



Finance Committee Meeting Minutes

12/04/2019 | 05:30 PM - 06:30 PM - Pacific Time (US & Canada)

Attendees (16)

Committee Members Present: Margaret Heinz, Board President, Linda Collins, Committee Chair, Connie Uychutin, Jose Lara, Alicia Schott, Lisa Utsey and Facilitator

Staff Present: Tony Anderson, Executive Director, Doug Bonnet, Assistant to the Executive Director, Claudia Reed, CFO, Corina Ramirez, Administrative Assistant – Resource Development

Other Board Members Present: Mohamed Rashid, Lynda Mendoza, Dena Pfeifer and Facilitator

Public Present: Mariela Ramos

Meeting called to order at 5:30 PM by Margaret Heinz, Board President

A. Appointment of New Committee Chair

Margaret Heinz announces the appointment of Linda Collins as new Finance Committee Chair.

B. Review and Approval of Meeting Agenda

Linda Collins, Chair, requested a motion to approve the meeting agenda. Lisa Utsey made a motion to approve the meeting agenda. Margaret Heinz seconded. Approved by unanimous consent.

C. Review and Approval of Finance Committee Meeting Minutes of 11/06/19 – Page 3.

Linda Collins requested a motion to approve the Finance Committee Meeting Minutes of 11/06/19. Alicia Schott made a motion to approve. Jose Lara seconded. Approved by unanimous consent.

D. Public Comments

None.

E. Approval of Contracts over \$250,000 – Pages 5 – 32.

1. Horizon Day Program Contract – Corina Ramirez

Linda Collins asked for a motion to approve the Horizon Day Program Contract. Margaret Heinz made a motion. Alicia Schott seconded the motion. Connie Uychutin abstained. Approved by unanimous consent.

F. Fiscal Department Update

1. Acceptance of Contract Status Report (CSR) – page 34 - Claudia Reed
Linda Collins asked for a motion to approve the Contract Status Report. Lisa Utsey made a motion. Margaret Heinz seconded the motion. Approved unanimously.
2. Purchase of Service (POS) and Operations (OPS) Expenditures – page 35 – 36 - Claudia Reed
3. VMRC Monthly POS Expenditure (PEP) Summary – No report this month.
4. Acceptance of Restricted Donations – page 37 - Claudia Reed
Linda Collins asked for a motion to approve the restricted donations. Alicia Schott made a motion to approve the restricted donations. Jose Lara seconded the motion. Approved unanimously.

G. Next Meeting - Wednesday, January 8, 2020, 5:30 PM, VMRC Stockton Office, Cohen Board Room



Valley Mountain Regional Center Finance Committee Meeting Agenda

12/04/19

VMRC Stockton Office, Cohen Board Room

702 N. Aurora Street

Stockton, CA, 95202

Dial-in Number: 1-866-299-7945, Conference Passcode: 7793177#

Committee Members: Linda Collins (Chair), Margaret Heinz, Connie Uychutin, Alicia Schott, Jose Lara, Lisa Utsey



Meeting Book - Valley Mountain Regional Center Finance Committee Meeting

Valley Mountain Regional Center Finance Committee Meeting Agenda

A. Appointment of New Committee Chair
Margaret Heinz

B. Review and Approval of Meeting Agenda
Linda Collins

Committee Action

C. Review and Approval of Finance Committee Meeting Minutes of
11/06/19
Linda Collins

Committee Action

Fin Com Minutes 11 06 19.pdf

Page 3

D. Public Comments

E. Approval of Contracts over \$250,000
Corina Ramirez

Committee Action

Horizon DP Contact 20-21.pdf

Page 5

Contract Summary Board Resolution for 12 2019.pdf

Page 33

F. Fiscal Department Update
Claudia Reed

1. Acceptance of Contract Status Report (CSR)

Committee Action

CSR, OPS, POS Reports for 12 2019.pdf

Page 34

2. Purchase of Service (POS) and Operations (OPS)
Expenditures

3. VMRC Monthly POS Expenditure (PEP) Summary

4. Acceptance of Restricted Donations

Committee Action

Copy of Poppelwell Fund 19-20, 12 2019.xlsx

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5. A-2 Amendment for Fiscal Year 2019-2020

G. Next Meeting - Wednesday, January 8, 2020, 5:30 PM, VMRC
Stockton Office, Cohen Board Room
Linda Collins



Finance Committee Meeting

11/06/2019 | 05:30 PM - 06:30 PM - Pacific Time (US & Canada)

Attendees (14)

Committee Members Present: Margaret Heinz, President, Connie Uychutin, Jose Lara, Alicia Schott (via phone), Lisa Utsey and Facilitator

Committee Members Not Present: Elizabeth Victor Martinez, Chair and Treasurer (resigned today)

Staff Present: Tony Anderson, Executive Director, Doug Bonnet, Assistant to the Executive Director, Claudia Reed, CFO, Corina Ramirez, Administrative Assistant – Resource Development

Other Board Members Present: Lynda Mendoza, Mohamed Rashid, Dena Pfeifer and Facilitator

Public Present: None

Meeting called to order at 5:30 PM by Board President Margaret Heinz. Margaret announced that effective today, Elizabeth Victor-Martinez has resigned as a Board Member, as the Board Treasurer, and as the Finance Committee Chair. The Board will vote to fill the spot of Treasurer. Margaret will chair the Finance Committee meetings until the Treasurer is elected.

A. Review and Approval of Meeting Agenda

Approved by unanimous consent.

B. Review and Approval of Finance Committee Meeting Minutes of 10/02/19

Approved by unanimous consent.

C. Public Comment

None.

D. Approval of Contracts over \$250,000 - Corina Ramirez

See pages 5 – 94.

Jose Lara made motion to approve all contracts over \$250,000. Lisa Utsey seconded the motion. All contracts approved by unanimous consent. Connie Uychutin abstained.

E. Fiscal Department Update - Claudia Reed

1. Acceptance of Contract Status Report (CSR) - See page 97.
Connie Uychutin made a motion to accept the Contract Status Report. Alicia Schott seconded the motion. The Contract Status Report was approved by unanimous vote.
2. Purchase of Service (POS) and Operations (OPS) Expenditures – See page 98.
3. VMRC Monthly POS Expenditures (PEP) Summary – No report this month.
4. Acceptance of Restricted Donations – See page 95.
Jose Lara made a motion to approve the Restricted Donations. Connie seconded the motion. Restricted Donations were approved unanimously.
5. A-1 Amendment for Fiscal Year 2019-2020 – See page 96.

F. Next Meeting - Wednesday, December 4, 2019, 5:30 PM, VMRC Stockton Office, Cohen Board Room

Meeting adjourned at 606pm.

**Valley Mountain Regional Center
POS Independent Contractor Contract**

This POS Independent Contractor Contract ("Contract") is entered into this 1st day of January, 2020, in the City of Stockton, County of San Joaquin, State of California, by and between Valley Mountain Regional Center, Inc. ("Center") and Horizon Day Program ("Contractor"). Each party to this Contract shall be referred to individually as "Party" and collectively as "Parties." This Contract shall be effective on and after the date in which both Parties sign it ("Effective Date").

RECITALS

- A. WHEREAS, pursuant to Section 4648 of the California Welfare and Institutions Code ("W&I Code"), Center is authorized to, pursuant to Vendorization or a contract, purchase services or supports for its Consumers from any individual or agency which Center determines will best accomplish all or any part of its Consumers' program plans;
- B. WHEREAS, Title 17 of the California Code of Regulations ("Title 17") permits the establishment of service contracts for the provision of Vendored services;
- C. WHEREAS, Contractor is currently Vendored by Center to provide the Services described in Exhibit A for Center's Consumers;
- D. WHEREAS, Center and Contractor desire to define the terms and conditions pursuant to which Contractor will provide the Services described in Exhibit A to Center's Consumers;
- E. NOW, THEREFORE, for valuable consideration, including the promises and payments described herein, the Parties agree as follows:

AGREEMENT

- 1. **Truth of Recitals and Representations.** The Parties hereby acknowledge the truth of the Recitals set forth above, and agree that the facts recited are conclusively presumed to be true as between the Parties and their successors in interest pursuant to Section 622 of the California Evidence Code. Contractor further affirms that all fiscal and other documentation submitted to Center in conjunction with the negotiation and preparation of this Contract, and Contractor's Vendorization, is complete and accurate to the best of Contractor's knowledge, supported by records and source documents, prepared in accordance with all instructions provided by Center and all applicable laws, and subject to review pursuant to any audit by Center, the State of California Department of Developmental Services, and/or any other agency of the State of California or the Federal Government, as permitted by law.
- 2. **Definitions.** The definitions set forth in the W&I Code and Title 17 shall apply to this Contract. The following terms, some of which are taken therefrom, are specifically utilized in this Contract.
 - A. "Consumer" means an individual who has been determined by Center to meet the eligibility criteria of W&I Code Section 4512(a) and Sections 54000, 54001 and 54010 of Title 17, and for whom Center has accepted responsibility to provide services.
 - B. "DDS" means the State of California Department of Developmental Services.
 - C. "Individual Program Plan" or "IPP" means a written plan that is developed by Center's Planning Team in accordance with the provisions of W&I Code Sections 4646 and 4646.5.

- D. "Individual Service Plan" or "ISP" means an individualized plan generated for each Consumer to detail exactly how to meet the goals set forth in the IPP.
- E. "Internal Grievance Procedure" means the written set of procedures, established pursuant to W&I Code Section 4705(a), a vendor uses to achieve the communication and resolution of Consumer dissatisfaction.
- F. "Planning Team," also known as Interdisciplinary (ID) Team, means the group of persons convened in accordance with the W&I Code Section 4646 for the purpose of preparing a Consumer's IPP.
- G. "Record" means any book, document, writing, whether tangible, or in an electronic format, evidencing operational, financial or service activities of a service provider such as Contractor, or Center, or pertaining to the service program and/or the provision of services to persons with developmental disabilities.
- H. "Service" means assistance provided and duties performed by a Vendor for a Consumer, as described in Exhibit A.
- I. "Service Coordinator" means the individual specified in W&I Code Section 4648(a) as the person with the responsibility for implementing, overseeing, and monitoring a Consumer's IPP and for maintaining the Consumer's case management or service coordination file. Service Coordinator is synonymous with Center case manager, counselor or client program coordinator.
- J. "Service Design," also known as Program Design, means a written description of the service delivery capabilities and orientation developed, maintained, and implemented by a Vendor in compliance with the rules and regulations.
- K. "Special Incident Report" is the documentation prepared by Vendor staff or long-term health care facility staff detailing a special incident and provided to Center and appropriate law enforcement authorities.
- L. "Vendor" means an applicant which has been given a Vendor identification number and has completed the Vendorization process set forth in Section 54302, *et seq.* of Title 17.
- M. "Vendorization" means the process used to:
- 1) Verify that an applicant meets all of the requirements and standards pursuant to Section 54320 of Title 17 prior to the provision of Services to Consumers; and,
 - 2) Assign Vendor identification numbers, service codes and sub-codes, for the purpose of identifying Vendor expenditures.
3. **Purpose of Contract.** The purpose of this Contract is to outline the obligations and duties of Contractor in providing the Services described in Exhibit A to Consumers and the means and process by which Contractor shall be compensated for said Services.
4. **Term of Contract.** This Contract shall commence on the 1st day of January, 2020 ("Commencement Date"), and shall terminate at midnight on the 31st day of December, 2020 ("Termination Date"), unless renewed by the Parties, or terminated earlier, pursuant to the terms of this Contract. Center shall not be required to compensate Contractor for Services performed by Contractor prior to Contractor's receipt of a written Authorization to Purchase Services from Center or subsequent

to termination of this Contract, and any Services performed subsequent to termination of this Contract shall not be construed as a renewal of this Contract.

