and approved by the VMRC BOD on **April 20, 2020** and Board hereby authorized any Officer of the corporation to execute the Agreement without material changes but otherwise on such terms deemed satisfactory to such Officer.

1 Communication Station	\$ 2,341,823
2 Community Anchor Services SLS	\$ 263,568
3 OpenDoor Services Tracy CITP	\$ 868,217
4 OpenDoor Services Stockton BMP	\$ 2,260,364
5 OpenDoor Services Modesto BMP	\$ 1,256,698
6 OpenDoor Services Modesto CITP	\$ 945,350
7 OpenDoor Services Stockton CITP	\$ 2,198,350
8 OpenDoor Services Manteca CITP	\$ 660,000
9 OpenDoor Services Manteca BMP	\$ 578,948
10 Steps Intervention Services	\$ 720,000
11 Trust Management Services	\$ 677,283
12 UCP San Joaquin Creative Intervention	\$ 1,608,582
13 UCP San Joaquin In-Home Respite	\$ 435,418
14 UCP San Joaquin Hammer Ranch ADC II	\$ 1,650,445
15 UCP San Joaquin Manteca ADC I	\$ 720,000
16 UCP San Joaquin Program Without Walls	\$ 640,000
17 UCP San Joaquin SAIL	\$ 700,000
18 UCP San Joaquin BMP	\$ 392,218
19 UCP San Joaquin CITP	\$ 725,000
20 UCP San Joaquin Stockton ADC III	\$ 1,029,738
21 Bright Futures 3	\$ 815,498
22 Bright Futures 1	\$ 894,548
23 Bright Futures 2	\$ 886,916
24 Khan Guest Home	\$ 847,522
25 Shadman House	\$ 886,916
26 STEPS Home	\$ 886,916

VMRC Board of Directors hereby authorizes and designates any office of VMRC to finalize, execute and deliver the Contract on behalf of VMRC, in such form as VMRC's counsel may advise, and on such further terms and conditions as such Officer may approve. The final terms of the Contract shall be conclusively evidence by the execution of the Contract by such Officer. For purposes of this authorization, and "Officer" means VMRC's Executive Director, Chief Financial Officer and no one else.

Certification by Secretary: I certify that: (1) I am the Secretary of VMRC; (2) the foregoing Resolution is a complete and accurate copy of the Resolution duly adopted by VMRC's Board of Directors; (3) the Resolution is in full force and has not been revoked or changed in any way.

Lynda Mendoza, Board Secretary

Date

Valley Mountain Regional Center

Contract Status

AS OF: January 31, 2020

	OPS	POS including Federal C	General Total	OPS CPP	POS CPP	CPP Total	FG/SC Total
Current Fiscal Year 2020							
Contract Year A-4	33,535,803	220,242,001	253,777,804	597,168	1,700,050	2,297,218	458,422
Spent to Date	15,337,874	100,461,731	115,799,605	273,676	71,738	345,414	251,287
Unspent	18,197,929	119,780,270	137,978,199	323,492	1,628,312	1,951,804	207,135
Last Fiscal Year 2019							
Contract Year E-3	30,458,851	195,698,837	226,157,688	529,488	1,352,647	1,882,135	451,782
Spent to Date	29,954,292	193,988,006	223,942,298	529,488	835,568	1,365,056	445,366
Unspent	504,559	1,710,831	2,215,390	0	517,079	517,079	6,416
Second Prior Fiscal Year							
2018 Contract Year D-4	29,493,605	182,331,242	211,824,847	529,663	665,293	1,194,956	493,607
Spent to Date	29,493,605	180,187,366	209,680,971	520,453	580,784	1,101,237	458,980
Unspent	-	2,143,876	2,143,876	9,210	84,509	93,719	34,627

