



**Valley Mountain Regional Center
Board of Directors Meeting
Valley Mountain Regional Center
702 N. Aurora Street, Stockton, CA 95219**



Monday, October 9, 2017 - 6:00PM

MEETING AGENDA

The mission of Valley Mountain Regional Center (VMRC) is to support people with developmental disabilities as they enrich their lives through choices and inclusion. VMRC is committed to securing quality, individualized services in collaboration with families and the community.



A. Call to Order, Introductions, Reading of Mission Statement



B. Review and Approval of Agenda



C. Review and Approval of Board Meeting Minutes, September 11, 2017 .. 1

D. Adoption of Consent Items

- Consumer Services Committee, Minutes of September 6, 2017 meeting
(meeting handout)
- Executive Committee, Minutes of September 6, 2017 meeting..... 7
- Finance & Personnel Committee, Minutes of Sept. 6, 2017 meeting..... 11
- Executive Director's Monthly Report (meeting handout)

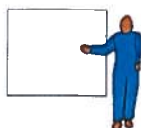
E. Executive Director's Report

- Executive Director's Report – Cultural Connections Highlights



F. Announcements & Public Comment (Maximum 3-minute report per person)

G. Board member Visits



H. Presentation:

Vote to Approve VMRC's Respite Service Standard, Tony Anderson 15
Vote to Approve VMRC's Performance Contract Project, Tony Anderson
(see separate documentation)

I. Committee Reports



i. VMRC Consumer Advisory Committee (Dena Pfeifer, SAC6 Rep.)

ii. Consumer Services Committee (TBD, Chair)

- Caseload Report for September 2017 (meeting handout)

iii. Finance and Personnel Committee (Ivan Johnson, Chair)



- Human Resources Report with September Activity (Bud Mullanix) (meeting handout)
- Acceptance of Contract Status Report (Claudia Reed)..... 21
- Acceptance of D-1 Contract Amendment (Claudia Reed)..... 25
- Acceptance of Restricted Donation (Claudia Reed) 35
- Acceptance of Contracts over \$250,000 (Carmen Calder) 37
- Next meeting – November 1, 2017



iv. VMRC Professional Advisory Committee (CLASP) (Candice Bright, CLASP Representative)

v. Legislative/Bylaws Committees (Tom Bowe, President)



vi. President's Report (Tom Bowe, President)

- President's Report
 - Committee Assignments 39
- Next meeting – November 1, 2017

J. Other Matters

K. Next Meeting



Date: Monday, November 6, 2017

Time: 6:00PM

**Location: Valley Mountain Regional Center,
702 Aurora Street, Stockton, CA 95202
703**



L. Adjournment

Information = The item is brought to the board for information and is likely to be an action item at a future meeting.

***VMRC Policy on Public Input**

In accordance with California Welfare & Institutions Code sections 4660 through 4669, meetings of the Valley Mountain Regional Center Board of Directors are open and public, with only those exceptions provided in statute. Accordingly, time is allowed at each Board of Directors meeting for public input on any issue whether or not it is on the agenda for that particular meeting. Such input shall be summarized in the minutes of the meeting.

"Public input" is defined as verbal comment or written submissions provided to the Board by any person who is not a member of the VMRC Board. Materials will be maintained by VMRC for at least two years from the date of the meeting.

The VMRC Board of Directors reserves the right to determine the form or manner of its response. As a general rule, the Board will not respond to comments or questions that relate to agency personnel policies, the union contract, or collective bargaining issues. However, the Board may, at its discretion, direct staff in executive session to investigate and report on such issues raised under public comment.

***VMRC Policy on Executive Sessions**

As provided in California Welfare & Institutions Code sections 4660 through 4669, the Valley Mountain Regional Center Board of Directors may hold an executive session to consider real estate negotiations, appointment, employment, evaluation or dismissal of an employee, staff salaries and benefits, labor negotiations, and any matter dealing with a specifically identified consumer for whom appropriate authorization has not been provided to enable public discussion of the matter. The Executive Assistant shall keep confidential minutes of executive sessions. The subject of each executive session shall be announced prior to and at the conclusion of each such session.