- A. Automatic Renewal of Contract. On the Termination Date, this Contract shall automatically renew for a one (1) year period ("Renewal Period"), on the same terms and conditions contained herein, including, but not limited to, the rate to be paid by Center for Contractor's Services, unless: (1) no later than sixty (60) days before the Termination Date, a Party gives written notice to the other Party of the intent to terminate the Contract on the Termination Date; or (2) this Contract is terminated pursuant to Section 4(B) below. If this Contract is automatically renewed pursuant to this Section, Center shall send a written confirmation to Contractor within thirty (30) days of the originally scheduled Termination Date. This Contract can be renewed pursuant to this provision for a maximum of five (5) years from the original Commencement Date. For automatic renewal to occur, the process set forth in this Section must be followed each year until this Contract is terminated. Automatic renewal of this Contract for one (1) or more years does not guarantee renewal for any subsequent year. If either Party desires to modify any of the terms of this Contract during a Renewal Period, that Party shall send a written request for a meeting to discuss the proposed modification(s). Any modification to this Contract during the renewal process, or otherwise, shall be set forth in a written amendment signed by both Parties. No oral representation by the Parties or their representatives shall be effective to renew this Contract.
- B. Early Termination of Contract by Center. Center may terminate this Contract prior to the date set forth in this Section 4 should Center, DDS or the State of California determine that Contractor has failed to comply with any provision of this Contract, failed to comply with the written Authorization to Purchase Services, and/or failed to comply with any applicable Federal, State or local law or regulation, including, but not limited to, those which govern the provision of Services to persons with developmental disabilities. Should Center, DDS or the State of California determine that termination of this Contract is appropriate, Center shall provide Contractor with a written notice of termination describing the reason for termination ("Center's Notice of Termination"). This Contract shall be deemed terminated thirty (30) days following delivery of Center's Notice of Termination ("Termination Date"). Center's Notice of Termination shall be deemed delivered on the date that it is either mailed via certified mail, return receipt requested, or personally delivered to Contractor. Termination may occur without notice, or with less than thirty (30) days' notice, if Center determines that either: the results of any evaluation of Contractor's Service delivery warrant termination without notice or with notice of less than thirty (30) days, or Contractor's Service delivery contributes to life-threatening dangers to, or has resulted in abuse of, a Consumer.
- C. Early Termination of Contract by Contractor. Should Contractor determine that termination of this Contract is appropriate, Contractor shall provide Center with a notice of termination describing the reason for termination ("Contractor's Notice of Termination"). This Contract shall be deemed terminated thirty (30) days following delivery of Contractor's Notice of Termination, unless Contractor specifies in its Notice of Termination that termination shall be effective at a later date ("Termination Date"). Contractor's Notice of Termination shall be deemed delivered on the date that it is either mailed via certified mail, return receipt requested, or personally delivered to Center.

- D. Termination of Contract by Either Party without Cause. Notwithstanding Sections 4(A), 4(B) or 4(C) of this Contract, this Contract may be terminated by either Party without cause upon ninety (90) days written notice to the other Party, or as mutually agreed.
- E. No Payment for Services Performed After Termination Date. On and after the Termination Date, Contractor shall not conduct any further activities under this Contract and Center's obligation to pay Contractor pursuant to this Contract shall cease. Any expenses incurred by Contractor following the Termination Date will not be reimbursed pursuant to this Contract. If Contractor performed Services prior to the Termination Date that are reimbursable pursuant to the terms of this Contract, Contractor shall deliver a final claim form within ten (10) business days of the Termination Date. Center shall reimburse Contractor for those Services that were legitimately performed prior to the Termination Date.
- F. Compliance with Laws Upon Termination. In the event of termination, Center and Contractor shall comply with all applicable Federal, State and local laws and regulations, including, but not limited to, W&I Code Sections 4502, 4646, 4646.4, 4648, 4710 and 4741.
5. Referral of Consumers. Center's case management staff may refer Consumers to Contractor, as it deems appropriate, through Contractor's designee. Contractor acknowledges and agrees that Center is not obligated to refer any particular number of Consumers to Contractor, or any Consumers at all, and that this Contract is not intended to, nor shall it constitute a guarantee or vested right to have any particular Consumer, or any number of Consumers referred, assigned, transferred or sent to Contractor for Services. Contractor further acknowledges and agrees that Center shall not be liable to Contractor, for any cause of action, in law or equity, including, but not limited to, breach of contract or breach of the implied covenant of good faith and fair dealing, if Center does not refer, assign, transfer or send any particular Consumer, or any number of Consumers to Contractor for Services. Contractor further acknowledges and agrees that it shall not interfere with or limit Center's right under the W&I Code and Title 17 to secure for any Consumer served by Contractor, additional and/or alternative services which Center has determined are appropriate.
6. Services. Contractor shall provide Services in accordance with all applicable Federal, State and local laws or regulations, including but not limited to, Title 17 as it relates to the appropriate service type, in accordance with the Program Design attached hereto and incorporated herein as Exhibit C, and in accordance with the terms and conditions of this Contract, including Exhibits and/or documents referenced in this Contract. In the event of a conflict between the terms of the Program Design and this Contract, the terms of this Contract shall govern. The level of service provided by Contractor shall, at a minimum, be consistent with Contractor's Program Design, any other program-related documentation relied upon by the Department as a basis for establishing rates of payment, and any applicable Federal, State and local laws or regulations.
7. Contractor's Duties.
- A. Authorization to Purchase Services Required. Contractor may not initiate or provide any Services for Consumers until Center has issued a duly executed Authorization to Purchase Services.

B. Special Incident Reporting Requirements.

- 1) Contractor shall report any and all Special or Unusual Incidents pursuant to Section 54327 of Title 17, including, but not limited to:
 - a) The Consumer is missing and Contractor or long-term health care facility has filed a missing person's report with a law enforcement agency;
 - b) Reasonably suspected abuse/exploitation, including: physical, sexual, fiduciary, emotional/mental, or physical and/or chemical restraint;
 - c) Reasonably suspected neglect, including failure to: provide medical care for physical and mental health needs; prevent malnutrition or dehydration, protect from health and safety hazards, failure to assist in personal hygiene or the provision of food, clothing, or shelter, or exercise the degree of care that a reasonable person would exercise in the position of having the care and custody of a dependent adult;
 - d) Serious injury or accident, including: lacerations requiring sutures or staples, puncture wounds requiring medical treatment beyond first aid, fractures, dislocations, bites that break the skin and require medical treatment beyond first aid, internal bleeding requiring treatment beyond first aid, any medication errors, medication reactions that require medical treatment beyond first aid, and burns that require medical treatment beyond first aid;
 - e) Any unplanned or unscheduled hospitalization due to the following conditions: respiratory illness, seizure-related, cardiac-related, internal infection, diabetes, including diabetes-related complications, wound/skin care, nutritional deficiencies, or involuntary psychiatric admission;
 - f) The death of any Consumer, regardless of cause and regardless of when or where it occurred; and
 - g) The victimization of a Consumer of the following crimes: robbery, aggravated assault, larceny, burglary, and rape/attempted rape, regardless of when or where such an incident occurred.
- 2) Special Incident Reports shall be made to the appropriate law enforcement authorities and to Center, via telephone, electronic mail or facsimile, immediately upon discovery of the incident, and under no circumstances shall said reporting occur more than twenty-four (24) hours after an incident occurred, was discovered, or was suspected, whichever is earliest. Notification to the assigned Service Coordinator, the Officer of the Day, or the After Hours Response Team, as identified by the Special Projects Unit of Center, shall satisfy the initial reporting requirement.
- 3) Thereafter, within forty-eight (48) hours after the alleged incident occurred, was discovered, or suspected, whichever is earliest, Contractor shall provide Center with a written report describing the alleged incident and Contractor's response thereto. Failure to report any such incident to the appropriate law enforcement

authorities and to Center, and/or failure to provide the required written report, shall constitute a distinct and separate ground for automatic termination of this Contract, notice of which shall be served personally or via certified mail, return receipt requested, to Contractor.

- 4) Written reports of incidents shall include all relevant information, including, but not limited to:

- a) Contractor's name, address and telephone number;
- b) Date, time and location of the incident;
- c) Name(s) and date(s) of birth, and UCI Number(s) of each Consumer involved in the incident and the name, address and phone number of the Consumer's family member(s) or authorized representative(s);
- d) The name, address and phone number of the Consumer's family member(s) or authorized representative(s) who were contacted and informed of the incident;
- e) Description of the incident;
- f) Description of the alleged perpetrator(s) of the incident, including, but not limited to, name, age, height, weight, address, phone number, occupation, and relationship to Consumer;
- g) Treatment provided to Consumer, if any;
- h) Identification of potential witnesses, including, but not limited to, name, address, telephone number and context of witnessing the incident;
- i) Identity of all law enforcement, licensing, protective services and/or other agencies or individuals notified of the incident, or otherwise involved in the incident;
- j) Action(s) taken by Contractor, law enforcement, licensing, protective services and/or other agencies or individuals in response to the incident; and
- k) Dated signature of the person creating the written report on behalf of Contractor.

- 5) Failure to Report. Failure to report any of the foregoing incidents to the appropriate law enforcement authorities and to Center, and/or failure to provide the required written report, shall constitute a distinct and separate ground for automatic termination of this Contract, notice of which shall be served personally or via certified mail, return receipt requested, to Contractor.

- C. Compliance with IPP. Contractor shall follow IPP documentation requirements as set forth in Section 56720 of Title 17 and W&I Code Section 4648 and shall properly train all staff as necessary to ensure the adequacy of said documentation. All Services rendered by Contractor shall be in furtherance of the IPP. Contractor shall not render Services that are not called for in the IPP. Center shall notify Contractor of annual IPP meetings at least five (5) days in advance of all such meetings, unless the Consumer or their legally authorized representative asks that the Contractor not be at the meeting.