POS EXPENDITURES

January 31, 2020

	Year to Date	Prior Year to Date	Changes to Budget	Budget	% of Total Budget
Community Care Facility	39,809,585	36,241,347		78,000,000	51.0%
ICF/SNF FACILITY	70,395	185,751		500,000	14.1%
Day Care	701,581	746,916		1,500,000	46.8%
Day Training	21,434,063	20,704,263		44,450,000	48.2%
Supported Employment	1,008,505	1,002,868		2,200,000	45.8%
Work Activity Program	316,273	306,442		700,000	45.2%
Non-Medical Services-Professional	282,652	356,186		600,000	47.1%
Non-Medical Services-Programs	14,440,908	12,886,345		37,500,000	38.5%
Home Care Services-Programs	726,461	684,665		1,500,000	48.4%
Transportation	1,598,370	1,469,829		3,500,000	45.7%
Transportation Contracts	8,987,675	9,578,927		20,000,000	44.9%
Prevention Services	8,656,333	8,098,633		17,000,000	50.9%
Other Authorized Services	12,359,274	11,114,674		26,000,000	47.5%
P&I Expense	22,909	25,545		65,000	35.2%
Hospital Care	268,750	268,750		550,000	48.9%
Medical Equipment	165,709	202,231		480,000	34.5%
Medical Care Professional Services	2,345,708	2,088,834		4,637,448	50.6%
Medical Care-Program Services	20,758	34,310		70,000	29.7%
Respite-in-Home	8,284,569	7,027,478		14,700,946	56.4%
Respite Out-of-Home	284,806	317,033		800,000	35.6%
Camps	29,654	28,467		80,000	37.1%
	121,814,941	113,369,493	-	254,833,394	47.8%
CPP	71,738	87,379		1,700,050	
Total Purchase of Service	121,886,679	113,456,871	-	256,533,444	47.5%

ICF SPA RECEIVABLES \$ 2,693,434

OPERATIONS EXPENDITURES

January 31, 2020

	Year to Date	Prior Year to Date	Changes to Budget	Budget	% of Total Budget
Salaries and Wages	11,173,682	11,581,173		21,369,776	52.3%
Temporary Help	19,144	2,090		28,950	66.1%
Fringe Benefits	2,870,328	3,625,160		6,139,026	46.8%
Contracted Employees	59,122	34,980		105,000	56.3%
Salaries and Benefits Total	14,122,276	15,243,403	-	27,642,752	51.1%

	Year to Date	Prior Year to Date	Changes to Budget	Budget	% of Total Budget
Facilities Rent	1,125,255	1,066,168		1,850,000	60.8%
Facilities Maintenance	313,679	371,324		527,000	59.5%
Information Technology	877,835	979,499		1,650,000	53.2%
General Office Expense	116,752	116,697		211,250	55.3%
Operating Expenses	199,857	260,100		340,000	58.8%
Equipment	99,258	75,660		138,791	71.5%
Professional Expenses	252,946	282,725		449,000	56.3%
Office Expenses	33,347	70,707		131,760	25.3%
Travel and Training Expenses	278,379	282,226		595,250	46.8%
Foster Grandparent/Senior Companion Expenses	251,288	260,758		458,422	54.8%
CPP Expense	282,411	229,546		597,168	47.3%
Total Operating Expenses	17,953,283	19,238,813	-	34,591,393	51.9%

Operating Expenses: Telephone, Utilities

Equipment: Equipment Purchases, Equipment Contract Leases

Professional Expenses: Accounting Fees, Advertising, ARCA Dues, Bank Fees, Consultants, Insurance, Interest, Legal Fees, Fees, Licenses and Miscellaneous

Office Expenses: Consumer Medical Record Fees, Postage and Shipping, Printing

Travel and Training Expenses: Board of Director Expense, Travel Admin, Travel Consumer Services

Enter Number of Months Claimed (4 for Dec 10 report)	7
Date of POS Payments Cut-Off:	January 31, 2020

VALLEY MOUNTAIN

POS EXPENDITURE PROJECTIONS

Fiscal Year

Actual Expenditure:

CURRENT MONTH
High Estimate

NON-CPP EXPENDITURES

Estimated Cost of Current Services

\$242,837,237

Estimated Growth

\$0

Enter Other Items as necessary, which may include but are not limited to:

1.	Deduct allocation for HCBSW Compliance	
2.	Deduct estimated receipts from ICFs for SPA services.	-2,500,000
3.	One time adjustment of base	
4.	Adjustment for SSI CCF Rate	
5.	SSI/SSP Restoration (Not Yet Paid)	
6.	Supplemental Rate Increase (effective Jan 2020)	7,200,000
7.	Adjust for current projection	-29,966,840
8.		
9.		
10.		
TOTAL ESTIMATED EXPENDITURES		\$217,570,397

