

February 28, 2018

Memorandum

Finance and Personnel Committee

Ivan Johnson, Treasurer/Chair, Tom Bowe, President, Andrea Rueda, Secretary, Claire Lazaro, Vice President, B. Katherine Torres, Margaret Heinz, Elizabeth Victor-Martinez, Noemi Santiago, Connie Uychutin (CLASP). Staff: Claudia Reed, Bud Mullanix, and Tony Anderson

From: Ivan Johnson, Board Treasurer

MEETING AGENDA

Date: Wednesday, March 7, 2018

Time: 5:30PM

Place: VMRC Stockton Office – Cohen Board Room

DIAL-IN NUMBER: 1-866-299-7945

CONFERENCE PASSCODE 7793177#

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- | | | |
|--|------------------------|----|
| 1. Review and Approval of Meeting Agenda | Committee Action | |
| 2. Review of January 3, 2018 Minutes | Committee Action | 1 |
| 3. Review of February 7, 2018 Minutes | Committee Action | 5 |
| 4. Public Comment | | |
| 5. Fiscal Department Update | | |
| • Acceptance of C.S.R. – Through January 2018 | Committee Action | 13 |
| • Review of Contracts over \$250,000 | Committee Action | 17 |
| • Acceptance of B-5 Contract Amendment | Committee Action | 26 |
| • Acceptance of D-2 Contract Amendment | Committee Action | 33 |
| • Acceptance of PEP Report | Committee Action | 41 |
| • Acceptance Restricted Donations | Committee Action | 43 |
| Human Resources Update | | |
| • Human Resources Report (January/February 2018 activity) | Information | 45 |
| • Terminations Reports | Information | 47 |
| 6. Executive Session | | |
| 7. Next Meeting – <u>Wednesday, April 4, 2017 at 5:30PM, VMRC Stockton</u> | | |

* Items to follow via email or meeting handout packet.

The VMRC Board requests that all participants refrain from wearing perfume, cologne, and other fragrances, and use unscented personal care products in order to promote a fragrance-free environment.

In accordance with the Americans with Disabilities Act, if you have any special requirements in order to participate, please contact Jan Maloney at (209) 955-3248 prior to the start of this meeting.

Valley Mountain Regional Center
Finance and Personnel Committee Meeting Minutes
January 3, 2018

Present: Ivan Johnson, Treasurer
Tom Bowe, President
Andrea Rueda, Secretary
Elizabeth Victor-Martinez, Parent
Connie Uychutin, CLASP Representative
Claudia Reed, VMRC Chief Financial Officer
Tony Anderson, VMRC Executive Director
Bud Mullanix, VMRC Human Resources Director
Jan Maloney, VMRC Executive Assistant/Meeting Recorder

Absent: Claire Lazaro, Vice President
Katherine Torres, Chair Bylaws Committee
Noemi Santiago, Parent
Margaret Heinz, At Large

Visitor: Shaefaye Kirkendoll, Facilitator

Committee Actions noted in bold.

Ivan Johnson, Treasurer, brought the meeting to order at 6:33pm

1. Review and Approval of Agenda:

M/S/C (BOWE/RUEDA) to approve the agenda with corrections to item 3, to review the December minutes not the September minutes, and the date for the next meeting (still under review).

2. Review of December 6, 2017 minutes:

M/S/C (BOWE/RUEDA) to approve the minutes as presented.

3. Public Comment:

There was no public comment.

4. Fiscal Department Update:

- Acceptance of CSR through November, 2017
DDS Operating Contracts to date total \$29,307,285. POS Contracts to date total \$181,042,251.
Under Revenue, the CSR shows POS expenditures for the year-to-date total \$84,593,462 has been collected from the State, and VMRC currently owes the state \$52,893,583. At the end of November, there was

\$30,138,566 in VMRC's regular bank account, and the Popplewell account had \$2,266 available. Under Accounts Receivable, \$22,253,303 remains unpaid from the State.

Under POS expenditures, a total of \$74,503,470 has been expensed year-to-date, opposed to \$69,477,031 that was expenses year-to-date for the previous year. This represents an increase of 7.2%, the majority of which is due to vendor rate increases, and there was an increase in the number of consumers. \$814,967 is as yet unspent from the budget.

Operations expenditures year-to-date total \$10,942,877, as opposed to last year's Operations expenditures year-to-date of \$10,251,104, representing an increase of 6.7%. Operations expenditures also show a \$1,219,186 variance from budget most of which is in salaries that is unspent. This is caused because each position is budgeted for the full fiscal year but not all people are on board as of July 1st.

Claudia explained some of the line items in more detail, including items purchased by the IT Department toward two projects, and a Wi-Fi upgrade for all three offices. Also, there are two contractors this year which accounts for more additional expenditures. A discussion ensued following some questions regarding the amounts and percentages of increases, and it was decided Claudia would choose a dollar value and a percentage increase to explain to the reason for the increased from one month to the next.

M/S/C (BOWE/RUEDA) to accept the CSR as presented.

- Review of Contracts over \$250,000

Claudia reviewed the contracts and explained the reason for any increases in the contract renewal. Because there will be no meetings in February, Tom expressed concern regarding the approval for review of the March contracts. The Finance and Personnel committee could meet in February, purely to review and approve the contracts. There was also a suggestion to approve the contracts either via a conference call, or via email. The committee requested an overview presentation about contracts with Howard Training Center, to be given at Monday's board meeting.

M/S/C (BOWE/RUEDA) to approve the contracts over \$250,000 per below:

| Contracts for Board Approval Expiring in the month of February 2018 | | | | | | |
|--|-------------------------------------|------------------|-------------------|-----------------|-----------------|--|
| Vendor Name | Vendor Category | Current Contract | Proposed Contract | Dollar Increase | % Rate Increase | Reasons for Increase |
| Spread Your Wings | Supported Living Service | \$ 200,000 | \$ 660,000 | \$ 460,000 | 230.00% | New program last year. Original estimate at 572 units per month, currently providing 1,888 units per month |
| Howard Training Center Transportation | Transportation-Additional Component | \$ 296,571 | \$ 337,491 | \$ 40,920 | 13.80% | Approximate cost per month per consumer is \$925; and we are currently serving 28 consumers |

| Contracts with no change from previous year | | |
|--|--|------------------|
| Vendor Name | Vendor Category | Current Contract |
| Autism Treatment Solutions ESAIP | Client/Parent Support Behavior Intervention Training | \$ 432,000 |
| Howard Training Center BMP | Behavior Management Program | \$ 600,000 |
| Howard Training Center Catering & Specialty | Work Activity Program | \$ 307,562 |
| Howard Training Center Production Unlimited | Work Activity Program | \$ 412,795 |
| Howard Training Center Community Employment | Supported Employment-Group | \$ 889,203 |
| Howard Training Center Golden Opportunities | Adult Day Care | \$ 393,117 |
| Howard Training Center Home At Last | Family Home Agency | \$ 318,698 |
| Howard Training Center Community Integration Program | Adult Development Center | \$ 660,311 |

| OPS Contracts | | |
|-------------------|-----------------|--------------------|
| Vendor Name | Contract Amount | Contracted Service |
| Nothing to report | | |

- **Cash Flow Projection**
A cash flow projection report will not be produced until April, closer to the year-end, when the figures become more significant.
- **PEP Report (formerly SOAR)**
DDS has changed the title of this report to the POS Expenditure Projection Summary, abbreviated as the PEP Report. Claudia pointed out that the POS and CPP totals show that VMRC is underfunded, and this report will show DDS that we need some additional funding. When the D-2 Contract Amendment has been received, it will probably include additional funding, based on the PEP report.

Human Resources Update:

Bud Mullenix, VMRC's Human Resources Director, shared the New Hire and Terminations report that shows there were no terminations in December, but two Service Coordinators were hired for both the Stockton and Modesto offices, and are currently in the background check process, and VMRC is preparing to make two more offers. There are a total of six Service Coordinator vacancies, two of which are to fill positions vacated by two employees who retired. Bud interviewed somebody for the Clinical Nursing Manager position today. This has been a very difficult position to fill.

Bud has produced a new report, HR Insights. VMRC's total headcount is currently 330, year-to-date 50 people have been employed, and 27 termed. The growth rate is currently 8.2%, the turnover rate is 8.8%, and the average tenure of employees is 8.9 years. Bud also broke down the number of active employees by department and by generation, with the highest number of employees being Generation X at 43.9%. He showed the percentage ethnicity of employees with 47.3 being Caucasian, the pay type with the majority being hourly. The gender breakdown is 81.8% females and 17.9 males.

The all-staff Holiday Party was a great success, and Tony hopes to hold an all-staff meeting twice a year.

In response to Connie's question, VMRC does not track the number/percentage of employees who are bilingual.

Claudia distributed a page from the Milliman Report, the annual Actuarial report, which shows the valuation of the OPEB account (Other Post-Employment Benefits). At one point VMRC had completely paid the deficit of this account, but due to bad investments by CalPERS and market value, this account is no longer fully funded. Claudia has funded this account from the unspent portion of the prior year C-2 contract.

5. Executive Session:

There was no Executive Session this month.