- D. Developing ISPs. Contractor agrees to follow "person centered planning philosophies," as published by DDS, in developing ISPs for Consumers and/or to procure such training as is necessary to ensure adequate staff participation in person centered planning.
- E. Use of Public or Generic Resources. Regional centers are required to use generic services when available. Contractor understands and agrees that if a Consumer or Consumer's authorized representative chooses not to access available generic services (e.g. IHSS, Medi-Cal, public school, California Children's Service), Center will not be able to pay for the service. Contractor shall utilize, to the fullest extent feasible, those public resources which are available without additional cost to Contractor and which will enhance the quality of Services provided to those Consumers who can benefit from the use of such resources.
- F. Grievance Reports / Complaints. Contractor shall establish, adopt and periodically review a written Internal Grievance Procedure under which Consumers, or their authorized representatives or advocates may file complaints to address their grievances and by which Contractor shall resolve grievances pursuant to W&I Code Section 4705.
- G. Abuse Reporting Training. Contractor shall train all staff regarding legally mandated abuse reporting requirements prior to the employee's provision of Services to Consumers and annually thereafter.
- H. Staff Background Checks.
- 1) Contractor shall require all staff providing Services having contact with Consumers under this Contract to have cleared a fingerprint /background check that includes the Department of Justice prior to having any contact with Consumers, and annually thereafter, unless clearance is sought through the Department of Social Services or the Trust Line Registry, which includes automatic checks for subsequent arrests. Contractor shall provide Center, upon request, with proof of the fingerprint clearance.
 - 2) Contractor shall require individuals to submit references from prior employers and/or volunteer organizations. Contractor shall verify the accuracy of these references and document the verification in the employee/volunteer personnel file.
 - 3) Contractor, within the scope of and direction of Contractor's agency exclusively, agrees to develop, retain, and implement employment policies and programs to encourage and promote Contractor's employee fitness during performance of service delivery tasks connected with the intended beneficiaries (Center's consumers) within Contractor's program design, California Code of Regulations Title 17 and Title 22 and Direct Support Professional requirements satisfying the principal purpose of "agreement" between Center and Contractor.
8. Payment for Services. The Parties fully understand and agree that payment under this Contract is dependent upon available Federal and/or State funding. Contractor expressly acknowledges that the State and/or Federal Government may, from time to time during the Term of this Contract, require changes to the rate of payment that Center pays Contractor. Accordingly, Contractor expressly acknowledges and agrees that adjustments to the rate of payment described herein may be made by Center. Center will notify Contractor, in writing, of any such adjustments

to the rate of payment and of the effective date of any adjustment. Contractor expressly understands and agrees that Contractor shall have no right to object to any such adjustments and that any such adjustments shall not give rise to any cause of action, in law or equity, against Center. In the event that Center is not allocated any funds for the Services referenced in this Contract, this Contract shall be null and void and of no further force and effect, in which event, Center shall have no obligation to pay any funds whatsoever to Contractor, or to furnish any other consideration under this Contract, and Contractor shall not be obligated to perform pursuant to this Contract. Payment to Contractor shall only be made according to the following terms:

- A. Reliance on Authorization to Purchase Services. All payments shall be made in accordance with the Authorization to Purchase Services provided to Contractor by Center. Payments shall not be made based upon oral authorization to provide services.
- B. Rate of Payment.
 - 1) The rate paid to Contractor by Center shall be cost effective to the State of California.
 - 2) Contractor shall not charge Center a rate for Services to Consumers that is more than Contractor would charge, or is charging, for any other purchase of the same or substantially similar service.
 - 3) Center shall pay Contractor at the rate described in Exhibit B which shall constitute the total amount of compensation for Contractor's performance of this Contract.
 - 4) Contractor also understands and agrees that the maximum amount described in Exhibit B is the highest total amount which can be paid by Center to Contractor under this Contract, unless otherwise agreed to in writing by the Parties. Contractor further understands and agrees that if the maximum amount described in Exhibit B is exceeded, this Contract is not valid unless and until it is approved by Center's Governing Board. Nothing in this Contract shall be deemed to guarantee that Contractor will receive the maximum amount of compensation provided for in Exhibit B, or any compensation at all, as Center cannot guarantee the amount of Services that Contractor will be asked to provide for Consumers.
- C. Payment in Arrears. Center shall pay Contractor in arrears for Services provided in the previous calendar month.
 - 1) Claim Form. Contractor shall bill Center, on a monthly basis, for Services provided in the previous month by submitting to Center a completed and signed claim form in the format provided by Center, or electronically. Contractors who submit their claim forms electronically shall retain all supporting and required source documentation. All other contractors shall submit all supporting and required source documentation with their claim forms. The supporting and required source documentation shall include, at a minimum, the information required by Section 50604(d) of Title 17.
 - 2) Certification. For each claim form submitted, Contractor shall certify under penalty of perjury:

- a) All services for which Contractor is seeking payment have been provided to Center consumers by Contractor; and
 - b) All information submitted to Center along with the claim form is accurate and complete.
- 3) Time for Submittal of Claim Form. Claim forms, and supporting and required source documentation, if applicable, shall be submitted to Center on or before the tenth (10th) day of each month. Contractor understands that at the very latest, Contractor must submit a claim for reimbursement for all Services performed within ninety (90) days of the actual date of service. On each claim form, Contractor shall certify that all Services for which Contractor seeks reimbursement were performed within ninety (90) days of submittal of the claim form. Contractor further understands and agrees that it shall not be paid by Center for any Services that were performed more than ninety (90) days before submittal of a claim form for the Services.
- D. Date for Payment by Center. On or before the twenty-fifth (25th) of each month, Center shall pay Contractor according to the information provided in each claim form. Notwithstanding the foregoing, Center shall have no obligation to pay Contractor: if Contractor fails to provide supporting and required source documentation for each claim form submitted to Center on or before the 10th of the month, or fails to make such information available in the case of electronic billing; if Center disputes any item submitted by Contractor in conjunction with a claim form; or if the claim form is inconsistent with the Authorization to Purchase Services provided to Contractor by Center.
- E. Limitations on Payment.
- 1) Center's obligation to pay for Services under this Contract arises only when Contractor has provided the Services fully and satisfactorily in accordance with the terms of this Contract.
 - 2) Payments by Center to Contractor shall not be used to supplant the budget of any agency which has a legal responsibility to serve all members of the general public and is receiving public funds for providing those Services. Nor shall payments by Center to Contractor be used to supplement the rate of payment Contractor is receiving from any agency for Services provided to a Consumer.
 - 3) No more than fifteen percent (15%) of Center funds shall be spent by Contractor on Administrative Costs. Funds spent on direct Services (costs immediately associated with Services to Consumers being offered by Contractor) shall not include any Administrative Costs. Administrative Costs, include, but are not limited to:
 - a) Salaries, wages and employee benefits for managerial personnel whose primary purpose is administrative management of the entity, including, but not limited to, directors and chief executive officers;
 - b) Salaries, wages and benefits of employees who perform administrative functions, including, but not limited to, payroll management, personnel functions, accounting, budgeting, and facility management;

- c) Facility and occupancy costs, directly associated with administrative functions;
 - d) Maintenance and repair;
 - e) Data processing and computer support services;
 - f) Contract and procurement activities, except those provided by a direct service employee;
 - g) Training directly associated with administrative functions;
 - h) Travel directly associated with administrative functions;
 - i) Licenses directly associated with administrative functions;
 - j) Taxes;
 - k) Interest;
 - l) Property insurance;
 - m) Personal liability insurance directly associated with administrative functions;
 - n) Depreciation; and
 - o) General expenses, including, but not limited to, communication costs and supplies directly associated with administrative functions.
- F. Payment in full. In accordance with Section 54326(a)(12) of Title 17, Contractor shall accept payment by Center as payment in full for all materials, supplies, or Services provided by Contractor to Consumers. Contractor shall not bill Center, the Consumer, Consumer's parents, guardians, family, conservator, authorized representative, or any other person or agency, for a supplemental amount, regardless of the actual cost of providing the materials, supplies, or Services.
- G. Additional Requirements for Federal Funds. If Federal Government funds are involved, Contractor shall comply with all Federal rules, including, but not limited to, the applicable sections of the Code of Federal Regulations.

9. Record Preparation and Retention Obligation.

- A. Record Retention Requirement. Contractor shall prepare and retain all service and program financial, accounting, administrative and Consumer-related information, including, but not limited to, complete service records, records related to Contractor's rates, and all books, records, documents and other evidence related to and/or affecting performance of this Contract, the Services provided by Contractor, the billing or invoicing by Contractor, and Contractor's income and expenses ("Contractor's Records"), in accordance with Title 17, including, but not limited to, Section 50604(d) and (e), as applicable, ("Record Retention Requirement").
- B. Record Retention Period. The Record Retention Requirement shall apply for a period of no less than five (5) years following the date of the final payment for the State fiscal year in which Services were rendered by Contractor, provided however, that if an audit is in process, or an appeal of an audit is pending at the end of said timeframe, Contractor's

records shall be retained until all audit exceptions have been resolved ("Record Retention Period"). Contractor shall retain all such records for the Record Retention Period in a separate and distinct location from any of Contractor's records for non-Center Consumers.

- C. Additional Requirements for Financial Records. Contractor shall maintain all financial records by consistently using a single method of accounting. Financial records shall clearly reflect the nature and amounts of all costs and income. All transactions for each month shall be entered into the financial records within thirty (30) days after the end of that month.

10. Access to Contractor Records and Facilities.

- A. Access by Authorized Agencies. Throughout the Term of this Contract, and during the Record Retention Period, Contractor shall permit DDS, Center and any other authorized agency representative of the California Department of Health Services and the United States Department of Health and Human Services when Federal Government funds are involved in the payment for Services (collectively, "Authorized Agencies"), access to all of Contractor's Records, and any facilities belonging to, or used by Contractor pertaining to Services provided to persons with developmental disabilities ("Contractor's Facilities").
- B. Scope of Right of Access. All of Contractor's Records and Facilities shall be made immediately available to the Authorized Agencies for audit, review, examination, excerpt, reproduction, and/or making transcripts.
- C. Time for Access. While the Authorized Agencies will endeavor to give twenty-four (24) hours' notice prior to exercising their right of access, the rights of access described in this Section 10 shall not be limited by a requirement of prior notice. Access without prior notice shall, however, be limited to situations where the Authorized Agency determines that the purpose of the access would be thwarted if advance notice were given (i.e. in cases of suspected fraud, imminent destruction of records or similar circumstances). Contractor shall permit the Authorized Agencies to access Contractor's Records and Facilities at any time during Contractor's normal business hours.

- 11. Audits by Center.** Center may audit Contractor's Records pursuant to Title 17, including, but not limited to, Section 50606, *et seq.* Should the results of any audit by the Center or any other Authorized Agency result in a finding that any or all of the payments made by Center to Contractor were in error or were otherwise improper or disallowed based upon the terms of this Contract, Center's contract with DDS, or as a result of any applicable Federal, State or local law or regulation, Contractor shall comply with the provisions of Title 17 relating to repayment and appeal of audit findings and/or recommendations. Should Contractor elect to appeal any audit findings and/or recommendations, Contractor shall utilize and be bound by Sections 50700 *et seq.* of Title 17. Contractor shall accept financial liability for any audit findings and/or recommendations disclosed by an audit and promptly repay amounts owed unless the audit findings are appealed and liquidation is stayed pursuant to Section 50705 of Title 17.