(once 12 months are claimed, keep at 12)

REPORT DATE: March 10, 2019

N REGIONAL CENTER

OBJECTION (PEP) SUMMARY

for 2019-2020

as through January 2020

Enter Prior Month	CHANGES
High Estimate	High Estimate
\$245,707,026	(2,869,789)
\$0	0
	N/A
-2,000,000	(500,000)
	N/A
	N/A
	N/A
7,200,000	0
-53,000,000	23,033,160
	0
	0
	0
\$197,907,026	19,663,371

Move cursor to
adjacent cell A-
1 and click
drop down

	D. Washburn	Diana Powell	D. Stanton	Anthony Demattei	N. Passanisi	Deanna Thompson	A. Krueger	A. Hernandez	J. Frazier	M. Lecaros	J. Gay	M. Natividad	Total
3/31/14 Balance													8,150.82
April repayments													(206.20)
April loans													469.40
4/30/14 Balance													8,414.02
May repayments													(279.40)
May loans													650.00
5/31/14 Balance													8,784.62

October Popplewell Fund Donations

Date	Donor	Amount	Check No.	Address	City	State
02/13/20	Cheryl Lynn Patmon (\$300) via PayPal	\$ 291.00	PayPal			
Current Balance		\$ 12,524.04				

October Popplewell Fund Donations

Zip Code

Date	Interest	Restricted		CK Number	JE Amt	Cash Grant &	Unidentified
		Deposit	Disbursement			Restricted	Collection-Restr.
Beg.						01-00-00-01040-00	01-00-00-99010-00
		202.00	-		202.00	3,974.11	28,931.61
07/27/15		334.80			United Way		
		334.80			334.80	4,245.91	34,243.21
08/10/15		50.00			Kaiser Donation		
08/19/15		102.00			PG&E Donation		
		152.00			152.00	4,397.91	34,395.21
09/08/15		-	611.20	10203	Jeffrey Smith, DDS		
09/18/15			200.00	10204	Laguna ICF-DNN		
		-	811.20		(811.20)	3,586.71	33,584.01
10/22/15		50.00			Modesto City Schools		
10/29/15		50.00			Kaiser - Megan Paslak		
		100.00	-		100.00	3,686.71	33,684.01
11/13/15			600.00 (600.00)	10205	Barbara Mello		
			-		-	3,686.71	33,684.01
12/09/16		102.00			102.00	3,788.71	33,786.01
01/22/16		750.00			Accredited Nursing		
		750.00	-		750.00	4,538.71	34,536.01
02/03/16		334.80			United Way		
02/26/16		50.00			Kaiser for M. Pasalak		
		384.80	-		384.80	4,923.51	34,920.81
03/18/16		102.00			PG and E Donation		
03/22/16			1,000.00		Sonora Oral Surgery for Ian Allen		
		102.00	1,000.00		(898.00)	4,025.51	34,022.81
04/29/16			380.00	10207	E. Carnes/oral surgery		
04/29/16			100.00	10208	C. Christian/vision		
			480.00		(480.00)	3,545.51	33,542.81
06/17/16			200.00	10209	John Alexander		
06/21/16		51.00					
		51.00	200.00		(149.00)	3,396.51	33,393.81
07/07/16		51.00	303.00		for Thomas Valenzuela		
		51.00	303.00		(252.00)	3,144.51	33,141.81
08/02/16		200.00			John Alexander		