6. Next Meeting:

The next Finance and Personnel Committee meeting will take place on March 7, however, the committee members may decide to meet in February to review the contracts over \$250,000 only.

The meeting adjourned at 6:58pm

Valley Mountain Regional Center
Finance and Personnel Committee Meeting Minutes
February 7, 2018

Present: Tom Bowe, President
Andrea Rueda, Secretary
Margaret Heinz, At Large
Connie Uychutin, CLASP Representative
Claudia Reed, VMRC Chief Financial Officer
Tony Anderson, VMRC Executive Director
Jan Maloney, VMRC Executive Assistant/Meeting Recorder

Via Conference Call:
Ivan Johnson, Board Treasurer, Claire Lazaro, Vice President

Absent: Noemi Santiago, Parent
Elizabeth Victor-Martinez, Parent
Bud Mullanix, VMRC Human Resources Director

Visitor: Shaefaye Kirkendoll, Facilitator

Committee Actions noted in bold.

Ivan Johnson, Treasurer, brought the meeting to order at 5:34pm

1. Review and Approval of Agenda:

M/S/C (BOWE/RUEDA) to approve the meeting agenda.

- Review of Contracts over \$250,000

Tony advised there is a new format to provide even more contract information to committee and board members, and a contract overview will be given. Following discussion of the new format, it was agreed line 7 would be removed, which gives the rate of payment/payment amount and line 10 will report as "Yes" or "No". After presentation to the committee, the contract will be posted to VMRC's website. The contract approval process will be added to the bylaws that are currently under review. It was agreed that the Secretary would not have to sign each resolution. After board approval, a list of all the approved contracts will be presented to Andrea for her signature.

M/S/C (RUEDA/HEINZ) to approve the three contracts over \$250,000, per below:

| No. | Description | Contract Summary |
|-----|--|--|
| 1 | Contract Overview: (New or Amendment) (POS or OPS) | New: Purchase of Service (POS) |
| 2 | Name of Vendor or Service Provider | Victor Learning Center -Transportation |
| 3 | Purpose of Contract | A regional center shall classify a vendor as a provider of transportation services - additional component if the vendor: (A) Is vendored separately from the primary service. The vendoring regional center may waive separate vendorization as a transportation services-additional component if the vendor is a community-based day program vendor, who conducts its curriculum solely in natural environments, and the regional center determines that it would be more cost effective to include the cost of transporting consumers, which occurs between the first and last training site as specified in Section 57434(a)(3)(N). The cost of transporting consumers shall be considered more cost effective if the cost of including the transportation service in determining the rate of reimbursement for the community-based day program is less than the cost of providing the transportation service pursuant to separate vendorization as a provider of transportation services - additional component; (B) Provides services by employees of the primary service agency; and (C) Provides the regional center with proof of adequate insurance as designated by the vendoring regional center in accordance with the Welfare and Institutions Code, Section 4648.3. |
| 4 | Contract Term | 4/1/18 - 3/31/23 Five year contract |
| 5 | Total Amount of Contract | Annually \$584,878. Five year total \$2,924,390. |
| 6 | Proposed Number of Consumers Served | 106 |
| 7 | Rate of Payment or Payment Amount | Payment will be reimbursed to service provider based on 1) the actual services authorized; 2) the actual services provided; and 3) the authorized rate of \$2.11 per actual mile; \$19.55 per hour per bus aide plus 50/50 split of fuel cost not to exceed \$4.50 per gallon gasoline or \$5.22 per gallon diesel. |
| 8 | Method or Process Utilized to Award the contract | Cost statements, negotiated rate |

| | | |
|----|---|---|
| 9 | Method or Process Utilized to Establish the Rate or the Payment Amount | Transportation Cost Statements - Title 17 |
| 10 | Is this provider currently in good standing and not under current investigation of physical or fiduciary abuse? | Quality Assurance, Fiscal, Transportation Coordinator |
| 11 | Exceptional conditions or Terms: Yes/No If Yes, provide explanation | No |

Contract Summary and Board Resolution

Valley Mountain Regional Center's Board of Directors reviewed the above contract on February 7, 2018 and passed the following resolution:

RESOLVED THAT in compliance with VMRC's BOD Contract Policy, the Transportation-Additional Component between VMRC and Victor Learning Center was reviewed and approved by the VMRC BOD on **February 7, 2018** in executive session and Board hereby authorized any Officer of the corporation to execute the Agreement without material changes but otherwise on such terms deemed satisfactory to such Officer.

VMRC Board of Directors hereby authorizes and designates any office of VMRC to finalize, execute and deliver the Contract on behalf of VMRC, in such form as VMRC's counsel may advise, and on such further terms and conditions as such Officer may approve. The final terms of the Contract shall be conclusively evidence by the execution of the Contract by such Officer. For purposes of this authorization, and "Officer means VMRC's Executive Director, Chief financial Officer and no one else.

Certification by Secretary: I certify that: (1) I am the Secretary of VMRC; (2) the foregoing Resolution is a complete and accurate copy of the Resolution duly adopted by VMRC's Board of Directors; (3) the Resolution is in full force and has not been revoked or changed in any way.

Andrea Rueda, Board Secretary

February 7, 2018

Date

| No. | Description | Contract Summary |
|-----|---|--|
| 1 | Contract Overview: (New or Amendment) (POS or OPS) | New: Purchase of Service (POS) |
| 2 | Name of Vendor or Service Provider | Victor Learning Center Alvarado |
| 3 | Purpose of Contract | Behavior Management Programs shall meet the requirements in Sections 56710 through 56756 of these regulations for the specific service being vendored. |
| 4 | Contract Term | 4/1/18 - 3/31/23 Five year contract |
| 5 | Total Amount of Contract | \$2,713,546 annually total contract \$13,567,730 for five years |
| 6 | Proposed Number of Consumers Served | 145 |
| 7 | Rate of Payment or Payment Amount | Payment will be reimbursed to service provider based on 1) the actual services authorized; 2) the actual services provided; and 3) the authorized rate of \$76.34 per consumer per day |
| 8 | Method or Process Utilized to Award the contract | Letter of Intent, Program Design, Vendorization |
| 9 | Method or Process Utilized to Establish the Rate or the Payment Amount | Dept. Developmental Services - rate setting |
| 10 | Is this provider currently in good standing and not under current investigation of physical or fiduciary abuse? | Quality Assurance, Fiscal Dept. |
| 11 | Exceptional conditions or Terms: Yes/No If Yes, provide explanation | No |

Contract Summary and Board Resolution

Valley Mountain Regional Center's Board of Directors reviewed the above contract on February 7, 2018 and passed the following resolution:

RESOLVED THAT in compliance with VMRC's BOD Contract Policy, the Behavior Management Program between VMRC and Victor Learning Center Alvarado was reviewed and approved by the VMRC BOD on **February 7, 2018** in executive session and Board hereby authorized any Officer of the corporation to execute the Agreement without material changes but otherwise on such terms deemed satisfactory to such Officer.

VMRC Board of Directors hereby authorizes and designates any office of VMRC to finalize, execute and deliver the Contract on behalf of VMRC, in such form as VMRC's counsel may advise, and on such further terms and conditions as such Officer may approve. The final terms of the Contract shall be conclusively evidence by the execution of the Contract by such Officer. For purposes of this authorization, and "Officer means VMRC's Executive Director, Chief Financial Officer and no one else.

Certification by Secretary: I certify that: (1) I am the Secretary of VMRC; (2) the foregoing Resolution is a complete and accurate copy of the Resolution duly adopted by VMRC's Board of Directors; (3) the Resolution is in full force and has not been revoked or changed in any way.

Andrea Rueda, Board Secretary

February 7, 2018
Date

| No. | Description | Contract Summary |
|-----|--|--|
| 1 | Contract Overview: (New or Amendment) (POS or OPS) | New: Purchase of Service (POS) |
| 2 | Name of Vendor or Service Provider | Choice Harney Home |
| 3 | Purpose of Contract | A regional center shall classify a vendor as a DSS Licensed-Specialized Residential Facility provider if the vendor operates a residential care facility licensed by the Department of Social Services (DSS) for individuals with developmental disabilities who require 24-hour care and supervision and whose needs cannot be appropriately met within the array of other community living options available. Primary services provided by a DSS Licensed-Specialized Residential Facility may include personal care and supervision services, homemaker, chore, attendant care, companion services, medication oversight (to the extent permitted under State law) and therapeutic social and recreational programming, provided in a home-like environment. Incidental services provided by a DSS Licensed-Specialized Residential Facility may include home health care, physical therapy, occupational therapy, speech therapy, medication administration, intermittent skilled nursing services, and/or transportation, as specified in the IPP. This |