The VMRC Board requests that all participants refrain from wearing perfume, cologne, and other fragrances, and use unscented personal care products in order to promote an irritant-free environment.

In accordance with the Americans with Disabilities Act, if you have any special requirements in order to participate, please contact Jan Maloney at (209) 955-3248 prior to the start of this meeting.

Local Legislators

California State Senate

District 5

Cathleen Galgiani

State Capitol, Room 4082

Sacramento, CA 95814

(916) 651-4005

District Office

31 E. Channel, Rm. 440

Stockton, CA 95202

(209) 948-7930

senator.galgiani@sen.ca.gov

District 14

Tom Berryhill

State Capitol, Room 4070

Sacramento, CA 94248-0001

(916) 651-4014

District Office

33 C Broadway

Jackson, CA 95642

(209) 223-9140

senator.berryhill@sen.ca.gov

District 12

Anthony Cannella

State Capitol, Room 3048

Sacramento, CA 95814

(916) 651-4012

District Office

918 15th Street

Modesto, CA 95354

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senator.cannella@sen.ca.gov

California State Assembly

District 5

Frank E. Bigelow

State Capitol, Room 4158

Sacramento, CA 95814

(916) 319-2005

Jackson District Office

33 C Broadway

Jackson, CA 95642

(209) 223-0505

assemblymember.bigelow@assembly.ca.gov

District Office

3719 Tully Road, Ste C

Modesto, CA 95356

(209) 576-6425

assemblymember.flora@assembly.ca.gov

District 13

Susan Talamantes-Eggman

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Room 3173

Sacramento, CA 95814

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District Office

31 E. Channel, Rm. 306

Stockton, CA 95202

(209) 948-7479

assemblymember.eggman@asm.ca.gov

District 9

Jim Cooper

State Capitol

Room 6025

Sacramento, CA 95814

(916) 319-2009

District Office

9250 Laguna Springs Drive #220

Elk Grove, CA 95758

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District 21

Adam Gray

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District Office

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Modesto, CA 95354

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District 12

Heather Flora

State Capitol, Room 3149

Sacramento, CA 95814

(916) 319-2012

U.S. Senate

Senator Dianne Feinstein (D)
One Post Street, Suite 2450
San Francisco, CA 94104
Phone: (415) 393-0707
Fax: (415) 393-0710

Senator Kamala Harris (D)
1300 "I" Street
Sacramento, CA 95814-2919
Phone: (916) 445-9555
Fax: (202) 228 - 3865

U.S. House of Representatives

Congressman Jeff Dunham (R)
4701 Sisk Road, Suite 202
Modesto, CA 95356
Phone: (209) 579-5458
Fax: (209) 579-5028

Congressman Tom McClintock (R)
2200A Douglas Blvd, Suite 240
Roseville, CA 95661
Phone: (916) 786-5560
Fax: (916) 786-6364

Congressman Jerry McNerney (D)
2222 Grand Canal Blvd. #7
Stockton, CA 95207
Phone: (209) 476-8552
Fax: (209) 476-8587



**Valley Mountain Regional Center
702 N. Aurora Street, Stockton, CA 95202**

**Board of Directors Meeting
September 11, 2017**

Present:	S. Rush Bailey	✓	Claire Lazaro	✓
	Robert Balderama	✓	Tracie Leong	--
	Tom Bowe	✓	Tom Martin	✓
	Candice Bright (CLASP)	✓	Lynda Mendoza	✓
	Linda Collins	--	Dena Pfeifer	✓
	Emily Grunder	✓	Moe Rashid	✓
	Pernell Gutierrez	✓	Andrea Rueda	✓
	Margaret Heinz	✓	Noemi Santiago	✓
	Kori Heuvel	✓	B. Katherine Torres	✓
	Ivan Johnson	✓	Elizabeth Victor-Martinez	✓