08/11/16	310.00			United Way			
08/30/16		254.00		T. Konchalski (Dr. Berger)			
	510.00	254.00		256.00	3,400.51	33,397.81	
09/13/16	102.00			Your Cause/PGandE			
09/22/16		300.00	10212	Sabina Alvarado/Jolette Avila			
09/28/16		237.00	10213	Joseph Delgado 4829149			
	102.00	537.00		(435.00)	2,965.51	32,962.81	
10/07/16		40.00	10214	Da'Nisha Young			
	-	40.00		(40.00)	2,925.51	32,922.81	
11/21/16	125.00			Bertolli			
11/23/16		231.25	10215	Chan			
11/29/16	75.00			Regina Valenzuela (E. Watkins)			
	200.00	231.25		Green/Hennila	(31.25)	2,894.26	32,891.56
12/07/16		231.25	10216	Regina Valenzuela (E. Watkins)			
12/08/16		(231.25)		to subtract re-issue			
12/08/16	852.00	875.00	10217	Dr. Patricia Ramos (Shayla Anderson)			
				Othon Castillo/Accredited Nursing			
	852.00	875.00		(23.00)	2,871.26	32,868.56	
01/05/17	1,650.00			Donations			
01/17/17	100.00			Thomas Martin/donation			
	1,750.00	-		1,750.00	4,621.26	34,618.56	
02/08/17	310.00			United Way			
	310.00	-		310.00	4,931.26	34,928.56	
03/03/17		400.00		Daisy Perez			
03/14/17		200.00		James Yeakle			
03/22/17	202.00						
	202.00	600.00		(398.00)	4,533.26	34,530.56	
05/16/17	45.00			Truist/Costco			
	12.00			Truist/Costco			
	57.00	-		57.00	4,590.26	34,587.56	
06/21/17		700.00	10221	Service First/D. Washburn			
	-	700.00		(700.00)	3,890.26	33,887.56	
07/11/17		1,100.00	10222	Tuolumne.../Diana Powell			
	-	1,100.00		(1,100.00)	2,790.26	32,787.56	
08/15/17	14.00			Truist (donation)			
08/22/17	48.00			United Way			
	62.00			62.00	2,852.26	32,849.56	
09/01/17	25.00			Diana Powell loan PMT			
09/07/17		672.15	10223	Palazzo/D. Stanton			
09/19/17	25.00			Diana Powell loan PMT			
09/26/17	38.85			eScrip			

	88.85	672.15		(583.30)	2,268.96	32,266.26
10/05/17	315.00			Donation/cabinet auction		
10/11/17	70.00			Silent auction for cabinet		
10/18/17	40.00			Silent auction for cabinet		
10/26/17	672.15			D. Stanton loan repayment		
	1,097.15	-		1,097.15	3,366.11	33,363.41
11/09/17		300.00	10226	Leilani Rivera Moreno		
11/09/17		800.00	10225	Jason Sauls		
	-	1,100.00		(1,100.00)	2,266.11	32,263.41
12/04/17	50.00			Loan Repayment D. Powell		
	14.00			Donation		
	25.00			Loan Repayment D. Powell		
12/22/17	104.50			Loan repayment		
	193.50	-		193.50	2,459.61	32,456.91
01/08/18	750.00			Accredited Nursing Care		
01/26/18	25.00			Diana Powell Loan		
	775.00	-		775.00	3,234.61	33,231.91
02/02/18	12.00			Donation		
02/05/18		200.00		Samantha Ferguson 8030242		
	12.00	200.00		(188.00)	3,046.61	33,043.91
03/05/18	225.00			D. Powell repayment		
03/16/18	35.00			Donation		
03/20/18		223.97	10302	\$25 loan repayment D. Powell & other		
				N. Passanisi		
	260.00	223.97		36.03	3,082.64	33,079.94
04/06/18		500.00	10303	Anthony Demattei		
04/06/18	60.00			Donation		
04/13/18	10.00			Donation		
04/24/18		500.00	10304	Gina Poree (Deanna Thompson)		
	70.00	1,000.00		(930.00)	2,152.64	32,149.94
05/10/18	10.00			Donation		
	56.88			Loan repayment D. Thompson		
05/29/18	50.00			Loan repayment D. Thompson		
	6.00			Donation		
	122.88	-		122.88	2,275.52	32,272.82
06/04/18	56.88			Loan repayment D. Thompson		
	130.00			Donations		
	186.88	-		186.88	2,462.40	32,459.70
07/03/18		324.00	10305	Loan Ansel Krueger		
07/03/18	50.00			Loan repayment D. Powell		
07/03/18	10.00			Donation		
07/12/18	56.88			Loan repayment D. Thompson		
07/17/18		1,500.00	10306	Jason Sauls		
07/09/18	3.74			closing of Grant Fund to Deposit Account		
	116.88	1,827.74		(1,710.86)	751.54	30,748.84
08/08/18	25.00			Donations		
	56.88			Loan repayment D. Thompson		
	81.88	-		81.88	833.42	30,830.72
09/10/18	210.00			Donations		
	56.88			Loan repayment D. Thompson		
09/20/18	10.00			Loan repayment A. Krueger		