| | | |
|----|---|--|
| | | <p>vendor type provides 24-hour on-site response staff to meet scheduled or unpredictable needs in a way that promotes maximum dignity and independence, and the provision of supervision and direct care support to ensure the consumers' health, safety and well-being. Other individuals or agencies may also furnish care directly, or under arrangement with the DSS Licensed-Specialized Residential Facility, but the care provided by these other entities must supplement the care provided by the DSS Licensed-Specialized Residential Facility and does not supplant it. Regional Center monitoring of the DSS Licensed-Specialized Residential Facility shall be in accordance with the applicable state laws and licensing regulations, including Title 17, and the regional center admission agreement. Payment for services in a DSS Licensed-Specialized Residential Facility must be made pursuant to Title 17, Section 56919 (a), after the regional center obtains approval from the Department for payment of the prevailing rate or, pursuant to Welfare & Institutions Code, Section 4648 (a)(4), the regional center may contract for the provision of services and supports for a period of up to three years, subject to the availability of funds.</p> |
| 4 | Contract Term | 4/1/18 - 3/31/23 Five year contract |
| 5 | Total Amount of Contract | Annually \$869,144.40. Five year total \$4,345,722. |
| 6 | Proposed Number of Consumers Served | Six |
| 7 | Rate of Payment or Payment Amount | Payment will be reimbursed to service provider based on 1) the actual services authorized;2) the actual services provided; and 3) the authorized rate of \$12,071.45 per consumer per month |
| 8 | Method or Process Utilized to Award the contract | Letter of Intent, Program Design, vendorization |
| 9 | Method or Process Utilized to Establish the Rate or the Payment Amount | Negotiated median rate table |
| 10 | Is this provider currently in good standing and not under current investigation of physical or fiduciary abuse? | Quality Assurance, Fiscal Dept. |
| 11 | Exceptional conditions or Terms: Yes/No If Yes, provide explanation | No |

Contract Summary and Board Resolution

Valley Mountain Regional Center's Board of Directors reviewed the above contract on February 7, 2018 and passed the following resolution:

RESOLVED THAT in compliance with VMRC's BOD Contract Policy, the Specialized Residential Facility (Habilitation) between VMRC and Choice Harney Home K. G. Harney Creek, Inc. was reviewed and approved by the VMRC BOD on **February 7, 2018** in executive session and Board hereby authorized any Officer of the corporation to execute the Agreement without material changes but otherwise on such terms deemed satisfactory to such Officer.

VMRC Board of Directors hereby authorizes and designates any office of VMRC to finalize, execute and deliver the Contract on behalf of VMRC, in such form as VMRC's counsel may advise, and on such further terms and conditions as such Officer may approve. The final terms of the Contract shall be conclusively evidence by the execution of the Contract by such Officer. For purposes of this authorization, and "Officer means VMRC's Executive Director, Chief financial Officer and no one else.

Certification by Secretary: I certify that: (1) I am the Secretary of VMRC: (2) the foregoing Resolution is a complete and accurate copy of the Resolution duly adopted by VMRC's Board of Directors; (3) the Resolution is in full force and has not been revoked or changed in any way.

Andrea Rueda, Board Secretary

February 7, 2018
Date

2. **Next Meeting:**

The next Finance and Personnel Committee meeting will take place on March 7, 5:30pm in the Cohen Board Room, VMRC Stockton.

The meeting adjourned at 6:00pm

Valley Mountain Regional Center
Financial Information

Valley Mountain Regional Center Contracts Current and Past Two Years

| | OPS | OPS CPP | POS | POS C PP | FG/SC |
|---|--------------------------|---------------------|---------------------------|--------------------|--------------------|
| Current Fiscal Year 2018 Contract Year D-1 Unspent | 28,910,038 11,263,488 | 397,247 191,592 | 180,619,751 78,919,273 | 422,500 305,462 | 224,373 190,985 |
| Last Fiscal Year 2017 Contract Year C-2 Unspent | 28,050,790 51,592 | 476,820 (11,799) | 168,263,354 1,925,237 | 344,693 289,054 | 462,758 9,987 |
| Second Prior Fiscal Year 2016 Contract Year B-4 Unspent | 23,916,805 (0) | 389,266 (0) | 145,893,328 4,871,846 | 859,432 501,017 | 432,350 26,866 |

Revenue

| | YTD |
|--------------------------------------|-----------------------|
| State Income Current Year | 101,109,441 |
| Foster Grandparents/Senior Companion | 246,988 |
| Interest Income | 52,275 |
| Other Income | 17,244 |
| Vendorization Training | 4,122 |
| ICF-SPA Income | 2,746,719 |
| ICF-SPA Fee | 43,739 |
| Total Income | <u>\$ 104,220,529</u> |

Cash, Accounts Receivable and Due to State as of January 31, 2018

| | |
|----------------------|----------------------|
| Cash Balance | \$ 29,998,871 |
| Poppellwell Fund | \$ 1,599 |
| Accounts Receivable: | |
| Current Year | \$ 17,681,336 |
| Prior Years | 2,116,395 |
| SPA | 2,283,657 |
| Total | <u>\$ 22,081,389</u> |
| Due to State | \$ 52,893,583 |

13.

Valley Mountain Regional Center
Expenditure Report for the month of January 2018

POS EXPENDITURES

| Category | Expense YTD | Expense Last YTD | Variance YTD from last YTD | Expense Budget YTD | Expense Budget Last YTD | Variance from Budget Current Year | Variance from Budget Last Year | % from Budget Current Year | % from Budget Last Year | % Change in Expense from Last YTD | % Change in Budget from Last Year Budget YTD. |
|------------------------------------|--------------------|-------------------|----------------------------|--------------------|-------------------------|-----------------------------------|--------------------------------|----------------------------|-------------------------|-----------------------------------|---|
| Community Care Facility | 32,561,318 | 30,108,356 | 2,452,962 | 32,311,359 | 28,972,441 | (249,959) | (1,135,915) | -0.8% | -3.9% | 8.1% | 11.5% |
| ICF/SNF FACILITY | 15,370 | - | 15,370 | 25,203 | - | 9,833 | - | 39.0% | #DIV/0! | #DIV/0! | #DIV/0! |
| Day Care | 704,181 | 671,266 | 32,915 | 773,398 | 796,889 | 69,217 | 125,623 | 8.9% | 15.8% | 4.9% | -2.9% |
| Day Training | 20,676,089 | 20,737,595 | (61,505) | 20,649,864 | 21,015,439 | (26,225) | 277,844 | -0.1% | 1.3% | -0.3% | -1.7% |
| Supported Employment | 936,574 | 931,660 | 4,915 | 932,800 | 1,001,011 | (3,774) | 69,352 | -0.4% | 6.9% | 0.5% | -6.8% |
| Work Activity Program | 319,926 | 365,305 | (45,379) | 531,031 | 446,245 | 211,105 | 80,940 | 39.8% | 18.1% | -12.4% | 19.0% |
| Non-Medical Services-Professional | 306,241 | 273,702 | 32,539 | 387,681 | 267,483 | 81,440 | (6,219) | 21.0% | -2.3% | 11.9% | 44.9% |
| Non-Medical Services-Programs | 12,292,354 | 10,648,568 | 1,643,786 | 12,338,806 | 10,257,045 | 46,452 | (391,523) | 0.4% | -3.8% | 15.4% | 20.3% |
| Home Care Services-Programs | 332,424 | 314,369 | 18,055 | 376,294 | 374,514 | 43,870 | 60,145 | 11.7% | 16.1% | 5.7% | 0.5% |
| Transportation | 1,343,900 | 1,268,056 | 75,844 | 1,447,393 | 1,402,465 | 103,493 | 134,409 | 7.2% | 9.6% | 6.0% | 3.2% |
| Transportation Contracts | 9,446,747 | 9,698,652 | (251,905) | 9,500,795 | 10,308,023 | 54,048 | 609,371 | 0.6% | 5.9% | -2.6% | -7.8% |
| Prevention Services | 7,109,470 | 6,131,452 | 978,017 | 7,095,819 | 6,129,487 | (13,651) | (1,965) | -0.2% | 0.0% | 16.0% | 15.8% |
| Other Authorized Services | 10,222,400 | 8,549,980 | 1,672,420 | 10,289,689 | 8,446,912 | 67,289 | (103,068) | 0.7% | -1.2% | 19.6% | 21.8% |
| P&I Expense | 25,863 | 20,087 | 5,775 | 28,007 | 20,107 | 2,144 | 20 | 7.7% | 0.1% | 28.8% | 39.3% |
| Hospital Care | 268,750 | - | 268,750 | 291,500 | - | 22,750 | - | 7.8% | #DIV/0! | #DIV/0! | #DIV/0! |
| Medical Equipment | 225,622 | 162,998 | 62,624 | 275,637 | 182,636 | 50,015 | 19,638 | 18.1% | 10.8% | 38.4% | 50.9% |
| Medical Care Professional Services | 1,637,693 | 1,548,118 | 89,575 | 1,659,545 | 1,565,116 | 21,852 | 16,998 | 1.3% | 1.1% | 5.8% | 6.0% |
| Medical Care-Program Services | 17,488 | 25,923 | (8,435) | 45,202 | 37,986 | 27,714 | 12,063 | 61.3% | 31.8% | -32.5% | 19.0% |
| Respite-In-Home | 5,877,501 | 5,424,737 | 452,765 | 5,880,721 | 5,421,900 | 3,220 | (2,837) | 0.1% | -0.1% | 8.3% | 8.5% |
| Respite Out-of-Home | 303,172 | 292,850 | 10,322 | 408,100 | 303,199 | 104,928 | 10,350 | 25.7% | 3.4% | 3.5% | 34.6% |
| Camps | 15,600 | 13,896 | 1,704 | 52,470 | 8,605 | 36,870 | (5,291) | 70.3% | -61.5% | 12.3% | 509.8% |
| Total POS expenses | 104,638,682 | 97,187,568 | 7,451,114 | 105,301,314 | 96,957,503 | 662,632 | (230,066) | 0.6% | -0.2% | 7.7% | 8.6% |

14.