- 12. Audit or Review by Independent Accounting Firm.** Contractor shall contract with an independent accounting firm for an audit or review of Contractor's financial statements, subject to the following:

- A. When the amount received by Contractor from Center and/or any other regional center, during each state fiscal year, is more than or equal to Five Hundred Thousand Dollars (\$500,000), but less than Two Million Dollars (\$2,000,000), Contractor shall obtain an independent review report of its financial statements for the fiscal year that includes the last day of the most recent state fiscal year. Consistent with Subchapter 21 (commencing with Section 58800) of Title 17, this requirement shall also apply to work activity program providers receiving less than Five Hundred Thousand Dollars (\$500,000).
- B. When the amount received by Contractor from Center and/or any other regional center, during each state fiscal year, is equal to or more than Two Million Dollars (\$2,000,000), Contractor shall obtain an independent audit of its financial statements for the fiscal year that includes the last day of the most recent state fiscal year.
- C. Independent review of financial statements must be performed by an independent accounting firm and shall cover, at a minimum, all of the following:
 - 1) An inquiry into Contractor's accounting principles and practices and methods used in applying them;
 - 2) An inquiry into Contractor's procedures for recording, classifying, and summarizing transactions and accumulating information;
 - 3) Analytical procedures designed to identify relationships or items that appear to be unusual;
 - 4) An inquiry about budgetary actions taken at meetings of the board of directors or other comparable meetings;
 - 5) An inquiry about whether the financial statements have been properly prepared in conformity with generally accepted accounting principles and whether any events subsequent to the date of the financial statements would have a material effect on the statements under review; and
 - 6) Working papers prepared in connection with a review of financial statements describing items covered as well as any unusual items, including their disposition.
- D. Independent review report shall cover, at a minimum, all of the following:
 - 1) Certification that the review was performed in accordance with standards established by the American Institute of Certified Public Accountants;
 - 2) Certification that the statements are the representations of management;
 - 3) Certification that the review consisted of inquiries and analytical procedures that are lesser in scope than those of an audit; and
 - 4) Certification that the accountant is not aware of any material modifications that need to be made to the statements for them to be in conformity with generally accepted accounting principles.
- E. Contractor shall provide copies of the independent audit or independent review report and accompanying management letters to Center within thirty (30) days after completion of the audit or review. Center shall review and require resolution by Contractor of issues identified in the report that have an impact on Center services. Center shall take appropriate action, up to termination of vendorization, for lack of adequate resolution of

issues. Center shall notify DDS of all qualified opinion reports, or reports noting significant issues that directly or indirectly impact Center services, within thirty (30) days after receipt, which notification shall include a plan for resolution of the issues.

- F. The requirements of this Section 12 do not apply to payments made using usual and customary rates, as defined by Title 17 for services provided by regional centers, or to State and local government agencies, the University of California, or California State University.

13. **Disclosure of Survey Results.** Contractor shall provide Center with information obtained from surveys, questionnaires or other Consumer satisfaction tools relevant to Center's Consumers within thirty (30) days of receiving the same. Contractor shall meet with Center, upon request, to discuss the results of said surveys, questionnaires or other Consumer satisfaction tools, and shall work cooperatively with Center to address any identified areas of deficiency.

14. **Notices.** Any and all notices or other correspondence required to be sent to the Parties shall be deemed delivered when personally delivered or mailed via certified mail, return receipt requested, as follows:

Center: Executive Director
Valley Mountain Regional Center
P.O. Box 692290
Stockton, CA 95269-2290

Contractor: Dennis Ramas
Horizon Day Program
1106 West Lagoon Rd
Pleasanton, CA 94566

15. **Compliance with Law.** Contractor shall comply with all applicable Federal, State and local laws and regulations in providing Services, expressly including, but not limited to, Titles 17 and 22 of the California Code of Regulations, and the California Welfare and Institutions Code. Contractor expressly acknowledges the applicability of the foregoing, and affirms that it and its employees, agents and everyone acting on Contractor's behalf, have read and agree to abide by the same. Contractor shall comply with the Service Provider Accountability Regulations as set forth in Sections 50601 through 50612 of Title 17. Although this Contract cites to particular laws and regulations, Contractor's obligation to comply with all applicable Federal, State and local laws and regulations is not limited to the specific provisions cited herein. Nothing in this Contract shall be construed as excusing compliance with any existing or future laws or regulations.

16. **Consumer Privacy & Confidentiality.** The Parties shall abide by all applicable Federal, State and local laws and regulations concerning privacy and confidentiality of Consumer records, including, but not limited to, W&I Code Section 4514. The Parties further acknowledge, understand and agree to adhere to their responsibilities as "business associates" under the Health Insurance Portability and Accountability Act of 1996 ("HIPAA"), as amended, and regulations promulgated there under, including, but not limited to, the Standards for Privacy of Individually Identifiable Health Information at Section 45 of the Code of Federal Regulations, Parts 160 and 164 ("Privacy Regulations"). Accordingly, the Parties shall use Consumer health information only as needed to complete their responsibilities under this Contract and shall not use or disclose Consumer health information for any other purpose. Further, the Parties shall implement and maintain adequate

administrative, technical and physical safeguards to prevent improper use or disclosure of such Consumer health information.

17. **Compliance with Center Policy.** Contractor agrees to comply with all Center policies and procedures as they pertain to Contractor's provision of Services to Center's Consumers, the administration of payments to Contractor, contracts, financial matters, record keeping and retention, and audits. All such policies and procedures are available for review by Contractor at Center's office.
18. **Non-Discrimination.**
- A. **Provision of Services.** Contractor shall not discriminate in the provision of Services on the basis of race, color, creed, national origin, religion, sex, age or condition of physical or mental disability, or any other protected class. Contractor shall comply with Title VII of the Civil Rights Act of 1964 (42 U.S.C. §2000d), as amended, rules and regulations promulgated pursuant thereto, and all Federal, State and local laws and regulations governing discrimination.
- B. **Employment.** Contractor shall not discriminate against any employee or applicant for employment, and shall take action to ensure that employees and applicants are treated equally without regard to their race, color, creed, national origin, religion, sex, age or condition of physical or mental disability, or any other protected class.
19. **Effect of Legal History.** People (including individuals, partnerships, group associations, corporations, institutions, or entities, and the officers, directors, owners, managing employees or agents thereof) with the following legal histories, within the previous ten (10) years, shall be ineligible to be a Contractor or Contractor's employee:
- A. People convicted of the following crimes:
- 1) Any felony or misdemeanor involving fraud or abuse in any government program;
 - 2) Any felony or misdemeanor related to neglect or abuse of an elder or dependent adult or child; or
 - 3) Any felony or misdemeanor in connection with interference with, or obstruction of, any investigation into health care related fraud or abuse.
- B. People found liable for fraud or abuse in any civil proceeding.
- C. People that have entered into a settlement in lieu of conviction for fraud or abuse in any governmental program.
20. **Contractor Licensing.** Contractor affirms that it has obtained, and will maintain in good standing, all licenses and certificates required by all applicable Federal, State and local laws for the Services Contractor is providing. Contractor shall disclose, in writing, any past, present or pending revocation, denial or adverse action against any of Contractor's licenses or certificates within fifteen (15) days of receiving notice of such action.
21. **Contractor's Affirmation Regarding Program-Related Documents.** Contractor affirms that all fiscal and Services program-related documentation that has been provided to Center, and that will be provided to Center in the future is, and will be complete, accurate, supported by records and source documentation, prepared in accordance with Center's instruction, and available for inspection and audit by Center and DDS. Contractor affirms its understanding that payments

made by Center to Contractor pursuant to this Contract will come from Federal and/or State funds, and any falsification or concealment of a material fact may be prosecuted under Federal and/or State laws.

22. **Indemnification.** Contractor agrees to indemnify, defend and hold harmless, Center, DDS and the State of California, its officers, agents and employees, from and against any and all claims, losses, expenses, damages, injuries, liability and causes of action, of any nature whatsoever (collectively, "Claims"), that in any way accrues or results to Contractor, Center, DDS or the State of California and/or any of their employees, agents, attorneys, successors, assigns, subcontractors, materialmen, laborers or any other person, firm or entity that may be injured or damaged by any act, omission or negligence of Contractor and/or any of its employees, officers, directors, agents, or any person acting on Contractor's behalf in the performance of this Contract. This indemnification provision extends to include any and all costs or expenses, including, but not limited to, attorney's fees and expert fees incurred by Center, DDS and the State of California as the result of any such Claims, and shall survive termination of this Contract. Contractor's obligation to indemnify, defend and hold harmless as described herein shall apply regardless of whether Contractor has acted negligently or intentionally.
23. **Insurance.** Contractor shall procure and maintain, at its own expense, throughout the term of this Contract, a policy of personal liability insurance in the minimum amount of One Million Dollars (\$1,000,000), under which Contractor shall name Center as an additional insured. The "additional insured" portion of Contractor's insurance policy shall include the following language: "Such insurance as is afforded by the endorsement of the additional insured shall apply as primary insurance. Any other insurance maintained by Valley Mountain Regional Center, or its officers or employees, shall be in excess only, and shall not contribute to the insurance afforded by this endorsement." Contractor shall require its insurance company(ies) to notify Center of any renewal and/or cancellation of the insurance policy required by this Contract. Copies of Contractor's insurance policies and the required endorsements shall be provided to Center within five (5) calendar days after the insurance is obtained and after all renewals or cancellations of any policy. Failure by Contractor to maintain the insurance policy required by this Contract shall constitute grounds for immediate termination of this Contract.
24. **No Agency or Employment Relationship.** Nothing in this Contract shall be construed to create any type of agency or employment relationship between Center and Contractor. Contractor, its employees, agents, attorneys, successors, assigns, subcontractors, materialmen, laborers and any other person acting on Contractor's behalf shall act in an independent capacity, as an independent contractor, and not as an officer, employee or agent of Center, DDS or the State of California. Contractor, and all persons acting on Contractor's behalf, shall not hold themselves out as, nor claim to be, an officer, employee or agent of Center, DDS or the State of California as a result of this Contract, and shall not make any application, claim or demand for any right or privilege applicable to any officer, employee or agent of Center, DDS or the State of California, including, but not limited to, unemployment insurance, disability insurance, worker's compensation insurance, or retirement benefits.
25. **No Assignments.** This Contract, and the rights, duties and obligations described herein shall not be assigned. Notwithstanding the foregoing, Contractor shall be permitted to factor or assign its accounts receivable from Center, if, and only if, such factoring or assignment is required in order to obtain equipment financing, and if, and only if, such factoring or assignment is not otherwise

prohibited by law. Any such factoring or assignment shall not be effective unless approved by Center, in writing, which approval shall not be unreasonably withheld.