	276.88	-			276.88	1,110.30	31,107.60
10/04/18	120.00						
	56.88						
10/11/18	10.00						
10/19/18		350.00	10308	Loan repayment D. Thompson Donation Safeguard Pest Liptrap, Patick & Shainyel			
	25.00						
10/26/18				Donation			
	211.88	350.00			(138.12)	972.18	30,969.48
11/07/18	10.00			Donation			
	10.00			Repayment: Krueger			
	56.88			Repayment: Thompson			
	2,500.00			Donation PG&E Corp.			
	509.19			Donations			
	3,086.07	-			3,086.07	4,058.25	34,055.55
12/06/18	56.88			Repayment: D. Thompson			
	10.00			Repayment: A. Krueger			
	930.00			Donations			
	10.00			Donation			
		(350.00)		check 10308 not used			
	1,006.88	(350.00)			1,356.88	5,415.13	35,412.43
01/03/19	1,015.00			Donations			
01/10/19	10.00			Repayment: Krueger			
01/10/19	44.96			Repayment: Thompson			
01/24/19	52.00			Donation			
	1,121.96	-			1,121.96	6,537.09	36,534.39
02/07/19	10.00			Donation			
02/07/19	10.00			Repayment: Krueger			
		2,500.00	10310	PG&E Donation reversal			
	20.00	2,500.00			(2,480.00)	4,057.09	34,054.39
03/08/19	10.00			Donation			
	10.00			Repayment: Krueger			
03/21/19	110.00			Donations			
	130.00	-			130.00	4,187.09	34,184.39
04/16/19	30.00			Donation			
	70.00			Donation			
	10.00			Repayment: Krueger			
	110.00	-			110.00	4,297.09	34,294.39
05/03/19	50.00			Donations			
	10.00			Repayment: Krueger			
05/20/19		385.00	10314	Person Centered Services c/o Justin Gay			
		685.00	10313	Person Centered Services c/o Justin Frazier			
		685.00	10311	Person Centered Services c/o Albert Hernandez			
	1.00			Donation			
05/23/19	40.00			Donation			
05/31/19	631.15			Transfer from deposit account/donations			
	732.15	1,755.00			(1,022.85)	3,274.24	33,271.54
06/13/19	10.00			Repayment: Krueger			
	10.00			Donation			
	20.00	-			20.00	3,294.24	33,291.54
07/05/19	10.00			Repayment: Krueger			
	700.00			Donations			
07/11/19	100.00			Donation			
	113.76			Repayment: Hernandez			
	113.76			Repayment: Frazier			
	113.76			Repayment: Gay			