VMRC Staff: Tony Anderson, Cindy Mix, Claudia Reed, Mary Sheehan, Carmen Calder, Gordon Hofer, Anthony Hill, Melissa Stiles, Enos Edmerson, Carlos Hernandez, Wilma Murray, Patricia Green, Jan Maloney

Visitors: Christine Hager (DRC), Dena Hernandez (SCDD/NVHO), Allan Smith (DDS), Gia McElroy (parent), Facilitators Lori Smith, Cris Sugabo, and Shaefaye Kirkendoll

Board President, Tom Bowe, called the meeting to order at 6:00pm.

A. CALL TO ORDER, INTRODUCTIONS, AND READING OF THE MISSION STATEMENT

Roll call was taken and the Board of Directors collectively read the Mission Statement.

B. REVIEW AND APPROVAL OF BOARD MEETING MINUTES

M/S/C (MARTIN/RUEDA) to approve the July 10, 2017 Board Meeting Minutes.

C. REVIEW AND APPROVAL OF AGENDA/CONSENT CALENDAR

Tom advised board members that a Consent Calendar has been added to the agenda, and if anybody would like to discuss something that's on the Consent Calendar they can speak up and this item will be removed for discussion.

Tom also advised that Claire Lazaro will chair the Consumer Services Committee, and the July minutes from the Finance & Personnel Committee will be moved to the Consent Calendar.

M/S/C (LAZARO/BAILEY) to approve the Consent Agenda as proposed below:

- **Review & Approval of the July 10, 2017 Board Meeting Minutes**
- **Minutes of the June 12, 2017 Consumer Services Committee**
- **Save The Date – Respite Service Standard & Performance Contract**
- **Minutes of the July 5, 2017 Executive Committee**
- **Minutes of the July 5, 2017 Finance & Personnel Committee**

D. EXECUTIVE DIRECTOR'S REPORT:

Tony Anderson referred meeting attendees to the letter from DDS regarding VMRC's out of compliance caseload ratios. DDS has accepted VMRC's plan of correction to reduce caseload averages as submitted.

He presented his monthly report to board members and meeting attendees. He discussed his monthly activities, Department Directives, and the State & Federal Budget Report/Trailer Bill.

E. ANNOUNCEMENTS & PUBLIC COMMENT:

Dena Hernandez, Executive Director, SCDD/North Valley Hills Office, distributed flyers for the following:

- Multicultural Health & Community Fair on Saturday, October 7, 10:00am, at the Normandy Village Shopping Center on West Lane in Stockton.
- 15th Annual Remembrance Day Ceremony for the California Memorial Project, Monday September 18, 10:30am at the Park View Cemetery in Manteca. There will also be a Memorial Service at the Rural Cemetery in Stockton.
- Upcoming Family Resource Network IEP meetings through April 2018, in all five counties

Last week, Dena Hernandez emceed the TBODS (The Brighter Side of Down Syndrome), third annual conference co-sponsored by VMRC, FRN, SCDD, to help raise funds for the NDSS (National Down Syndrome Society).

F. BOARD MEMBER VISITS

Tom Bowe explained VMRC board members can attend program or care home visits, and encouraged them to sign up for future visits.

Tom Martin attended the SAC6 Area Meeting on August 4, at Turner Park in San Andreas. There was good representation from VMRC, and Dena Pfeifer and Dena Hernandez were also in attendance.

Claire Lazaro attended the Statewide Self-Determination Advisory Committee meeting on August 28. DDS has started training sessions, and anyone can attend the “train the trainer” meeting after registering on the website.

Local councils of the Self-Determination Advisory Committee are planning to invite DDS to host the “train the trainer” session at VMRC in Stockton. Currently this is in the early planning stages.