26. **No Subcontracts.** The Services for which Contractor is Vendored shall not be subcontracted to any other person or entity, except pursuant to prior written consent of Center, and any such subcontracting shall be in accordance with Title 17.
27. **Breach or Default by Contractor.** In the event of Contractor's breach or default under this Contract, Center shall be permitted to either give Contractor notice of the breach or default and a reasonable opportunity to remedy the same, or terminate this Contract pursuant to Section 4(B) hereof. This Section 27 shall not prevent Contractor from pursuing any legal or appeal process set forth in Title 17, in which event this Contract shall remain in force until the legal or appeal process is completed. If Contractor suffers any strike, walk-out or work stoppage by its employees, and as a result of such condition, is unable to continue to provide Services required herein for a period of fifteen (15) days, Center shall be entitled to procure the Services that Contractor is unable to provide from another vendor, and Contractor shall not be compensated for any such substituted service. In such case, Center may, in its sole discretion, terminate this Contract and obtain a replacement for the Services for its Consumers.
28. **Excuse of Performance.** Neither Party shall be deemed in default of any of the provisions of this Contract in the event that the Party's failure to perform is the result of an earthquake, flood or other act of God, fire, riot insurrection, commandeering of vehicles, material, equipment or facilities by the government, strike, labor dispute or work stoppage, or similar cause beyond the Party's control, which renders performance impossible, when evidence thereof is presented to the other Party.
29. **Time of the Essence.** Time is of the essence in relation to each and every provision of this Contract.
30. **Materiality of Each Provision.** Each and every provision, term and condition of this Contract is considered material to this Contract.
31. **Integration.** This Contract contains the entire agreement between the Parties, and replaces any prior representations, agreements or understandings, oral or written, that may have existed between the Parties. The Parties agree that any modification or supplementation of this Contract shall be in writing, signed by the Parties' respective representatives, and must refer specifically to this Contract and the provision(s) modified or supplemented. No other modifications or supplements will be valid. The execution of any modification or supplementation of this Contract shall comply with the requirements of all applicable laws and regulations.
32. **Governing Law.** This Contract, and any other documents to which it refers, shall in all respects be interpreted, enforced and governed by the laws of the State of California and the United States of America. This Contract is specifically subject to restrictions, limitations and conditions enacted by the California Legislature, contained in the Annual Budget Act, and/or any contract between Center and DDS, and this Contract shall be modified by the Parties to the extent necessary to ensure compliance with the same.
33. **Mutual Drafting.** This Contract shall be construed as a whole according to its fair meaning, and not strictly for or against any of the Parties. The Parties acknowledge that this Contract documents a negotiated agreement and it shall not be construed or interpreted in favor of either Party due to the fact that one of the Party's attorneys drafted this Contract.

34. **Severability.** If any provision of this Contract is deemed invalid or unenforceable pursuant to the provisions set forth in Section 36, the unenforceability thereof shall not affect the remaining provisions of this Contract and the remaining provisions of this Contract shall remain in full force and effect.
35. **Section Headings.** Section headings used in this Contract are for convenience only, are not part of the Contract, and shall not affect any of the terms hereof.
36. **Resolution of Disputes.** Any and all disputes, controversies or claims arising out of or relating to this Contract shall be resolved pursuant to the grievance procedures set forth in Title 17, if applicable. If the grievance procedures set forth in Title 17 do not apply to a dispute, or after exhausting the applicable grievance procedures set forth in Title 17, all remaining disputes, controversies or claims shall be settled by a mutually agreed upon arbitrator in accordance with the rules of the American Arbitration Association. The arbitrator's decision may be entered as a judgment in any court having jurisdiction or may be appealed to the Superior Court of California in and for the County of San Joaquin within thirty (30) days of issuance.
37. **Attorney's Fees and Costs.** In the event of an appeal of an audit finding, hearing before the Office of Administrative Hearings, arbitration, or should either Party bring an action in law or equity, in which a Party incurs attorney's fees to enforce the terms of this Contract, the prevailing party thereto may recover reasonable attorney's fees and all costs incurred in connection therewith, including, but not limited to, fees for reasonably necessary expert witnesses.
38. **Exclusive Benefit.** This Contract, and each and every provision hereof, is for the exclusive benefit of the Parties hereof and not for the benefit of any third party.
39. **Counterparts.** This Contract may be executed in duplicate counterparts, each of which shall be deemed an original, but all of which shall together constitute one and the same instrument.
40. **Delivery by Facsimile or Electronic Mail.** Duly executed signatures to this Contract may be delivered by facsimile or electronic mail, and signature pages delivered by such method shall be deemed equivalent to and of the same force and effect as original signature pages.
41. **Successors and Assigns.** This Contract is binding on the successors and assigns of the Parties.
42. **Execution of Contract.** By signing this Contract, the Parties confirm that they have read this Contract, understand its contents, agree to its terms, have consulted with their respective attorneys, and are voluntarily entering into this Contract. The Parties warrant that the person signing on behalf of each Party is an authorized representative of that Party.

Accepted and agreed to:

Valley Mountain Regional Center

Dated: _____

By: Anthony (Tony) Anderson
Its: Executive Director

Contractor
Horizon Day Program

Dated: _____

By: Dennis Ramas
Its: Program Director

EXHIBIT A
SERVICES-HV0534
Horizon Day Program

1. Contractor is vendored by Center pursuant to Title 17, Section 54356 to provide "Specialized Health, Treatment and Training Services- Service Code: 103. Service Description: A regional center shall classify a vendor as a Specialized Health, Treatment and Training Services provider if the vendor provides, or obtains, health or dental services for which there is not an existing Title 17 service code, and that is deemed necessary by a health care professional to implement an objective in the consumer's IPP. Specialized Health, Treatment and Training Services include, but are not limited to: services that increase or maintain health, gastrostomy and care of G-tube treatment for mental illnesses and / or chemical dependencies, dental hygiene training for consumers and care providers, and the shipment of medical samples for testing. Vendors shall ensure that trainers are credentialed and/ or licensed as required by the State of California to practice in the field of training being offered. Vendors offering gastrostomy and/ or G-tube equipment care training, shall ensure compliance with the Welfare and Institution Code, 4686 (c)."
2. **Summary of Adult Day Services.** In accordance with the all applicable federal and state laws and regulations, Contractor shall establish and provide services in accordance with the Title 17, Chapter 3, Sub Chapter 5 et seq., pertaining to Non-Residential Services, this Service Contract and Contractor's approved Program Design.
3. **Additional Definitions:**
 - A. "Medical Care and Behavior Management Day Program" means a community-based day program that serves adults with medical care needs and/or severe behavioral disorders and, because of their medical care needs and/or behavior problems, are not eligible for or acceptable in any other community-based day program.
 - B. "Center-Based Environment" means within a day care facility or on the grounds of such a facility.
 - C. "Direct Care Staff" means staff that personally provide hands-on training provided by the vendor in accordance with the requirements of consumers' Individual Program Plan and the provisions of Title 17, Section 56722 of California Code of Regulations, and who are involved in program preparation functions in accordance with the provisions of Title 17, Section 54302(a)(23).
 - D. "Generic Agency" means any agency which has a legal responsibility to serve all members of the general public and which is receiving public funds for providing such services.
 - E. "Natural Environments" means places and social contexts commonly used by individuals without disabilities.
 - F. "Person Centered Planning" means an approach to determining, planning for, and working toward the preferred future of a person with developmental disabilities and her or his family.

4. Specific Consumer Services.

- A. Contractor agrees to provide services in accordance with Contractor's Program Design attached hereto as Exhibit C and incorporated herein by reference.
- B. Contractor agrees to adhere to the Zero Tolerance Policy for Consumer Abuse or Neglect attached hereto as Exhibit D.
- C. Contractor agrees to maintain the services of a full-time, on-site Licensed Vocational Nurse.
- D. Contractor agrees to utilize the services and supports of consultants identified in their approved Program Design (Exhibit C), which includes a Behaviorist, Registered Nurse, Dietician, Occupational Therapist, Physical Therapist and Recreational Therapist.
 - 1) Contractor shall submit to Center a list of its consultants and their qualifications, and shall submit a copy of current, valid licenses/certificates at least on an annual basis prior to contract renewal or as staff changes occur.
- E. Contractor agrees to develop and maintain Health Care Plans for participants who have Restricted Health Conditions.
- F. Contractor agrees to develop and maintain Behavior Management Plans for all participants that demonstrate behavior deficits requiring redirection.
- G. Contractor acknowledges that Contractor does not obtain by this Contract a guarantee and/or a vested right to have any particular consumer(s) and/or any number of consumers assigned, transferred or sent to Contractor under this Contract other than the number(s) specified in Section 1 of this Contract. Further, Contractor shall not limit and/or interfere with Center's right under Title 17 regulations to secure for any consumer served by Contractor additional and/or alternative services which the consumer's Planning Team has determined as needed.
- H. Center's case management staff shall initiate referral of consumers to Contractor's program of services through the Program Director or designated staff person. No services may be initiated by Contractor until it has received a duly executed Authorization to Purchase Services from Center or a signed letter from a Center manager authorizing the purchase of services.
- I. Contractor is to provide appropriate personnel and equipment to perform the services contracted for herein.
 - 1) Contractor will maintain an overall average staff-to-consumer ratio of no less than 1 direct-care staff to 3 consumers during the duration of program hours.
 - 2) Contractor agrees to operate its services no less than 6 hours per program day.
 - 3) Contractor agrees to follow IPP documentation requirements as stated in Title 17, California Code of Regulations, Section 56720 and to provide such training to its staff as needed to assure adequacy of documentation.

- 4) Contractor agrees to follow person centered planning philosophies as published by DDS in developing Individual Service Plans for consumers receiving services, and to provide and/or procure such training as needed to ensure adequate staff participation in person centered planning.
 - 5) Contractor agrees to notify consumers, service coordinators, care providers, and other significant persons of annual review staffings in advance. Center agrees to notify Contractor of annual or IPP meetings in advance.
- J. Contractor agrees to maintain all equipment, facilities, grounds, supplies, buildings, or out buildings in safe condition.
- 1) Any loss, damage or injury to persons or property which arises from the negligent maintenance or omission of the Contractor shall be the sole responsibility of the Contractor. Center shall in no way be held responsible for the inspection, maintenance, care, or selection of equipment, facilities, grounds, supplies, buildings, or out buildings.
 - 2) Contractor agrees that physical spaces owned, leased or otherwise controlled by Contractor, and utilized for facility-based instruction, shall be air-conditioned. Use of non-air-conditioned environments by the Contractor shall require prior written approval from Center. Use of generic or public facilities or automobiles outside the control of Contractor shall be exempt from this section.
 - 3) Contractor agrees that physical spaces owned, leased, or otherwise controlled by Contractor, and utilized for facility-based instruction, shall have adequate heating for the winter months. Use of generic or public facilities or automobiles outside the control of Contractor shall be exempt from this section.
 - 4) Contractor certifies that the program and center-based site for these services are licensed in accordance with Title 22, Section 82000 of California Code of Regulations.
- K. Contractor certifies that all direct care staff and/or consultants providing services under this Contract hold necessary certification and/or licenses required by Title 17, California Code of Regulations and/or Title 22, California Code of Regulations, as applicable.
- 1) Contractor agrees that all direct care staff providing services under this Contract shall be currently certified to provide first aid, Cardiopulmonary Resuscitation, and any other emergency services required by law or regulation.
 - 2) Contractor shall provide to all direct care staff providing services under this Contract a minimum of five hours per year in-service training on the needs of developmentally disabled persons relevant to the accepted program design (Exhibit C). Center may assist in such in-service training if requested to do so by Contractor. Contractor shall provide to Center proof of in-service training upon request.
- L. Contractor agrees that all staff or volunteers having contact with consumers under this Contract will have cleared a fingerprint/background check that includes the Department of Justice prior to employment and contact with consumers.