Valley Mountain Regional Center
Expenditure Report for the month of January 2018

OPERATIONS EXPENDITURES

| Category | Expense YTD | Expense Last YTD | Variance YTD from last YTD | Expense Budget YTD | Expense Budget Last YTD | Variance from Budget Current Year | Variance from Budget Last Year | % from Budget Current Year | % from Budget Last Year | % Change in Expense from Last YTD | % Change in Budget from Last Year Budget YTD. |
|--|-------------|------------------|----------------------------|--------------------|-------------------------|-----------------------------------|--------------------------------|----------------------------|-------------------------|-----------------------------------|---|
| Salaries and Wages | 9,640,103 | 8,880,026 | 760,077 | 9,794,400 | 9,336,103 | 154,297 | 456,077 | 1.6% | 4.9% | 8.6% | 4.9% |
| Temporary Help | - | 4,103 | (4,103) | 3,498 | 6,996 | 3,498 | 2,893 | 100.0% | 41.4% | -100.0% | -50.0% |
| Fringe Benefits | 3,321,691 | 2,926,831 | 394,860 | 3,323,100 | 3,318,540 | 1,409 | 391,709 | 0.0% | 11.8% | 13.5% | 0.1% |
| Contracted Employees | 39,262 | 20,507 | 18,755 | 75,790 | 37,895 | 36,528 | 17,388 | 48.2% | 45.9% | 91.5% | 100.0% |
| Salaries and Benefits Total | 13,001,057 | 11,831,468 | 1,169,589 | 13,196,788 | 12,699,534 | 195,731 | 868,066 | 1.5% | 6.8% | 9.9% | 3.9% |
| Equipment Contract leases | 59,845 | 19,905 | 39,940 | 75,790 | 20,988 | 15,945 | 1,083 | 21.0% | 5.2% | 200.7% | 261.1% |
| Facilities Rent | 976,998 | 971,659 | 5,339 | 991,100 | 1,192,585 | 14,102 | 220,926 | 1.4% | 18.5% | 0.5% | -16.9% |
| Facilities Maintenance | 380,810 | 274,969 | 105,841 | 408,100 | 303,160 | 27,290 | 28,191 | 6.7% | 9.3% | 38.5% | 34.6% |
| Telephone | 104,173 | 84,240 | 19,933 | 108,438 | 132,818 | 4,265 | 48,578 | 3.9% | 36.6% | 23.7% | -18.4% |
| Postage and Shipping | 59,091 | 49,711 | 9,380 | 81,620 | 60,282 | 22,529 | 10,571 | 27.6% | 17.5% | 18.9% | 35.4% |
| General Office Expense | 275,124 | 56,828 | 218,295 | 311,905 | 61,798 | 36,782 | 4,970 | 11.8% | 8.0% | 384.1% | 404.7% |
| Insurance | 47,207 | 45,833 | 1,374 | 58,300 | 73,139 | 11,093 | 27,306 | 19.0% | 37.3% | 3.0% | -20.3% |
| Printing | 11,419 | 6,394 | 5,025 | 14,575 | 26,118 | 3,156 | 19,724 | 21.7% | 75.5% | 78.6% | -44.2% |
| Utilities | 102,483 | 111,076 | (8,592) | 116,600 | 215,244 | 14,117 | 104,168 | 12.1% | 48.4% | -7.7% | -45.8% |
| Information Technology | 581,631 | 439,663 | 141,968 | 612,150 | 565,743 | 30,519 | 126,080 | 5.0% | 22.3% | 32.3% | 8.2% |
| Bank Fees | 23,143 | 36,137 | (12,993) | 33,450 | 47,806 | 10,307 | 11,669 | 30.8% | 24.4% | -36.0% | -30.0% |
| Legal Fees | 2,963 | 50,770 | (47,808) | 29,150 | 80,454 | 26,188 | 29,684 | 89.8% | 36.9% | -94.2% | -63.8% |
| Board of Director Expense | 12,470 | 8,669 | 3,801 | 14,575 | 11,660 | 2,105 | 2,991 | 14.4% | 25.6% | 43.8% | 25.0% |
| Accounting Fees | - | - | - | 34,980 | 34,980 | 34,980 | 34,980 | 100.0% | 100.0% | #DIV/0! | 0.0% |
| Equipment Purchases | 154,248 | 46,399 | 107,849 | 291,500 | 116,600 | 137,252 | 70,201 | 47.1% | 60.2% | 232.4% | 150.0% |
| Consultants | 129,671 | 78,268 | 51,403 | 174,900 | 109,604 | 45,229 | 31,336 | 25.9% | 28.6% | 65.7% | 59.6% |
| Travel Administration | 35,751 | 13,139 | 22,613 | 43,725 | 20,405 | 7,974 | 7,266 | 18.2% | 35.6% | 172.1% | 114.3% |
| Travel Consumer Services | 226,466 | 197,883 | 28,582 | 244,860 | 221,540 | 18,394 | 23,657 | 7.5% | 10.7% | 14.4% | 10.5% |
| Dues and Subscriptions | 1,534 | 25 | 1,509 | 3,527 | 1,632 | 1,993 | 1,607 | 56.5% | 98.5% | 6036.2% | 116.1% |
| Consumer Medical Record Fees | 3,641 | 5,111 | (1,469) | 5,947 | 5,597 | 2,306 | 486 | 38.8% | 8.7% | -28.8% | 6.3% |
| ARCA dues | 80,458 | 64,471 | 15,987 | 47,223 | 37,587 | (33,235) | (26,884) | -70.4% | -71.5% | 24.8% | 25.6% |
| Advertising | 1,214 | 558 | 656 | 2,041 | 4,664 | 827 | 4,106 | 40.5% | 88.0% | 117.6% | -56.2% |
| Interest expense | 14,506 | - | 14,506 | - | - | (14,506) | - | #DIV/0! | #DIV/0! | #DIV/0! | #DIV/0! |
| Fees, licenses and miscellaneous | 392 | 56 | 336 | 39,207 | 18,656 | 38,815 | 18,600 | 99.0% | 99.7% | 595.9% | 110.2% |
| Non Payroll related operating expenses | 3,285,239 | 2,561,765 | 723,475 | 3,743,663 | 3,363,060 | 458,424 | 801,295 | 12.2% | 23.8% | 28.2% | 11.3% |
| Total Operating Expenses | 16,286,296 | 14,393,232 | 1,893,064 | 16,940,451 | 16,062,594 | 654,155 | 1,669,362 | 3.9% | 10.4% | 13.2% | 5.5% |

15.

Valley Mountain Regional Center Contracts over \$250,000

F&P for March 2018 for contract beginning in April 2018

| No. | Description | Contract Summary |
|-----|---|--|
| 1 | Contract Overview: (New or Amendment) (POS or OPS) | New:Purchase of Service (POS) |
| 2 | Name of Vendor or Service Provider | Community Builders SLS |
| 3 | Purpose of Contract | Beginning July 1, 2000, a regional center shall classify a vendor as a provider of Supported Living Service if the vendor provides services enumerated in Title 17, Section 58614. |
| 4 | Contract Term | 5/1/18 - 4/30/23 Five year contract |
| 5 | Total Amount of Contract | Annually \$748,938. Five year total \$3,744,690. |
| 6 | Proposed Number of Consumers Served | 55-60 1190 - 1900 units per month |
| 7 | Method or Process Utilized to Award the contract | Letter of Intent, Program Design (2009) |
| 8 | Method or Process Utilized to Establish the Rate or the Payment Amount | State Wide Median Rate Chart |
| 9 | Is this provider currently in good standing and not under current investigation of physical or fiduciary abuse? | Quality Assurance: yes Finance: yes Transportation: yes |
| 10 | Exceptional conditions or Terms: Yes/No If Yes, provide explanation | No |

Valley Mountain Regional Center Contracts over \$250,000

F&P for March 2018 for contract beginning in April 2018

| No. | Description | Contract Summary |
|-----|---|--|
| 1 | Contract Overview: (New or Amendment) (POS or OPS) | New:Purchase of Service (POS) |
| 2 | Name of Vendor or Service Provider | Community Catalysts of California SLS |
| 3 | Purpose of Contract | Beginning July 1, 2000, a regional center shall classify a vendor as a provider of Supported Living Service if the vendor provides services enumerated in Title 17, Section 58614. |
| 4 | Contract Term | 5/1/18 - 4/30/23 Five year contract |
| 5 | Total Amount of Contract | Annually \$1,800,929. Five year total \$9,004,645. |
| 6 | Proposed Number of Consumers Served | 40-50 consumers 3,000-3,500 units per month |
| 7 | Method or Process Utilized to Award the contract | Letter of Intent, Program Design (2000) |
| 8 | Method or Process Utilized to Establish the Rate or the Payment Amount | Negotiated rate |
| 9 | Is this provider currently in good standing and not under current investigation of physical or fiduciary abuse? | Quality Assurance: yes Finance: yes Transportation: yes |
| 10 | Exceptional conditions or Terms: Yes/No If Yes, provide explanation | No |