G. PRESENTATION

Tony Anderson, VMRC’s Strategic Plan:

Tony presented a progress update on the five-year Strategic Plan that was developed in 2014. The Strategic Plan focus areas are Housing, Employment, Communication, Resource Development, and Organizational Culture. Along with help from some staff members including Carlos Hernandez, VMRC’s Cultural Specialist, and Enos Edmerson, VMRC’s Employment Specialist, Tony presented updates on each of the five focus areas. Carlos reminded board members of the upcoming Cultural Connection Community Fair, set for Saturday, September 30, at VMRC in Stockton at noon.

H. COMMITTEE REPORTS

i. Consumer Advisory Committee Report
(Dena Pfeifer, SAC6 Representative)

The SAC6 Area Meeting was held on August 4 at Turner Park in San Andreas, and over 100 people were in attendance. Several people from the Arc of Amador/Calaveras Supportive Independent Living talked about the different options that are available. VMRC’s Employment Specialist talked about getting help with part or full time employment, and several people shared their work experience. On September 9, the SAC6 held their board meeting at CAPS Plus in Manteca.

Dena stated she is working on a 10-15 minute presentation with Dena Hernandez of the NVHO, on the SAC6 Updated Goals. Dena requested to present this at the October or November VMRC Board Meeting Agenda.

The next SAC6 Area Meeting is scheduled for November 3, at the Howard Training Center in Modesto. There will be a presentation on Person Centered Planning. Dena Fifer and Tim Cabral will be speaking with Dena Hernandez.

ii. Consumer Services Committee
(Claire Lazaro, Chair)

Claire reported the committee met earlier in the day, and met Patricia Green, VMRC’s new Quality Assurance Manager, who has recently transferred to the Stockton office from San Andreas where she was a Program Manager for many years. The committee discussed items related to the Clinical Department, Resource Development, Case Management.

A public meeting to discuss the Respite Service Standard on September 19 at 10:00am, at VMRC in Stockton. Immediately following this meeting, at 11:00am, another public meeting to discuss the annual Performance Contract will take place.

iii. Finance & Personnel Committee
(Ivan Johnson, Treasurer)

Ivan referred to the minutes from the September Finance & Personnel Committee, and hearing no omissions or corrections, stated the minutes shall stand as approved as presented. He also presented a summary of the September 6 meeting.

Ivan presented the Human Resources Report. The July recruiting activity shows six Service Coordinators were hired, and there were two Service Coordinator and one Office Technician separations. VMRC has a total of 317 employees, which breaks down to 211 in the Stockton Office, 88 in Modesto, and 18 in the San Andreas office. Although the report shows there are a total of 13 vacancies, there are really 23 vacancies as not all expansion positions were filled last year. VMRC is seeking a Clinical Psychologist, a Clinical Manager of Nursing, and the remainder of openings are for Service Coordinators including the expansion positions.

Ivan reported that Tony presented VMRC's management restructure plan, which includes title changes for three positions.

M/S/C (COMMITTEE/RUEDA) to approve the CSR (Contract Status Report) ending July, with one abstention by Pernell Gutierrez

M/S/C (COMMITTEE/PFEIFER) to approve the C-3 Contract Amendment

M/S/S (COMMITTEE/LAZARO) to accept the restricted donation of \$48 through United Way

At the request of the Finance & Personnel Committee, Carmen Calder presented information on VCDI (Vocational Coaching & Development Institute), one of the contracts over \$250,000

Vendor Name	Vendor Category	Current Contract	Proposed Contract	Dollar Increase	% Rate Increase	Reasons for Increase
Community Compass CAN	Supported Living Service	\$ 476,203	\$ 599,301	\$ 123,098	25.85%	Increase in consumers and units
Haynes B&C Home #1	Residential Facility-Adults-Staff Operated	\$ 625,620	\$ 755,507	\$ 129,887	20.76%	Capacity is 30 currently occupancy 28. Increase allows for full capacity
Hope Residential #3	Residential Facility-Children-Staff Operated	\$ 291,742	\$ 388,486	\$ 96,744	33.16%	Allow for capacity of 6. Currently at 4.
Hope Residential Care	Residential Facility-Adults-Staff Operated	\$ 383,040	\$ 388,486	\$ 5,446	1.42%	Allow for capacity of 6. Currently at 5.
Vocational Coaching & Development Institute.	Community Integration Training Program	\$ 570,000	\$ 906,000	\$ 336,000	58.95%	Increase of 21 consumers over last year