- 1) Contractor shall require all staff providing Services having contact with Consumers under this Contract to have cleared a fingerprint/background check that includes the Department of Justice prior to having any contact with Consumers, and annually thereafter, unless clearance is sought through the Department of Social Services or the Trust Line Registry, which includes automatic checks for subsequent arrests. Contractor shall provide Center, upon request, with proof of the fingerprint clearance.
- 2) Contractor shall require individuals to submit references from prior employers and/or volunteer organizations. Contractor shall verify the accuracy of these references and document the verification in the employee/volunteer personnel file.
- 3) Contractor, within the scope of and direction of Contractor's agency exclusively, agrees to develop, retain, and implement employment policies and programs to encourage and promote Contractor's employee fitness during performance of service delivery tasks connected with the intended beneficiaries (Center's consumers) within Contractor's program design, California Code of Regulations Title 17 and Title 22 and Direct Support Professional requirements satisfying the principal purpose of "agreement" between Center and Contractor.

M. Contractor shall submit to Center an annual Program Self-Evaluation per Title 17 Regulations, Section 56732, at least ninety (90) days prior to expiration of this Contract. Center may verify the report by review of Contractor's service records upon request. The annual Program Self-Evaluation shall include:

- 1) Aggregate data on the different types of Consumers needs encountered during service delivery;
- 2) Outcomes in relation to the expectations identified in the Program Design;
- 3) Outcomes in relation to the Consumer's IPP;
- 4) Percentage of staff who have current CPR and First Aid certifications;
- 5) Percentage of staff who have current criminal records clearance;
- 6) Percentage of staff who have completed staff orientation during the first two (2) weeks of employment;
- 7) Percentage of staff who have received mandated abuse reporting for adults during the last year;
- 8) Percentage of staff who have received five (5) hours of in-service training during the last year, including topics, trainer and date and time of training;
- 9) Percentage of Special Incident Reports that were submitted within the required timeframes;
- 10) Percentage of Semi-Annual Reports submitted within ten (10) working days after the end of each half-year;
- 11) Goals for the next twelve (12) months based on the program evaluation findings.

- N. Contractor shall notify Consumers, Service Coordinators, care providers and all other people significant in the care of Consumers of annual staffing reviews at least thirty (30) days in advance of all such reviews.
- O. Contractor shall comply with all applicable laws and regulations regarding the hiring, retention, training, licensure and certification of Direct Care Staff and personnel.
- P. Contractor shall inform Center of any investigation of Contractor or its staff, and any subsequent actions in which substance abuse or misuse or any crime against a person is alleged.

5. Record Maintenance Requirements.

- A. Service providers shall maintain financial records which consistently use a single method of accounting. These financial records shall clearly reflect the nature and amounts of all costs and all income. All transactions for each month shall be entered into the financial records within 30 days after the end of that month and shall be available for the following purposes:
 - 1) To ensure that staffing schedules in conformance with staffing level requirements, if any, are supported by payroll records and source documents.
 - 2) To ensure that revenue and cost information are available to support administrative overhead.
- B. Contractor shall maintain complete service records to support all billing/invoicing for each regional center consumer in the program.
- C. Service records used to support Contractor's billing/invoicing shall include, but not be limited to:
 - 1) Information identifying each regional center consumer including the Unique Consumer Identifier and consumer name;
 - 2) Documentation for each consumer reflecting the dates for program entrance and exit, if applicable, as authorized by a regional center.
 - 3) A record of services provided to each consumer including the dates of service, place where service was provided, the start and end times of service provided to the consumer, and the daily or hourly units of service provided.
- D. All Contractor's records shall be supported by source documentation. Nothing specified in this section shall be construed as superseding other record maintenance requirements set forth in statute or regulation.

EXHIBIT B
RATE OF PAYMENT – HV0534
Horizon Day Program

1. Center shall pay Contractor at the following rate:
 - A. \$260.81 per consumer, per day.
 - B. Center and Contractor have agreed to divide this rate into an hourly rate to allow for more flexibility in consumer attendance and activity scheduling:
 - 1) This hourly rate is \$43.47 per consumer, per hour.
 - 2) This hourly rate shall be adjusted should the daily rate change.
 - C. This rate shall constitute the total amount of compensation for Contractor's performance of this Contract.
2. \$476,343.38 is the maximum amount which can be paid by Center to Contractor under this Contract, unless otherwise agreed to in writing by the Parties.
3. Contractor attests that fiscal and program related documentation is complete, accurate to the best of Contractor's knowledge, supported by records and source documentation, prepared in accordance with instructions provided by the Department and subject to audit.
4. Both parties to this Contract have come to a full understanding and agreement of a specified method used to accumulate data contained in the Contractor's documentation. Contractor attests that it utilizes said specified methods.
5. Center has informed Contractor of the applicable and correct median rate for the services to be provided under this Contract.

EXHIBIT C
PROGRAM DESIGN – HV0534

EXHIBIT D

Zero Tolerance Policy for Consumer Abuse or Neglect

1.0 STATEMENT OF PURPOSE

Valley Mountain Regional Center (VMRC) has no tolerance for consumer abuse or neglect. Research studies document that individuals with developmental disabilities have a significantly higher risk of becoming the victims of abuse, neglect, or exploitation as compared to the non-disabled population. In an effort to ensure the health, safety, and security of VMRC consumers, our agency is committed to a "Zero Tolerance" standard for consumer abuse and neglect.

2.0 DEFINITIONS

Abuse includes, but is not limited to, physical abuse, neglect, financial abuse, abandonment, isolation, abduction, or other treatment which results in physical harm, pain or emotional/mental suffering, or deprivation, by a person providing care and supervision, of goods and services which are necessary to avoid physical harm or emotional/mental suffering further defined as follows:

- **Physical Abuse:** Any act which results in non-accidental injury. The use or attempt to use force on the person of another, unreasonable physical constraint, prolonged or continual deprivation of food and water, chemical restraint, or psychotropic medication without medical authorization used for punishment.
- **Sexual Abuse:** Any non-consenting sexual act or behavior.
- **Financial Abuse:** The illegal or unethical exploitation and/or use of a consumer's funds, property, or other assets.
- **Neglect:** The negligent failure of a person(s) having care or custody of a consumer to exercise a reasonable degree of care including, but not limited to, a failure to assist in personal hygiene and the provision of food, clothing, and shelter, or failure to provide medical care or protect the client from health and safety hazards including a medical diagnosis of failure to thrive.
- **Abandonment:** The desertion of a consumer by someone who is a caregiver or has custody of the consumer under circumstances in which a reasonable person would continue to provide care and custody.
- **Abduction:** The removal, without the consent of the consumer/conservator, of a consumer/conservatee to another state.
- **Isolation:** The intentional preventing of a consumer from receiving mail, telephone calls or visitors when contrary to the expressed wishes of the consumer.
- **Emotional Abuse/Mental Suffering:** Fear, agitation, confusion, severe depression or other forms of emotional distress that is brought about by deprivation, threats, harassment, or other forms of intimidating behavior.

3.0 PRINCIPALS, GUIDELINES

VMRC and all of their service providers shall ensure employees are fully informed of VMRC's Zero Tolerance Policy on Consumer Abuse and Neglect.

Employees are to be fully informed of the policy upon hire and annually thereafter. Each employee must be knowledgeable of

- 1) their responsibility to protect consumers from abuse and neglect, and
- 2) the signs of abuse and neglect, and
- 3) the process for reporting suspected abuse or neglect, and
- 4) the consequences of failing to follow the law and enforcing this policy.

"Reasonable suspicion" is the standard for reporting abuse and neglect. All VMRC staff who are mandated reporters pursuant to the California Penal Code, and all employees of service providers and long-term care facilities who are mandated reporters will strictly comply with the reporting laws at all times. A mandated reporter must (unless exempt under law) report all consumer abuse to the applicable governmental authorities immediately or as soon as practicable after his or her discovery or reasonable belief that consumer abuse has occurred.

Consumer abuse committed by VMRC employees or employees of service providers or long-term care facilities will not be tolerated. All such abuse or allegations of such abuse will be thoroughly investigated. Any VMRC employee found to have engaged in abuse against a consumer will be subject to severe discipline, up to and including termination. Any abuse found to have been committed by a service provider or long-term care facility employee will be referred to the appropriate authorities and the service provider may also be subject to sanctions up to and including devendorization which equates to removal from the list of those authorized to provide services for any and all regional center consumers.

REPORTING PROCEDURES

Suspected abuse must be reported immediately by calling the appropriate 24-hour hotline and followed by a written report within 2 days of receiving the information concerning the incident.

If you suspect that a child is being abused, contact Child Protective Services:

- San Joaquin County (209)468-1333
- Stanislaus County (800)558-3665
- Amador County (209)223-6550 or (209)223-1075 (After Hours)
- Calaveras County (209)754-6452 or (209)754-6500 (After Hours)
- Tuolumne County (209)533-5717 or (209)533-4357 (After Hours)

If you suspect that an adult is being abused, contact Adult Protective Services:

- San Joaquin County (209)468-2202 or (888)800-4800

- Stanislaus County (800)336-4316
- Amador County (209)223-6550 or (209)223-1075 (After Hours)
- Calaveras County (209)754-6452 or (209)754-6500 (After Hours)
- Tuolumne County (209)768-7753 or (209)533-HELP (4357) (After Hours)

Ombudsman's Offices:

- San Joaquin (209)468-3785
- Stanislaus (209)529-3784
- Amador (209)532-7632
- Calaveras (209)532-7632
- Tuolumne (209)532-7632

Contract Summary and Board Resolution

Valley Mountain Regional Center's Board of Directors reviewed the contracts below on December 16, 2019 and passed the following resolution:

RESOLVED THAT in compliance with VMRC's BOD Contract Policy, the contracts listed below between VMRC and stated vendors were reviewed and approved by the VMRC BOD on December 16, 2019 and Board hereby authorized any Officer of the corporation to execute the Agreement without material changes but otherwise on such terms deemed satisfactory to such Officer.

1 Horizon Day Program

\$ 476,343

VMRC Board of Directors hereby authorizes and designates any office of VMRC to finalize, execute and deliver the Contract on behalf of VMRC, in such form as VMRC's counsel may advise, and on such further terms and conditions as such Officer may approve. The final terms of the Contract shall be conclusively evidence by the execution of the Contract by such Officer. For purposes of this authorization, and "Officer" means VMRC's Executive Director, Chief Financial Officer and no one else.

Certification by Secretary: I certify that: (1) I am the Secretary of VMRC; (2) the foregoing Resolution is a complete and accurate copy of the Resolution duly adopted by VMRC's Board of Directors; (3) the Resolution is in full force and has not been revoked or changed in any way.