Valley Mountain Regional Center Contracts over \$250,000

F&P for March 2018 for contract beginning in April 2018

| No. | Description | Contract Summary |
|-----|---|---|
| 1 | Contract Overview: (New or Amendment) (POS or OPS) | New:Purchase of Service (POS) |
| 2 | Name of Vendor or Service Provider | MV Transportation |
| 3 | Purpose of Contract | A regional center shall classify a vendor as a transportation company if the vendor possesses a current business license as a transportation company and: (A) Provides the regional center with proof of adequate insurance as designated by the vendoring regional center in accordance with the Welfare and Institutions Code, Section 4648.3; and (B) Will be employed to transport individuals to and from their community-based day programs or other vendored services for the regional center. |
| 4 | Contract Term | 5/1/18 - 4/30/23 Five year contract |
| 5 | Total Amount of Contract | Annually \$5,461,662. Five year total \$27,308,310. |
| 6 | Proposed Number of Consumers Served | 500-525 consumers |
| 7 | Method or Process Utilized to Award the contract | Letter of Interest, mutual discussions (1993) |
| 8 | Method or Process Utilized to Establish the Rate or the Payment Amount | Negotiated rate |
| 9 | Is this provider currently in good standing and not under current investigation of physical or fiduciary abuse? | Quality Assurance: yes Finance: yes Transportation: yes |
| 10 | Exceptional conditions or Terms: Yes/No If Yes, provide explanation | No |

Valley Mountain Regional Center Contracts over \$250,000

F&P for March 2018 for contract beginning in April 2018

| No. | Description | Contract Summary |
|-----|---|--|
| 1 | Contract Overview: (New or Amendment) (POS or OPS) | New:Purchase of Service (POS) |
| 2 | Name of Vendor or Service Provider | UCP Stanislaus Central Connections |
| 3 | Purpose of Contract | Activity Centers shall meet the requirements in Sections 56710 through 56756 of these regulations for the specific service being vendored. |
| 4 | Contract Term | 5/1/18 - 4/30/23 Five year contract |
| 5 | Total Amount of Contract | Annually \$345,967. Five year total \$1,729,835. |
| 6 | Proposed Number of Consumers Served | 20-25 consumers |
| 7 | Method or Process Utilized to Award the contract | Letter of Intent, Program Design (2012) |
| 8 | Method or Process Utilized to Establish the Rate or the Payment Amount | DDS-set rate |
| 9 | Is this provider currently in good standing and not under current investigation of physical or fiduciary abuse? | Quality Assurance: yes Finance: yes Transportation: yes |
| 10 | Exceptional conditions or Terms: Yes/No If Yes, provide explanation | No |

Valley Mountain Regional Center Contracts over \$250,000

F&P for March 2018 for contract beginning in April 2018

| No. | Description | Contract Summary |
|-----|---|--|
| 1 | Contract Overview: (New or Amendment) (POS or OPS) | New:Purchase of Service (POS) |
| 2 | Name of Vendor or Service Provider | UCP Stanislaus Supported Employment-Group |
| 3 | Purpose of Contract | A regional center shall classify a vendor as a provider of Supported Employment -- Group Services if the vendor meets all the requirements of the vendorization process, including proof of certification of nonprofit status and provides supported employment services with a single job coach to a group of individuals engaged in paid work that is integrated in the community. |
| 4 | Contract Term | 5/1/18 - 4/30/23 Five year contract |
| 5 | Total Amount of Contract | Annually \$275,000. Five year total \$1,375,000. |
| 6 | Proposed Number of Consumers Served | 16-18 consumers |
| 7 | Method or Process Utilized to Award the contract | Transferred from Department of Rehabilitation (2004) |
| 8 | Method or Process Utilized to Establish the Rate or the Payment Amount | DDS-set rate |
| 9 | Is this provider currently in good standing and not under current investigation of physical or fiduciary abuse? | Quality Assurance: yes Finance: yes Transportation: yes |
| 10 | Exceptional conditions or Terms: Yes/No If Yes, provide explanation | No |

Valley Mountain Regional Center Contracts over \$250,000

F&P for March 2018 for contract beginning in April 2018

| No. | Description | Contract Summary |
|-----|---|---|
| 1 | Contract Overview: (New or Amendment) (POS or OPS) | New:Purchase of Service (POS) |
| 2 | Name of Vendor or Service Provider | UCP Stanislaus Expanding Horizons |
| 3 | Purpose of Contract | Adult Development Centers shall meet the requirements in Sections 56710 through 56756 of these regulations for the specific service being vendored. |
| 4 | Contract Term | 5/1/18 - 4/30/23 Five year contract |
| 5 | Total Amount of Contract | Annually \$393,086. Five year total \$1,965,430. |
| 6 | Proposed Number of Consumers Served | 20-25 consumers |
| 7 | Method or Process Utilized to Award the contract | Letter of Intent, Program Design (1994) |
| 8 | Method or Process Utilized to Establish the Rate or the Payment Amount | DDS-set rate |
| 9 | Is this provider currently in good standing and not under current investigation of physical or fiduciary abuse? | Quality Assurance: yes Finance: yes Transportation: yes |
| 10 | Exceptional conditions or Terms: Yes/No If Yes, provide explanation | No |

Valley Mountain Regional Center Contracts over \$250,000

F&P for March 2018 for contract beginning in April 2018

| No. | Description | Contract Summary |
|-----|---|--|
| 1 | Contract Overview: (New or Amendment) (POS or OPS) | New:Purchase of Service (POS) |
| 2 | Name of Vendor or Service Provider | UCP Stanislaus Focal Point |
| 3 | Purpose of Contract | Activity Centers shall meet the requirements in Sections 56710 through 56756 of these regulations for the specific service being vendored. |
| 4 | Contract Term | 5/1/18 - 4/30/23 Five year contract |
| 5 | Total Amount of Contract | Annually \$576,485. Five year total \$2,885,425. |
| 6 | Proposed Number of Consumers Served | 35-40 consumers |
| 7 | Method or Process Utilized to Award the contract | Program Design (1978) |
| 8 | Method or Process Utilized to Establish the Rate or the Payment Amount | DDS-set rate |
| 9 | Is this provider currently in good standing and not under current investigation of physical or fiduciary abuse? | Quality Assurance: yes Finance: yes Transportation: yes |
| 10 | Exceptional conditions or Terms: Yes/No If Yes, provide explanation | No |

Valley Mountain Regional Center Contracts over \$250,000

F&P for March 2018 for contract beginning in April 2018

| No. | Description | Contract Summary |
|-----|---|---|
| 1 | Contract Overview: (New or Amendment) (POS or OPS) | New:Purchase of Service (POS) |
| 2 | Name of Vendor or Service Provider | Above the Clouds OneTwoThree,LLC |
| 3 | Purpose of Contract | A regional center shall classify an individual as an Early Start Therapeutic Services provider if the person provides services for consumers aged birth to 36 months who require specialized services, as deemed necessary based on informed clinical opinion. These services must be identified in the Individualized Family Service Plan. Early Start specialized therapeutic services include, but are not limited to: services necessary to increase or maintain health and/or developmental progress; and, when provided as ancillary to these services, family and/or individual education and training; family support and counseling; provider travel; interagency consultation; and consultation with and training for other involved professionals. Vendors shall be individuals offering these services, and shall ensure possession of licenses, certifications and/or credentials as required by the State of California to practice in the field being offered. In addition, the vendor shall have at a minimum, one year's experience working with persons with developmental disabilities. Refer to Program Advisory: Early Start Special Service Code (CFSB 01-1), October 12, 2001. |
| 4 | Contract Term | 2/8/2018 - 1/31/2023 |
| 5 | Total Amount of Contract | Annually \$350,000. Five year total \$1,750,000 . |
| 6 | Proposed Number of Consumers Served | First time contract number of consumers not yet determined |
| 7 | Method or Process Utilized to Award the contract | Letter of Interest, Program Design (2018) |
| 8 | Method or Process Utilized to Establish the Rate or the Payment Amount | Statewide Median Rate Chart |
| 9 | Is this provider currently in good standing and not under current investigation of physical or fiduciary abuse? | Quality Assurance: yes Finance: yes Transportation: yes |
| 10 | Exceptional conditions or Terms: Yes/No If Yes, provide explanation | No |

24.

Contract Summary and Board Resolution

Valley Mountain Regional Center's Board of Directors reviewed the above contract on March 12, 2018 and passed the following resolution:

RESOLVED THAT in compliance with VMRC's BOD Contract Policy, the contracts listed below between VMRC and stated vendors were reviewed and approved by the VMRC BOD on March 12, 2018 and Board hereby authorized any Officer of the corporation to execute the Agreement without material changes but otherwise on such terms deemed satisfactory to such Officer.