Contracts with no change from previous year:		
Vendor Name	Vendor Category	Current Contract
California Mentor Family Home Agency	Family Home Agency	\$ 1,945,999
Community Compass Jackson Site	Behavior Management Program	\$ 1,307,199
Hana Hou Alliance, LLC	Specialized Residential Facility (Habilitation)	\$ 555,777
Jar Mill's Place	Specialized Residential Facility (Habilitation)	\$ 470,063
Jar Mill Annex	Specialized Residential Facility (Habilitation)	\$ 470,063
Storer Transportation Stockton	Transportation Companies	\$ 6,082,448
Storer Transportation Modesto	Transportation Companies	\$2,589,405
Vocational Coaching & Development Institute	Behavior Management Program	\$291,491

OPS Contracts		
Vendor Name	Contract Amount	Contracted Service
Nothing to report		

M/S/C (COMMITTEE/RUEDA) to accept the contracts over \$250,000, with abstentions by Pernell Gutierrez and Candice Bright.

The next Finance & Personnel Committee meeting will be on Wednesday, October 4, 5:30pm at VMRC in Stockton.

iv. Executive Committee
(Tom Bowe, President)

Tom stated the newer board members signed up for committee assignments at the recent training, and asked other board members to sign up for committee participation too. There will be an Ad Hoc Bylaws and also a Legislative committee. Candice will chair the Legislative Committee. Candice has volunteered to chair the Legislative Committee.

VMRC is looking to appoint Tom Toomey as a new board member, to fill the consumer representative vacancy.

M/S/C (LAZARO/PFEIFER) to approve Tony's Annual Goals, per below:

- **Priority Area – VMRC Talent Development**
- **Priority Area – VMRC IDD Community Service Development**
- **Priority Area – Community Outreach and Communication Infrastructure**
- **Priority Area – VMRC Board of Directors Leadership**

Following Tony's brief presentation, **M/S/C (RUEDA/LAZARO)** to approve leadership title changes for the following positions:

- **The Assistant Director of Case Management/Quality Assurance will become the Legal Affairs Advisor**
- **The Director of Case Management will become the Director of Consumer Services**
- **The Assistant Director of Case Management/Resource Development will become the Director of Community Services**
- **For presentation, show the title changes by themselves, show a larger organization chart, and show only the new proposed structure**

v. VMRC Professional Advisory Committee (CLASP)
(Candice Bright, CLASP Representative)

Candice reported CLASP (Coalition of Local Area Service Providers) is working on their bylaws and committee restructuring with Tony. Jim Knight from DDS presented at the last CLASP meeting on the ABX2-1 funding, survey questions, etc. There will be a training opportunity at each CLASP meeting, and next month Drew Strachan from NAMI will talk about mental health. The dental survey was also discussed, and the group will purchase a recorder for the secretary to produce the monthly meeting notes.

The CLASP membership is now up to 45, and the next meeting will be on Monday, September 25, from 10:00 to 12:00noon at VMRC in Stockton.

I. OTHER MATTERS

There was nothing to report this month.

J. EXECUTIVE SESSION

There was no Executive Session this month.

K. NEXT MEETING

The next Board Meeting will be held Monday, October 9, 2017 at 6:00pm at VMRC's Board Room in the Stockton Office.

The meeting was adjourned at 7:40 p.m.