Board Secretary

Date

Valley Mountain Regional Center

Contract Status

AS OF: October 31, 2019

	OPS	POS including Federal C	General Total	OPS CPP	POS CPP	CPP Total	FG/SC Total
Current Fiscal Year 2020							
Contract Year A	31,766,895	213,025,946	244,792,841	-	-	-	-
Spent to Date	7,013,956	49,326,224	56,340,180	21,700	-	21,700	-
Unspent	24,752,939	163,699,722	188,452,661	(21,700)	-	(21,700)	-
Last Fiscal Year 2019							
Contract Year E-3	30,458,851	195,220,728	225,679,579	529,488	1,439,438	1,968,926	451,782
Spent to Date	29,677,302	193,401,778	223,079,080	481,394	352,940	834,334	445,366
Unspent	781,549	1,818,950	2,600,499	48,094	1,086,498	1,134,592	6,416
Second Prior Fiscal Year							
2018 Contract Year D-4	29,493,605	182,331,242	211,824,847	529,663	665,293	1,194,956	493,607
Spent to Date	29,100,331	180,186,192	209,286,523	520,453	574,334	1,094,787	458,980
Unspent	393,274	2,145,050	2,538,324	9,210	90,959	100,169	34,627

POS EXPENDITURES

October 31, 2019

	Year to Date	Prior Year to Date	Changes to Budget	Budget	% of Total Budget
Community Care Facility	22,159,079	20,308,263		70,000,000	31.7%
ICF/SNF FACILITY	31,553	106,894		500,000	6.3%
Day Care	420,990	448,975		1,500,000	28.1%
Day Training	12,571,808	12,260,744		38,450,000	32.7%
Supported Employment	589,369	585,469		1,900,000	31.0%
Work Activity Program	185,699	182,320		700,000	26.5%
Non-Medical Services-Professional	150,674	208,298		500,000	30.1%
Non-Medical Services-Programs	8,155,541	7,698,607		22,500,000	36.2%
Home Care Services-Programs	403,127	388,091		1,500,000	26.9%
Transportation	937,384	817,868		3,000,000	31.2%
Transportation Contracts	5,757,179	5,724,020		15,000,000	38.4%
Prevention Services	5,003,097	4,639,952		16,000,000	31.3%
Other Authorized Services	6,952,301	6,238,193		21,000,000	33.1%
P&I Expense	13,804	15,279		45,000	30.7%
Hospital Care	153,750	153,750		500,000	30.8%
Medical Equipment	112,761	105,199		480,000	23.5%
Medical Care Professional Services	1,330,028	1,212,973		4,500,000	29.6%
Medical Care-Program Services	9,604	17,834		70,000	13.7%
Respite-in-Home	4,430,721	3,770,430		14,000,946	31.6%
Respite Out-of-Home	190,877	178,810		800,000	23.9%
Camps	29,654	25,317		80,000	37.1%
	<u>69,589,002</u>	<u>65,087,286</u>	-	<u>213,025,946</u>	<u>32.7%</u>
CPP					
Total Purchase of Service	<u>69,589,002</u>	<u>65,087,286</u>	-	<u>213,025,946</u>	<u>32.7%</u>

ICF SPA RECEIVABLES \$2,520,412

OPERATIONS EXPENDITURES

October 31, 2019

	Year to Date	Prior Year to Date	Changes to Budget	Budget	% of Total Budget
Salaries and Wages	6,135,234	5,870,587		18,533,467	33.1%
Temporary Help	2,159	2,090		10,000	21.6%
Fringe Benefits	2,167,823	2,150,894		6,800,000	31.9%
Contracted Employees	17,188	21,717		75,000	22.9%
Salaries and Benefits Total	8,322,404	8,045,288	-	25,418,467	32.7%

	Year to Date	Prior Year to Date	Changes to Budget	Budget	% of Total Budget
Facilities Rent	630,855	615,358		2,500,000	25.2%
Facilities Maintenance	169,595	212,281		500,000	33.9%
Information Technology	703,199	580,660		1,250,000	56.3%
General Office Expense	70,981	75,707		150,000	47.3%
Operating Expenses	131,680	147,965		450,000	29.3%
Equipment	10,003	72,256		250,000	4.0%
Professional Expenses	204,478	196,397		700,006	29.2%
Office Expenses	32,919	46,819		140,000	23.5%
Travel and Training Expenses	166,931	145,214		400,000	41.7%
Foster Grandparent/Senior Companion Expenses	137,509	141,329		458,422	30.0%
CPP Expense	21,500	9,546		-	#DIV/0!
Total Operating Expenses	10,602,054	10,288,820	-	32,216,895	32.9%

Operating Expenses: Telephone, Utilities

Equipment: Equipment Purchases, Equipment Contract Leases

Professional Expenses: Accounting Fees, Advertising, ARCA Dues, Bank Fees, Consultants, Insurance, Interest, Legal Fees, Fees, Licenses and Miscellaneous

Office Expenses: Consumer Medical Record Fees, Postage and Shipping, Printing

Travel and Training Expenses: Board of Director Expense, Travel Admin, Travel Consumer Services

	D.	Washburn	Diana Powell	D. Stanton	Anthony Demattei	N. Passanisi	Deanna Thompson	A. Krueger	A. Hernandez	J. Frazier	J. Gay	Total
3/31/14 Balance												8,150.82
April repayments												(206.20)
April loans												469.40
4/30/14 Balance												8,414.02
May repayments												(279.40)
May loans												650.00
5/31/14 Balance												8,784.62

October Popplewell Fund Donations

Date	Donor	Amount	Check No.	Address	City	State
11/07/19	Erwin & Dianne Loriaux	\$ 50.00	77007634	1169 Sax Fifth Ave.	Henderson	NV
11/07/19	YourCause/AT&T	10.00	5601892107	6111 W Plano Parkway STE 1000YC	Plano	TX
11/2/2019	Lulu Menor	43.39	PayPal			
11/2/2019	Lulu Menor	43.39	PayPal			
11/4/2019	MIA CHATMAN-JAMES	43.39	PayPal			
11/4/2019	Digna Cabrera	43.39	PayPal			
11/4/2019	Eliseo Cabrera	43.39	PayPal			
11/5/2019	ARC San Joaquin	43.39	PayPal			
11/6/2019	Virginia Yadao	43.39	PayPal			
11/6/2019	Dona Want	87.09	PayPal			
11/6/2019	Eldred Cabrera	43.39	PayPal			
11/6/2019	Dominga Gier	43.39	PayPal			
11/6/2019	Estrella Williams	43.39	PayPal			
11/7/2019	Margarita King	43.39	PayPal			
11/7/2019	Ingrid Pil	43.39	PayPal			
11/7/2019	Myrna Tacsion	87.09	PayPal			
11/8/2019	JESS TOJINO	43.39	PayPal			
11/8/2019	Corinne Seaton	96.80	PayPal			
11/8/2019	JESS TOJINO	43.39	PayPal			
11/8/2019	Connie Uychutin	43.39	PayPal			
11/8/2019	Lorna Villanueva	43.39	PayPal			
11/8/2019	Arvin Villanueva	43.39	PayPal			
11/8/2019	Connie Uychutin	43.39	PayPal			
11/8/2019	Candice Bright	43.39	PayPal			
11/8/2019	Candice Bright	43.39	PayPal			
11/8/2019	Cindy La	43.39	PayPal			
11/8/2019	Karyn Gregorius	43.39	PayPal			
		<u>\$ 1,285.56</u>				

October Popplewell Fund Donations

Zip Code

89052-0100

75093

Date Beg.	Interest	Restricted		CK Number	JE Amt	Cash Grant & Restricted	Unidentified Collection-Restr.
		Deposit	Disbursement			01-00-00-01040-00	01-00-00-99010-00
		202.00	-		202.00	3,974.11	28,931.61
						3,911.11	33,908.41
07/27/15		334.80			United Way		
		334.80			334.80	4,245.91	34,243.21
08/10/15		50.00			Kaiser Donation		
08/19/15		102.00			PG&E Donation		
		152.00			152.00	4,397.91	34,395.21
09/08/15		-	611.20	10203	Jeffrey Smith, DDS		
09/18/15			200.00	10204	Laguna ICF-DNN		
			811.20		(811.20)	3,586.71	33,584.01
10/22/15		50.00			Modesto City Schools		
10/29/15		50.00			Kaiser - Megan Paslak		
		100.00	-		100.00	3,686.71	33,684.01
11/13/15			600.00 (600.00)	10205	Barbara Mello		
			-		-	3,686.71	33,684.01
12/09/16		102.00			102.00	3,788.71	33,786.01
01/22/16		750.00			Accredited Nursing		
		750.00	-		750.00	4,538.71	34,536.01
02/03/16		334.80			United Way		
02/26/16		50.00			Kaiser for M. Pasalak		
		384.80	-		384.80	4,923.51	34,920.81
03/18/16		102.00			PG and E Donation		
03/22/16			1,000.00		Sonora Oral Surgery for Ian Allen		
		102.00	1,000.00		(898.00)	4,025.51	34,022.81
04/29/16			380.00	10207	E. Carnes/oral surgery		
04/29/16			100.00	10208	C. Christian/vision		
			480.00		(480.00)	3,545.51	33,542.81
06/17/16			200.00	10209	John Alexander		
06/21/16		51.00					
		51.00	200.00		(149.00)	3,396.51	33,393.81
07/07/16		51.00			for Thomas Valenzuela		
			303.00				
		51.00	303.00		(252.00)	3,144.51	33,141.81
08/02/16		200.00			John Alexander		

08/11/16	310.00		United Way			
08/30/16		254.00	T. Konchalski (Dr. Berger)			
	510.00	254.00	256.00	3,400.51	33,397.81	
09/13/16	102.00		Your Cause/PGandE			
09/22/16		300.00	10212 Sabina Alvarado/Jollette Avila			
09/28/16		237.00	10213 Joseph Delgado 4829149			
	102.00	537.00	(435.00)	2,965.51	32,962.81	
10/07/16		40.00	10214 Da'Nisha Young			
		40.00	(40.00)	2,925.51	32,922.81	
11/21/16	125.00		Bertolli			
11/23/16		231.25	10215 Chan			
11/29/16	75.00		Regina Valenzuela (E. Watkins)			
	200.00	231.25	Green/Hennila	(31.25)	2,894.26	32,891.56
12/07/16		231.25	10216 Regina Valenzuela (E. Watkins)			
12/08/16		(231.25)	to subtract re-issue			
12/08/16	852.00	875.00	10217 Dr. Patricia Ramos (Shayla Anderson)			
			Othon Castillo/Accredited Nursing			
	852.00	875.00	(23.00)	2,871.26	32,868.56	
01/05/17	1,650.00		Donations			
01/17/17	100.00		Thomas Martin/donation			
	1,750.00	-	1,750.00	4,621.26	34,618.56	
02/08/17	310.00		United Way			
	310.00	-	310.00	4,931.26	34,928.56	
03/03/17		400.00	Daisy Perez			
03/14/17		200.00	James Yeakle			
03/22/17	202.00					
	202.00	600.00	(398.00)	4,533.26	34,530.56	
05/16/17	45.00		Truist/Costco			
	12.00		Truist/Costco			
	57.00		57.00	4,590.26	34,587.56	
06/21/17		700.00	10221 Service First/D. Washburn			
		700.00	(700.00)	3,890.26	33,887.56	
07/11/17		1,100.00	10222 Tuolumne.../Diana Powell			
		1,100.00	(1,100.00)	2,790.26	32,787.56	
08/15/17	14.00		Truist (donation)			
08/22/17	48.00		United Way			
	62.00		62.00	2,852.26	32,849.56	
09/01/17	25.00		Diana Powell loan PMT			
09/07/17		672.15	10223 Palazzo/D. Stanton			
09/19/17	25.00		Diana Powell loan PMT			
09/26/17	38.85		eScrip			