1. Community Builders SLS vendor number SV0004
2. Community Catalyst of California SLS vendor number S29325
3. VM Transportation vendor number H29297
4. UCP Stanislaus Central Connections vendor number HV0391
5. UCP Stanislaus SEP-GP vendor number HV0197
6. UCP Stanislaus Expanding Horizons vendor number H44541
7. UCP Stanislaus Focal Point vendor number H06972
8. Above the Clouds OneTwoThree vendor number PV1719

VMRC Board of Directors hereby authorizes and designates any office of VMRC to finalize, execute and deliver the Contract on behalf of VMRC, in such form as VMRC's counsel may advise, and on such further terms and conditions as such Officer may approve. The final terms of the Contract shall be conclusively evidence by the execution of the Contract by such Officer. For purposes of this authorization, and "Officer" means VMRC's Executive Director, Chief financial Officer and no one else.

Certification by Secretary: I certify that: (1) I am the Secretary of VMRC; (2) the foregoing Resolution is a complete and accurate copy of the Resolution duly adopted by VMRC's Board of Directors; (3) the Resolution is in full force and has not been revoked or changed in any way.

Andrea Rueda, Board Secretary

March 12, 2018
Date

DEPARTMENT OF DEVELOPMENTAL SERVICES

1600 NINTH STREET, MS 3-18
SACRAMENTO, CA 95814
TTY 654-2054 (For the Hearing Impaired)
(916) 653-0743

Date: February 20, 2018

Valley Mountain Regional Center, Inc.
702 North Aurora Street
Stockton, CA 95202

**Attn: Regional Center Director
Contracting Agency President
Regional Center Administrator**

Contract Number: HD149021 B-5

Dear Contractor:

Please sign all copies with original signatures, and return for further processing to:

**Department of Developmental Services
Contracts Management Unit
Attention: Karen Russ
1600 Ninth Street, Room 300, MS 3-18
Sacramento, CA 95814**

Sincerely,



Karen Russ
Contract Analyst

Enclosure(s)

CONTRACT AMENDMENT INSTRUCTIONS

Five copies of the amendment (STD 215 A) are enclosed for the contracting agency president to sign in blue ink. Please return the five signed original copies to:

Department of Developmental Services
Contracts Management Unit
1600 9th Street, Room 300, MS 3-18
Sacramento, CA 95814

EXPLANATION OF ITEMS FOR 2015-16 B-5 AMENDMENT
(Last amendment for Fiscal Year 2015-16)

OPERATIONS (Ops)

Projects

- **Foster Grandparents/Senior Companion Programs**
Allocated amounts based on volunteer service years as agreed upon with regional centers.

PURCHASE OF SERVICES (POS)

CPP

All CPP allocations based on approved regional center proposals.

Department of Developmental Services
Explanation of Items in Allocation
Regional Center: Valley Mountain

Exhibit III

Fiscal Year 2015-16

| | Operations | Purchase Of Service | Early Intervention Program |
|--|----------------------|------------------------|-------------------------------|
| Previous Contract Amendment: B-4 | \$24,754,815 | \$146,752,760 | \$0 |
| Current Contract Amendment: B-5 | | | |
| Non-CPP Operations Allocations: (\$0 for B-4) | | | |
| Foster Grandparent/Senior Companion Program | 5,475 | | |
| Family Resource Centers/Networks: (\$0 for B-4) | | | 0 |
| Non-CPP POS Allocations: | | | |
| CPP Related Items: | | | |
| Regular Ops, CPP | | | |
| Reg Ops, Integrated Project | | | |
| Agnews Ongoing Workload Unified Ops Costs | | | |
| Agnews Ongoing Workload State EE/Staff in Community | | | |
| Agnews Ongoing Workload Continuation | | | |
| Lanterman Staffing LDC Closure | | | |
| Sonoma DC Closure | | | |
| Start-Up CPP POS | | 0 | |
| Start-Up Integrated Program POS | | 0 | |
| Assessment CPP POS | | 0 | |
| Adjustment Placement CPP POS | | 0 | |
| Placement CPP POS | | 0 | |
| Deflection CPP POS | | 0 | |
| Sonoma DC Closure CPP POS Start-Up | | 0 | |
| Sonoma DC Closure CPP POS Placement | | 0 | |
| Total B-5 Contract Amendment | \$5,475 | \$0 | \$0 |
| Total Contract by Program, (Ops, POS, EIP) | \$24,760,290 | \$146,752,760 | \$0 |
| Grand Total Contract | \$171,513,050 | | |

STANDARD AGREEMENT AMENDMENT

STD. 213 A (Rev 9/01)

☒ CHECK HERE IF ADDITIONAL PAGES ARE ATTACHED 1 Pages

AGREEMENT NUMBER

AMENDMENT NUMBER

HD149021

B-5

1. This Agreement is entered into between the State Agency and Contractor named below:

STATE AGENCY'S NAME

Department of Developmental Services

CONTRACTOR'S NAME

Valley Mountain Regional Center, Inc.

2. The term of this

Agreement is: July 1, 2014, through June 30, 2021

3. The maximum amount of this

Agreement after this amendment is: \$171,513,050

4. The parties mutually agree to this amendment as follows. All actions noted below are by this reference made a part of the Agreement and incorporated herein:

- a. The effective date of this amendment is December 1, 2016.

- b. Section 8 of article I is amended and reads as follows: "8. The total amount payable to Contractor under this contract agreement shall not exceed \$171,513,050 Year 2015/2016 as reflected in Exhibit A, Page 1 of this contract".

Fiscal Year funds identified above may not be used for any other fiscal year, than the fiscal year specified unless authorized by the Department to do so.

- c. Replaced by this amendment is Exhibit A, Page 1 which is attached hereto and made a part of this contract.

- d. All other terms and conditions remain the same.

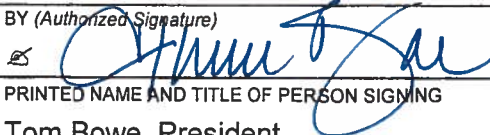
IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.

CONTRACTOR

CONTRACTOR'S NAME (If other than an individual, state whether a corporation, partnership, etc.)

Valley Mountain Regional Center, Inc.

BY (Authorized Signature)



DATE SIGNED (Do not type)

2.23.18

PRINTED NAME AND TITLE OF PERSON SIGNING

Tom Bowe, President

ADDRESS

702 North Aurora Street
Stockton, CA 95202**STATE OF CALIFORNIA**

AGENCY NAME

Department of Developmental Services

BY (Authorized Signature)



DATE SIGNED (Do not type)

PRINTED NAME AND TITLE OF PERSON SIGNING

Pamela S. Robison, Chief, Customer Support Section

ADDRESS

1600 9th Street, Room 300, MS 3-18
Sacramento, CA 95814CALIFORNIA
Department of General Services
Use Only☐ Exempt per:

31.

**CONTRACT BUDGET SUMMARY
2015-16 FISCAL YEAR**

Contracting Agency:
Valley Mountain Regional Center, Inc.

Contract Number HD149021

B-5 TOTAL CONTRACT
February 9, 2018

TOTAL OPERATIONS

\$24,760,290

In accordance with State Contract language under Article III: Fiscal Provisions Item #4 Payment Provisions, paragraph 3, the following Operation category expenditures must be claimed on a separate invoice:

| | |
|--|---------|
| Foster Grandparent Program (federal portion only) See Footnote ^{1/} | 158,483 |
| Mental Health Services Fund | 0 |
| Agnews Ongoing Workload (Non-CPP) | 0 |
| Lanterman Ongoing Workload (Non-CPP) | 0 |
| Total CPP Ops | 389,266 |
| <i>Sonoma DC Closure</i> | 0 |
| <i>Regular Ops</i> | 389,266 |

TOTAL PURCHASE OF SERVICES

\$146,752,760

In accordance with State Contract language under Article III: Fiscal Provisions Item #4 Payment Provisions, paragraph 3, the following Purchase of Service category expenditures must be claimed on a separate invoice:

| | |
|---------------------------------------|-----------|
| Total CPP POS | 859,432 |
| <i>Sonoma DC Closure Start-Up</i> | 0 |
| <i>Sonoma DC Closure Placement</i> | 24,049 |
| <i>Start-up Regular POS</i> | 280,000 |
| <i>Assessment Regular POS</i> | 0 |
| <i>Adjustment for Placement Costs</i> | 0 |
| <i>Placement Regular POS</i> | 509,488 |
| <i>Deflection Regular POS</i> | 45,895 |
| Part C See Footnote 2/ | 3,559,860 |

TOTAL EARLY INTERVENTION

| | |
|---------------------------------|-------------------|
| Family Resource Centers/Network | <u>\$0</u> |
|---------------------------------|-------------------|

TOTAL BUDGET

\$171,513,050

^{1/} **FGP:** Program Title: Foster Grandparent Program, CFDA Number: 94.011, Award No. : 13GXPCA001,

Senior Companion: (GF) CFDA # 94.016, Program Title: Senior Companion Program, Award No. : 13SXPCA001

^{2/}**Part C:** CFDA Title: Infant and Toddlers with Disabilities, Program Title: Special Education-Grants for Infants and Families with Disabilities
CFDA Number: 84.181A, Award No: H181A150037.