	194.20			Donation Transfer from 7501			
	1,345.48	-		1,345.48	4,639.72	34,637.02	
	(194.20)	already in GL 00036					
	1,151.28	activity journalized					
08/07/19	10.00			Donation			
	10.00			Repayment: Krueger			
	56.88			Repayment: Frazier			
	56.88			Repayment: Gay			
	56.88			Repayment: Hernandez			
08/22/19	50.00			Donation			
08/29/19	60.00			Donation			
credit card	271.88			July WePay Donations			
credit card	85.00			June WePay Donations			
	657.52	-		657.52	5,297.24	35,294.54	
09/05/19	56.88			Repayment: Gay			
	56.88			Repayment: Frazier			
	56.88			Repayment: Hernandez			
09/19/19	2,500.00			Donation: TMS			
	2,670.64	-		2670.64	7,967.88	37,965.18	
10/03/19	50.00			Donation			
10/10/19	50.00			Donation			
	10.00			Donation			
	56.88			Repayment: Gay			
	56.88			Repayment: Frazier			
	56.88			Repayment: Hernandez			
10/24/19		218.00	10315	Dr. Maria Oronce/Re: J. Roberston			
	1,000.00			C&S Wholesale Grocers			
10/08/19	87.09			Oscar Dayrit	PayPal		
10/08/19	87.09			Judy Quintal	PayPal		
10/08/19	43.39			Eulerina Koester	PayPal		
10/14/19	43.39			Karen Puente	PayPal		
10/15/19	43.39			Melissa Raqueno	PayPal		
10/15/19	43.39			Remy Raqueno	PayPal		
10/17/19	43.39			Dominga Gier	PayPal		
10/18/19	130.78			Myrna Tacsion	PayPal		
10/28/19	43.39			Jerald Pasma	PayPal		
10/29/19	43.39			Christina Selvidge	PayPal		
10/29/19	43.39			Ann Kristine Lim	PayPal		
10/29/19	43.39			Nita Laguna	PayPal		
10/29/19	43.39			John Nakawatase	PayPal		
	2,019.50	218.00		1,801.50	9,769.38	39,766.68	
				activity	Balance		
11/07/19	50.00			Donation			
	56.88			Repayment: Gay			
	56.88			Repayment: Hernandez			
	56.88			Repayment: Frazier			
	10.00			Donation			
11/2/2019	43.39			Lulu Menor	PayPal		
11/2/2019	43.39			Lulu Menor	PayPal		
11/4/2019	43.39			MIA CHATMAN-JAMES	PayPal		
11/4/2019	43.39			Digna Cabrera	PayPal		
11/4/2019	43.39			Eliseo Cabrera	PayPal		
11/5/2019	43.39			ARC San Joaquin	PayPal		
11/6/2019	43.39			Virginia Yadao	PayPal		
11/6/2019	87.09			Dona Want	PayPal		
11/6/2019	43.39			Eldred Cabrera	PayPal		
11/6/2019	43.39			Dominga Gier	PayPal		
11/6/2019	43.39			estrella williams	PayPal		
11/7/2019	43.39			Margarita King	PayPal		
11/7/2019	43.39			Ingrid Pil	PayPal		
11/7/2019	87.09			Myrna Tacsion	PayPal		
11/8/2019	43.39			JESS TOJINO	PayPal		
11/8/2019	96.80			Corinne Seaton	PayPal		
11/8/2019	43.39			JESS TOJINO	PayPal		
11/8/2019	43.39			Connie Uychutin	PayPal		
11/8/2019	43.39			Lorna Villanueva	PayPal		
11/8/2019	43.39			Arvin Villanueva	PayPal		
11/8/2019	43.39			Connie Uychutin	PayPal		
11/8/2019	43.39			Candice Bright	PayPal		
11/8/2019	43.39			Candice Bright	PayPal		
11/8/2019	43.39			Cindy La	PayPal		
11/8/2019	43.39			karyn gregorius	PayPal		
	1,456.20	-		1,456.20	11,225.58	41,222.88	
12/05/19	60.00			Donation: Othon Castillo			

	43.72			Repayment: Frazier			
	56.88			Repayment: Gay			
	56.88			Repayment: Hernandez			
12/20/19	13.16			Justin Frazier			
	750.00			Donation: Accredited Nursing			
	10.00			Ansel Kruger			
		13.16	10316	Justin Gay overpayment			
	990.64	13.16			977.48	12,203.06	42,200.36
01/08/20	976.00			YourCause/Chevron			
	15.00			YourCause/AT&T			
	56.88			Repayment: Frazier			
	56.88			Repayment: Hernandez			
01/16/20		348.00	10317	Louis Orimo, DDS: Beverly Winn			
01/27/20		395.30	10318	Positive Investments: M. Lecaros			
01/27/20		204.70	10319	New Leaf Solutions: M. Lecaros			
01/30/20	5.00			YourCause/AT&T			
01/30/20	1,000.00			YourCase/Chevron			
	2,109.76	948.00			1,161.76	13,364.82	43,362.12
02/13/20	56.88			Repayment: Hernandez			
	56.88			Repayment: Frazier			
	291.00			PayPal: Cheryl Lynn Patmon (\$300)			
		400.00	10320	Positive Investments: M. Lecaros			
		200.00	10321	Mary Ann Lecaros			
02/19/20		645.54	10322	Star Property Management (M. Natividad)			
	404.76	1,245.54			(840.78)	12,524.04	42,521.34

VALLEY MOUNTAIN REGIONAL CENTER
Bank Reconciliation -Union Bank
James R. Poppelwell Memorial Fund
General Ledger Account # 01-00-00-01040-00
January 2019