	88.85	672.15		(583.30)	2,268.96	32,266.26
10/05/17	315.00			Donation/cabinet auction		
10/11/17	70.00			Silent auction for cabinet		
10/18/17	40.00			Silent auction for cabinet		
10/26/17	672.15			D. Stanton loan repayment		
	1,097.15	-		1,097.15	3,366.11	33,363.41
11/09/17		300.00	10226	Leilani Rivera Moreno		
11/09/17		800.00	10225	Jason Sauls		
	-	1,100.00		(1,100.00)	2,266.11	32,263.41
12/04/17	50.00			Loan Repayment D. Powell		
	14.00			Donation		
12/22/17	25.00			Loan Repayment D. Powell		
	104.50			Loan repayment		
	193.50	-		193.50	2,459.61	32,456.91
01/08/18	750.00			Accredited Nursing Care		
01/26/18	25.00			Diana Powell Loan		
	775.00	-		775.00	3,234.61	33,231.91
02/02/18	12.00			Donation		
02/05/18		200.00		Samantha Ferguson 8030242		
	12.00	200.00		(188.00)	3,046.61	33,043.91
03/05/18	225.00			D. Powell repayment		
03/16/18	35.00			Donation		
03/20/18		223.97	10302	\$25 loan repayment D. Powell & other		
				N. Passanisi		
	260.00	223.97		36.03	3,082.64	33,079.94
04/06/18		500.00	10303	Anthony Demattei		
04/06/18	60.00			Donation		
04/13/18	10.00			Donation		
04/24/18		500.00	10304	Gina Poree (Deanna Thompson)		
	70.00	1,000.00		(930.00)	2,152.64	32,149.94
05/10/18	10.00			Donation		
	56.88			Loan repayment D. Thompson		
05/29/18	50.00			Loan repayment D. Thompson		
	6.00			Donation		
	122.88	-		122.88	2,275.52	32,272.82
06/04/18	56.88			Loan repayment D. Thompson		
	130.00			Donations		
	186.88	-		186.88	2,462.40	32,459.70
07/03/18		324.00	10305	Loan Ansel Krueger		
07/03/18	50.00			Loan repayment D. Powell		
07/03/18	10.00			Donation		
07/12/18	56.88			Loan repayment D. Thompson		
07/17/18		1,500.00	10306	Jason Sauls		
07/09/18		3.74		closing of Grant Fund to Deposit Account		
	116.88	1,827.74		(1,710.86)	751.54	30,748.84
08/08/18	25.00			Donations		
	56.88			Loan repayment D. Thompson		
	81.88	-		81.88	833.42	30,830.72
09/10/18	210.00			Donations		
	56.88			Loan repayment D. Thompson		
09/20/18	10.00			Loan repayment A. Krueger		

	276.88	-		276.88	1,110.30	31,107.60
10/04/18	120.00					
	56.88					
10/11/18	10.00					
10/19/18		350.00	10308	Loan repayment D. Thompson Donation Safeguard Pest Liptrap, Patick & Shainyel		
	25.00					
10/26/18	211.88	350.00		Donation (138.12)	972.18	30,969.48
11/07/18	10.00			Donation		
	10.00			Repayment: Krueger		
	56.88			Repayment: Thompson		
	2,500.00			Donation PG&E Corp.		
	509.19			Donations		
	3,086.07	-		3,086.07	4,058.25	34,055.55
12/06/18	56.88			Repayment: D. Thompson		
	10.00			Repayment: A. Krueger		
	930.00			Donations		
	10.00			Donation		
		(350.00)		check 10308 not used		
	1,006.88	(350.00)		1,356.88	5,415.13	35,412.43
01/03/19	1,015.00			Donations		
01/10/19	10.00			Repayment: Krueger		
01/10/19	44.96			Repayment: Thompson		
01/24/19	52.00			Donation		
	1,121.96	-		1,121.96	6,537.09	36,534.39
02/07/19	10.00			Donation		
02/07/19	10.00			Repayment: Krueger		
		2,500.00	10310	PG&E Donation reversal		
	20.00	2,500.00		(2,480.00)	4,057.09	34,054.39
03/08/19	10.00			Donation		
	10.00			Repayment: Krueger		
03/21/19	110.00			Donations		
	130.00	-		130.00	4,187.09	34,184.39
04/16/19	30.00			Donation		
	70.00			Donation		
	10.00			Repayment: Krueger		
	110.00	-		110.00	4,297.09	34,294.39
05/03/19	50.00			Donations		
	10.00			Repayment: Krueger		
05/20/19		385.00	10314	Person Centered Services c/o Justin Gay		
		685.00	10313	Person Centered Services c/o Justin Frazier		
		685.00	10311	Person Centered Services c/o Albert Hernandez		
	1.00			Donation		
05/23/19	40.00			Donation		
05/31/19	631.15			Transfer from deposit account/donations		
	732.15	1,755.00		(1,022.85)	3,274.24	33,271.54
06/13/19	10.00			Repayment: Krueger		
	10.00			Donation		
	20.00	-		20.00	3,294.24	33,291.54
07/05/19	10.00			Repayment: Krueger		
	700.00			Donations		
07/11/19	100.00			Donation		
	113.76			Repayment: Hernandez		
	113.76			Repayment: Frazier		
	113.76			Repayment: Gay		

	194.20		Donation Transfer from 7501		
	1,345.48		1,345.48	4,639.72	34,637.02
	(194.20)	already in GL 00036			
	1,151.28	activity journalized			
08/07/19	10.00		Donation		
	10.00		Repayment: Krueger		
	56.88		Repayment: Frazier		
	56.88		Repayment: Gay		
	56.88		Repayment: Hernandez		
08/22/19	50.00		Donation		
08/29/19	60.00		Donation		
credit card	271.88		July WePay Donations		
credit card	85.00		June WePay Donations		
	657.52		657.52	5,297.24	35,294.54
09/05/19	56.88		Repayment: Gay		
	56.88		Repayment: Frazier		
	56.88		Repayment: Hernandez		
09/19/19	2,500.00		Donation: TMS		
	2,670.64		2670.64	7,967.88	37,965.18
10/03/19	50.00		Donation		
10/10/19	50.00		Donation		
	10.00		Donation		
	56.88		Repayment: Gay		
	56.88		Repayment: Frazier		
	56.88		Repayment: Hernandez		
10/24/19	218.00	10315	Dr. Maria Oronce/Re: J. Roberston		
	1,000.00		C&S Wholesale Grocers		
10/08/19	87.09		Oscar Dayrit	PayPal	
10/08/19	87.09		Judy Quintal	PayPal	
10/08/19	43.39		Eulerina Koester	PayPal	
10/14/19	43.39		Karen Puente	PayPal	
10/15/19	43.39		Melissa Raqueno	PayPal	
10/15/19	43.39		Remy Raqueno	PayPal	
10/17/19	43.39		Dominga Gler	PayPal	
10/18/19	130.78		Myrna Tacsion	PayPal	
10/28/19	43.39		Jerald Pasma	PayPal	
10/29/19	43.39		Christina Selvidge	PayPal	
10/29/19	43.39		Ann Kristine Lim	PayPal	
10/29/19	43.39		Nita Laguna	PayPal	
10/29/19	43.39		John Nakawatase	PayPal	
	2,019.50	218.00	1,801.50	9,769.38	39,766.68
			activity	Balance	
11/07/19	50.00		Donation		
	56.88		Repayment: Gay		
	56.88		Repayment: Hernandez		
	56.88		Repayment: Frazier		
	10.00		Donation		
11/2/2019	43.39		Lulu Menor	PayPal	
11/2/2019	43.39		Lulu Menor	PayPal	
11/4/2019	43.39		MIA CHATMAN-JAMES	PayPal	
11/4/2019	43.39		Digna Cabrera	PayPal	
11/4/2019	43.39		Eliseo Cabrera	PayPal	
11/5/2019	43.39		ARC San Joaquin	PayPal	
11/6/2019	43.39		Virginia Yadao	PayPal	
11/6/2019	87.09		Dona Want	PayPal	
11/6/2019	43.39		Eldred Cabrera	PayPal	
11/6/2019	43.39		Dominga Gler	PayPal	
11/6/2019	43.39		estrella williams	PayPal	
11/7/2019	43.39		Margarita King	PayPal	
11/7/2019	43.39		Ingrid Pil	PayPal	
11/7/2019	87.09		Myrna Tacsion	PayPal	
11/8/2019	43.39		JESS TOJINO	PayPal	
11/8/2019	96.80		Corinne Seaton	PayPal	
11/8/2019	43.39		JESS TOJINO	PayPal	
11/8/2019	43.39		Connie Uychutin	PayPal	
11/8/2019	43.39		Lorna Villanueva	PayPal	
11/8/2019	43.39		Arvin Villanueva	PayPal	
11/8/2019	43.39		Connie Uychutin	PayPal	
11/8/2019	43.39		Candice Bright	PayPal	
11/8/2019	43.39		Candice Bright	PayPal	
11/8/2019	43.39		Cindy La	PayPal	
11/8/2019	43.39		karyn gregorius	PayPal	
	1,456.20		1,456.20	11,225.58	41,222.88

VALLEY MOUNTAIN REGIONAL CENTER
Bank Reconciliation -Union Bank
James R. Poppelwell Memorial Fund
General Ledger Account # 01-00-00-01040-00
October 2019

Bank Balance Restricted Donation Account 10/31	9,987.38
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Outstanding Check 10315	(218.00)
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Adjusted bank balance	<u>9,769.38</u>
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General Ledger Balance 10/31	7,967.88
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October Activity	1,801.50
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Adjusted General Ledger Balance 10/31	<u>9,769.38</u>	-
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Prepared By: _____	Date: _____
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Approved By: _____	Date: _____
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VALLEY MOUNTAIN REGIONAL CENTER
Bank Reconciliation -Union Bank
James R. Poppelwell Memorial Fund
General Ledger Account # 01-00-00-01040-00
September 2019

Bank Balance Restricted Donation Account 9/31 **7,967.88**

Adjusted bank balance **7,967.88**

General Ledger Balance 9/31 **5,297.24**

September Activity **2,670.64**

Adjusted General Ledger Balance 9/31 **7,967.88**

Prepared By: _____ Date: _____

Approved By: _____ Date: _____

VALLEY MOUNTAIN REGIONAL CENTER
Bank Reconciliation -Union Bank
James R. Poppelwell Memorial Fund
General Ledger Account # 01-00-00-01040-00
August 2019

Bank Balance Restricted Donation Account 8/31	5,297.24
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Adjusted bank balance	<u>5,297.24</u>
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General Ledger Balance 8/31	4,282.84
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August Activity	657.52
Donations journalized through	271.88
cash receipts as credit	85.00

Adjusted General Ledger Balance 8/31	<u>5,297.24</u>
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Prepared By: _____	Date: _____
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Approved By: _____	Date: _____
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