Since Grant Award Notification will occur after the execution of this contract and changes are limited to the Federal Award Number and calendar year awarded, updated Grant Award Notification will be maintained in DDS' contract file and incorporated by reference. A copy of the current Grant Award Notification shall be sent to Contractor as it is made available to DDS.

Federal Agency Name: Office of Special Education and Rehabilitative Services, United States Department of Education

Percent of Part C to Total Contract: 2.08%

DEPARTMENT OF DEVELOPMENTAL SERVICES

1600 NINTH STREET, MS 3-18
SACRAMENTO, CA 95814
TTY 654-2054 (For the Hearing Impaired)
(916) 653-0743

Date: February 22, 2018

Valley Mountain Regional Center, Inc.
702 North Aurora Street
Stockton, CA 95202

**Attn: Regional Center Director
Contracting Agency President
Regional Center Administrator**

Contract Number: HD149021 D-2

Dear Contractor:

Please sign all copies with original signatures, and return for further processing to:

**Department of Developmental Services
Contracts Management Unit
Attention: Karen Russ
1600 Ninth Street, Room 300, MS 3-18
Sacramento, CA 95814**

Sincerely,

Karen Russ
Contract Analyst

Enclosure(s)

Department of Developmental Services, Budget Section, RC Allocation Unit
EXHIBIT III Explanation of Items in Allocation
Regional Center: Valley Mountain

EXHIBIT III

2017-18 Regional Centers

| | <u>Operations</u> | <u>Purchase Of Service</u> | <u>Early Intervention Program</u> | <u>Family Resource Services</u> |
|--|----------------------|--------------------------------|---------------------------------------|-------------------------------------|
| Previous Contract (D-1): | \$29,531,658 | \$181,042,251 | \$0 | \$0 |
| This Amendment (D-2): | | | | |
| <u>Operations Allocation (Ops):</u> | | | | |
| Core Staffing | \$5,375 | | | |
| Total Facility Rent Costs | 225,012 | | | |
| Facility Rent | 0 | | | |
| Utilities | 0 | | | |
| Maintenance | 225,012 | | | |
| IT System Hardware and Software | 0 | | | |
| Foster Grandparent/Sr. Companion Program | 264,099 | | | |
| Increased Access to Mental Health Services (MHSF) | 86,945 | | | |
| Miscellaneous | 0 | | | |
| Ops Policy Items: | | | | |
| ABX2 1 RC Operations, Efforts to Reduce Disparities | 30,000 | | | |
| <u>Purchase of Services Allocation (POS):</u> | | | | |
| Regular POS Allocation | | 0 | | |
| GAP | | 0 | | |
| POS Policy Items: | | | | |
| ABX2 1 Comty Based Srvc Incr: Competitive, Integrated Empl. Incentives: Incentive Payments | | 2,250 | | |
| ABX2 1 Comty Based Srvc Incr: Competitive, Integrated Empl. Incentives: Paid Internship Program | | 4,901 | | |
| Restore Respite | | 309,573 | | |
| <u>CPP Items, Operations:</u> | | | | |
| Regular CPP | 132,416 | | | |
| Regular Integrated Project | 0 | | | |
| Sonoma DC Closure | 0 | | | |
| Fairview DC Closure | 0 | | | |
| Porterville DC Closure | 0 | | | |
| <u>Non-CPP, "CPP-Related" Items:</u> | | | | |
| Agnews Ongoing Workload Unified Ops Costs | 0 | | | |
| Agnews Ongoing Workload State EE/Staff in Community | 0 | | | |
| Agnews Ongoing Workload Placement Continuation | 0 | | | |
| Lanterman Ongoing Workload | 0 | | | |
| <u>CPP Items, Purchase of Services:</u> | | | | |
| Sonoma DC Closure Placement | | 0 | | |
| Fairview DC Closure Placement | | 0 | | |
| Porterville DC Closure Placement | | 0 | | |
| Regular Start-Up | | 0 | | |
| Start-Up Safety Net | | 0 | | |
| Assessment | | 0 | | |
| Placement | | 99,863 | | |
| Deflection | | 0 | | |
| Harbor Integrated Program, Regular POS Start Up | | | | |
| Harbor Integrated Program, Sonoma Closure Start Up | | | | |
| Harbor Integrated Program, Fairview Closure Start Up | | | | |
| Harbor Integrated Program, Porterville Closure Start Up | | | | |
| <u>Non-CPP, "CPP-Related" Items:</u> | | | | |
| Safety Net TBL Chapter 18 | | 0 | | |
| Total D-2 Amendment | \$743,847 | \$416,587 | \$0 | \$0 |
| Total Contract after D-2 Amendment | \$30,275,505 | \$181,458,838 | \$0 | \$0 |
| Grand Total Contract | \$211,734,343 | | | |

CONTRACT AMENDMENT INSTRUCTIONS

Five copies of the amendment (STD 215 A) are enclosed for the contracting agency president to sign in blue ink. Please return the five signed original copies to:

Department of Developmental Services
Contracts Management Unit
1600 9th Street, Room 300, MS 3-18
Sacramento, CA 95814

EXPLANATION OF ITEMS FOR FY 2017-18 D-2 AMENDMENT

OPERATIONS (Ops)

Core Staffing

Additional funds for DC movers. Allocation based on each regional center's number of placements in fiscal year 2015-16.

Facility Rent

Allocation based on the Revised Exhibit H of the 2017 May Revise Rent Survey, and per discussions with the regional centers.

Projects

- **Foster Grandparents/Senior Companion Programs**
Allocated amounts based on volunteer service years as agreed upon with regional centers.
- **Increased Access to Mental Health Services**
Allocated based on approved Mental Health Service Fund projects.
- **Miscellaneous**
Allocated per agreements with regional centers.

Policy Items

- **ABX2 1 RC Operations, Reduce Disparities**
Allocated funds based on approved proposals.

CPP

Allocated based on approved regional center proposals.

Continued

PURCHASE OF SERVICES (POS)

Policy Items

- **ABX2 1 Competitive Integrated Employment Incentives and Internships**
Allocated based on FY 2017-18 expenditures through October 2017 by regional Center.
- **Restore Respite**
Allocated based on each regional center's pro-rata share of FY 2016-17 expenditures through June 2017 for the following service codes:

420 Respite Service-Family Member
465 PD Respite Service-Family Member
862 In Home Respite Services Agency
864 In Home Respite Worker
868 Out of Home Respite Services
869 Respite Facility

GAP

Allocated per agreements with regional centers.

CPP

All CPP allocations based on approved regional center proposals.

STANDARD AGREEMENT AMENDMENT

STD 213 A (Rev 9/01)

☒ CHECK HERE IF ADDITIONAL PAGES ARE ATTACHED 1 Pages

AGREEMENT NUMBER

AMENDMENT NUMBER

HD149021

D-2

1. This Agreement is entered into between the State Agency and Contractor named below:
 STATE AGENCY'S NAME
 Department of Developmental Services
 CONTRACTOR'S NAME
 Valley Mountain Regional Center, Inc.
2. The term of this Agreement is: July 1, 2014, through June 30, 2021
3. The maximum amount of this Agreement after this amendment is: \$211,734,343
4. The parties mutually agree to this amendment as follows. All actions noted below are by this reference made a part of the Agreement and incorporated herein:
 - a. The effective date of this amendment is August 31, 2017.
 - b. Section 8 of article I is amended and reads as follows: "8. The total amount payable to Contractor under this contract agreement shall not exceed \$211,734,343 Year 2017/2018 as reflected in Exhibit A, Page 1 of this contract".

 Fiscal Year funds identified above may not be used for any other fiscal year, than the fiscal year specified unless authorized by the Department to do so.
 - c. Replaced by this amendment is Exhibit A, Page 1 which is attached hereto and made a part of this contract.
 - d. All other terms and conditions remain the same.

IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.

CONTRACTOR
 CONTRACTOR'S NAME (If other than an individual, state whether a corporation, partnership, etc.)
 Valley Mountain Regional Center, Inc.

BY (Authorized Signature)

DATE SIGNED (Do not type)

PRINTED NAME AND TITLE OF PERSON SIGNING

Tom Bowe, Board President

ADDRESS

 702 North Aurora Street
 Stockton, CA 95202
STATE OF CALIFORNIA

AGENCY NAME

Department of Developmental Services

BY (Authorized Signature)

DATE SIGNED (Do not type)

PRINTED NAME AND TITLE OF PERSON SIGNING

Pamela S. Robison, Chief, Customer Support Section

ADDRESS

 1600 9th Street, Room 300, MS 3-18
 Sacramento, CA 95814

 CALIFORNIA
 Department of General Services
 Use Only
☐ Exempt per:

38.