Bank Balance Restricted Donation Account 1/31	13,964.82
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Outstanding check	10318	(395.30)
Outstanding check	10319	(204.70)

Adjusted bank balance	<u>13,364.82</u>
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General Ledger Balance 1/31	12,203.06
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January Activity	1,161.76
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Adjusted General Ledger Balance 1/31	<u>13,364.82</u>	-
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Prepared By: _____	Date: _____
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Approved By: _____	Date: _____
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VALLEY MOUNTAIN REGIONAL CENTER
Bank Reconciliation -Union Bank
James R. Poppelwell Memorial Fund
General Ledger Account # 01-00-00-01040-00
December 2019

Bank Balance Restricted Donation Account 12/31	12,203.06
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Adjusted bank balance	<u><u>12,203.06</u></u>
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General Ledger Balance 12/31	11,225.58
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December Activity	977.48
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Adjusted General Ledger Balance 12/31	<u><u>12,203.06</u></u>	-
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Prepared By: _____	Date: _____
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Approved By: _____	Date: _____
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VALLEY MOUNTAIN REGIONAL CENTER
Bank Reconciliation -Union Bank
James R. Poppelwell Memorial Fund
General Ledger Account # 01-00-00-01040-00
November 2019

Bank Balance Restricted Donation Account 11/30	11,225.58
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Adjusted bank balance	<u>11,225.58</u>
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General Ledger Balance 11/30	9,769.38
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November Activity	1,456.20
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Adjusted General Ledger Balance 11/30	<u>11,225.58</u>	-
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Prepared By: _____	Date: _____
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Approved By: _____	Date: _____
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VALLEY MOUNTAIN REGIONAL CENTER
Bank Reconciliation -Union Bank
James R. Poppelwell Memorial Fund
General Ledger Account # 01-00-00-01040-00
October 2019

Bank Balance Restricted Donation Account 10/31	9,987.38
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Outstanding Check 10315	(218.00)
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Adjusted bank balance	<u>9,769.38</u>
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General Ledger Balance 10/31	7,967.88
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October Activity	1,801.50
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Adjusted General Ledger Balance 10/31	<u>9,769.38</u>	-
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Prepared By: _____	Date: _____
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Approved By: _____	Date: _____
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VALLEY MOUNTAIN REGIONAL CENTER
Bank Reconciliation -Union Bank
James R. Poppelwell Memorial Fund
General Ledger Account # 01-00-00-01040-00
September 2019

Bank Balance Restricted Donation Account 9/31	7,967.88
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Adjusted bank balance	<u><u>7,967.88</u></u>
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General Ledger Balance 9/31	5,297.24
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September Activity	2,670.64
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Adjusted General Ledger Balance 9/31	<u><u>7,967.88</u></u>	-
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Prepared By: _____	Date: _____
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Approved By: _____	Date: _____
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VALLEY MOUNTAIN REGIONAL CENTER
Bank Reconciliation -Union Bank
James R. Poppelwell Memorial Fund
General Ledger Account # 01-00-00-01040-00
August 2019

Bank Balance Restricted Donation Account 8/31	5,297.24
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Adjusted bank balance	<u><u>5,297.24</u></u>
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General Ledger Balance 8/31	4,282.84
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August Activity	657.52
Donations journalized through	271.88
cash receipts as credit	85.00

Adjusted General Ledger Balance 8/31	<u><u>5,297.24</u></u>	-
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Prepared By: _____	Date: _____
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Approved By: _____	Date: _____
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VALLEY MOUNTAIN REGIONAL CENTER
Bank Reconciliation -Union Bank
James R. Poppelwell Memorial Fund
General Ledger Account # 01-00-00-01040-00
July 2019

Bank Balance Restricted Donation Account 7/31	4,639.72
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Adjusted bank balance	<u><u>4,639.72</u></u>
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General Ledger Balance 7/31	3,403.44
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July Activity	1,151.28
Deposit for Popplewell Fund made to MM	85.00

Adjusted General Ledger Balance 7/31	<u><u>4,639.72</u></u>	-
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Prepared By: _____	Date: _____
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Approved By: _____	Date: _____
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