**CONTRACT BUDGET SUMMARY
2017-18 FISCAL YEAR**

Valley Mountain Regional Center, Inc.
Contracting Agency

Contract Number HD149021
Total D-2 Contract
February 9, 2018

EXHIBIT A

TOTAL OPERATIONS

\$30,275,505

In accordance with State Contract language under Article III: Fiscal Provisions Item #4 Payment Provisions, paragraph 3, the following Operation category expenditures must be claimed on a separate invoice:

| | |
|---|----------------|
| Mental Health Services Fund | 86,945 |
| Foster Grandparent Program (federal portion only) (see footnote /a) | 252,237 |
| Agnews Ongoing Workload (Non-CPP) | 0 |
| Lanternman DC Closure (Non-CPP) | 0 |
| Total Community Placement Plan (CPP) | 529,663 |
| Sonoma DC Closure | 0 |
| Fairview Closure | 0 |
| Porterville Closure | 0 |
| Regular CPP | 529,663 |

TOTAL PURCHASE OF SERVICES

\$181,458,838

In accordance with State Contract language under Article III: Fiscal Provisions Item #4 Payment Provisions, paragraph 3, the following Purchase of Service category expenditures must be claimed on a separate invoice:

| | |
|--|----------------|
| Program Development Fund (see footnote /b) | 30,039 |
| Part C (see footnote /c) | 3,770,559 |
| Safety Net General Fund (Non-CPP policy) | 0 |
| Community Placement Plan (CPP): | 522,363 |
| Sonoma DC Closure | 18,000 |
| Placement | 18,000 |
| Fairview DC Closure | 0 |
| Placement | 0 |
| Porterville DC Closure | 55,000 |
| Placement | 55,000 |
| Regular CPP | 449,363 |
| Start-Up | 250,000 |
| Safety Net Start-Up | 0 |
| Assessment | 0 |
| Placement | 199,363 |
| Deflection | 0 |

TOTAL EARLY INTERVENTION

| | |
|---------------------------------|-----|
| Family Resource Centers/Network | \$0 |
|---------------------------------|-----|

TOTAL FAMILY RESOURCE SERVICES

\$0

TOTAL BUDGET

\$211,734,343

FGP Footnote /a: Program Title: Foster Grandparent Program, CFDA Number: 94.011, Award No. : 16SFPCA002

Senior Companion (GF) CFDA # 94.016, Program Title: Senior Companion Program, Award No. : 16SCPCA002

PDF Footnote /b: Please note no separate billing is necessary for PDF, this account is used to fund the CPP Start-Up Claims.

Part C Footnote /c: CFDA Title: Infant and Toddlers with Disabilities, Program Title: Special Education-Grants for Infants and Families with Disabilities
CFDA Number: 84.181A, Award No: H181A170037

Since Grant Award Notification will occur after the execution of this contract and changes are limited to the Federal Award Number and calendar year awarded, updated Grant Award Notification will be maintained in DDS' contract file and incorporated by reference. A copy of the current Grant Award Notification shall be sent to Contractor as it is made available to DDS.

Federal Agency Name: Office of Special Education and Rehabilitative Services, United States Department of Education

Part C Percent of Contract Funding: 1.78%

| | |
|-------------------------------|------------------|
| Number of Months Claimed: | 7 |
| Date of POS Payments Cut-Off: | January 31, 2018 |

REPORT DATE: March 10, 2018

VALLEY MOUNTAIN REGIONAL CENTER

POS EXPENDITURE PROJECTION (PEP) SUMMARY

2017-2018

Actual Expenditures through January 2018

| | CURRENT MONTH | | PRIOR MONTH | | CHANGES | |
|--|----------------------|----------------------|----------------------|----------------------|----------------|----------------|
| | High Estimate | Low Estimate | High Estimate | Low Estimate | High Estimate | Low Estimate |
| NON-CPP EXPENDITURES | | | | | | |
| Estimated Cost of Current Services | \$180,888,740 | \$180,888,740 | \$179,916,761 | \$179,916,761 | 971,979 | 971,979 |
| Estimated Growth | \$1,431,486 | \$1,296,315 | \$1,995,795 | \$1,807,340 | (564,310) | (511,025) |
| Other Items may include, but are not limited to: | | | | | | |
| 1. Adjustment for SSI CCF Rate | | | | | N/A | N/A |
| 2. One time adjustment of base | | | | | N/A | N/A |
| 3. Deduct estimated receipts from ICFs for SPA services. | -1,900,000 | -1,900,000 | -1,900,000 | -1,900,000 | 0 | 0 |
| 4. SSI/SSP Restoration (Not Yet Paid) | | | | | N/A | N/A |
| 5. | | | | | N/A | N/A |
| 6. | | | | | N/A | N/A |
| 7. | | | | | 0 | 0 |
| 8. | | | | | 0 | 0 |
| 9. | | | | | 0 | 0 |
| 10. | | | | | 0 | 0 |
| TOTAL ESTIMATED EXPENDITURES | \$180,420,225 | \$180,285,055 | \$180,012,556 | \$179,824,102 | 407,669 | 460,953 |

CPP POS EXPENDITURES

Estimated Cost of Current Services

Estimated Growth

Other Items: Additional estimated costs not included in the expenditures listed on row 27 and 28. (Current & Projected Costs)

| | | | | | | |
|-------------------------------------|------------------|------------------|------------------|------------------|----------------|----------------|
| 1. | | | | | 0 | 0 |
| 2. | | | | | 0 | 0 |
| 3. | | | | | 0 | 0 |
| 4. | | | | | 0 | 0 |
| 5. | | | | | 0 | 0 |
| TOTAL ESTIMATED EXPENDITURES | \$677,031 | \$677,031 | \$527,385 | \$527,385 | 149,646 | 149,646 |

MEMORANDUM

To: VMRC Finance & Personnel Committee
From: Tony Anderson, Executive Director
Date: February 28, 2018

We have received donations per below:

\$200.00 from Stax Inc.

%750.00 from Accredited Nursing Services

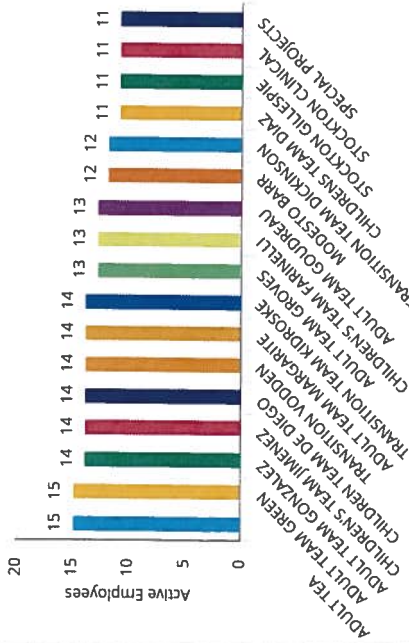
I recommend the committee accept this donation to the Dr. James R. Popplewell Fund, to be used for the benefit of VMRC consumers, for which there is no state funding.

cc: Jessica Pate
Claudia Reed

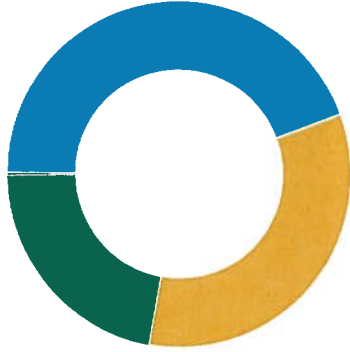
HR Insights

Headcount 337 | Hired 13 | Termed 5 | Growth Rate 1.8% | Turnover Rate 9.0% | Average Tenure 8.7 (Years)

Active Employees by Department



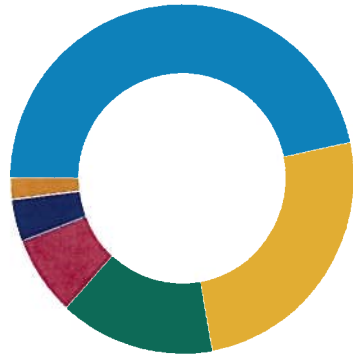
Generation X: 44.2%
Millennials: 33.5%
Baby Boomers: 22.0%
Pre Baby Boomers: 0.3%
Post Millennials: 0.0%
No Data: 0.0%



Cost Center Level: CC1: Department | CC's to view: 5

Ethnicity

WHITE: 46.6%
HISPANIC: 25.5%
ASIAN: 14.5%
BLACK: 7.4%
Two or More Races: 3.9%
Other: 2.1%



Pay Type

Hourly: 88.1% | Salary: 11.9%



Gender

Female: 82.5% | Male: 17.2% | Not Defined: 0.3%



Insights Status

Last data update took place at 2/27/18 2:27/2018 2:32:41 AM AM.

F&P New Hire & Term Report
 VALLEY MOUNTAIN REGIONAL CENTER (10479)

| Position - Current | Work City | Hire Date - Current | Rehire Date - Current | Termination Date - Current | Employee Status - Current | Reason for leaving |
|-----------------------|-----------|---------------------|--------------------------|----------------------------|---------------------------|--------------------|
| SC | Modesto | 4 open | | | Interviewing | |
| Director | Stockton | | | 2/23/2018 | Involuntary Termination | |
| SC | Modesto | | | 2/22/2018 | Involuntary Termination | |

Total: 4

47.