**Valley Mountain Regional Center
Minutes of Executive Committee Meeting
Wednesday, September 6, 2017**

Present: Tom Bowe, President
Claire Lazaro, Vice-President (via Conference Call)
Andrea Rueda, Secretary
Ivan Johnson, Treasurer
Tony Anderson, VMRC Executive Director
Jan Maloney, Executive Assistant

Visitor: Shaefaye Kirkendoll, Facilitator

Board President, Tom Bowe, called the meeting to order at 6:53pm.

1. M/S/C (RUEDA/JOHNSON) to approve the minutes of the July 5, 2017 Executive Committee Meeting, with one abstention by Claire Lazaro

2. Issues for Discussion:

a. Executive Director's update:

Tony advised that he has attended some of VMRC's clinics over the last couple of months, including the Gait Clinic.

Two grant proposals have been written, one for Early Start focusing on improvements to social and emotional development. Training would be undertaken in the communities with respect to babies connecting with their caregivers, and developing relationships. The second grant would focus on improving the relationship with local mental health facilities/professionals, with conferences and speakers from across the country. This grant will start in Stanislaus County.

Tony gave three presentations regarding the Management Restructure, one in each VMRC office.

Work continues closely with CLASP, the local vendor group, and together they are creating the bylaws. PayPal is being put in place for the annual \$25 membership fees, and so far the membership has increased from 5 to 60 participants. Tony's goal is to build the infrastructure and put forth trainings to increase membership.

Tony will be giving a presentation at St. Joseph's Hospital today regarding the history of the Regional Center movement, to show doctors what the regional center system looks like today. Tony has an upcoming speaking engagement in San Diego in October, and will be speaking to the provider's association in a couple of weeks in the East Bay about regional centers and providers working closely together.

Twenty-five applications were received for the HR Director position at VMRC, and the new HR Director will start at VMRC on September 11.

Tom Bowe and Tony attended the ARCA meeting in Sacramento in August.

Work continues on ABX2-1 reporting, and vendors need to submit their ABX2-1 report otherwise they will lose the additional funding that was gained last year. DDS was present at the meeting, and the QA team has a list of approximately 300 providers who need to report. The QA team will spend time this and next week calling the providers.

b. Notable Consumer Incidents/Complaints:

Tony is trying to meet the consumers that are conserved by VMRC, in an effort to get the know the consumer to help make important decisions, especially regarding end of life decisions.

c. Vendor Issues:

The vendors are currently focusing on their ABX2-1 reports.

d. Union Update:

There are currently no union grievances. Claudia and Cindy completed the Policy Manual after HR Director, Bill Rutgers, left VMRC, and it is currently with the attorney for review.

e. Legislative and Bylaws Review - Board Committees:

Almost all of the new VMRC board members signed up for committees at the New Board Member Orientation meeting, and existing board members also need to choose which committees they would like to be a part of. There are two new committees this year, the Bylaws Review Committee and the Legislative Committee, both of which are Ad Hoc committees. Claire Lazaro will continue to chair the Consumer Services Committee. The Strategic Planning Committee will also be an Ad Hoc committee.

f. Board Meeting Agenda:

Tony and Tom are trying to get more engagement and conversation within board members, and the Consumer Services Committee will pick one or two items to discuss at the board meeting. Minutes from the previous month's committee meeting will be part of the Consent

Calendar, and next month's Caseload Report will come out of the Consumer Services Committee.

g. Board Training:

Training/Orientation for new board members will take place this Saturday, September 9, from 9:00am to 12:00pm.

h. Leadership Restructure:

Tony will discuss the leadership restructure and will present for approval at Monday's board meeting. We reviewed the requests from the Finance & Personnel Committee for changes in the presentation.

i. Self-Determination Advisory Committee:

The Self-Determination Advisory Committee met at VMRC yesterday afternoon. Claire described the Statewide meeting as productive, and said people from our local planning committee planned to attend upcoming trainings, and that she will attend the regional center training.

j. Other Matters:

There were no other matters this month.

3. September Board Meeting Agenda:

Correspondence:
None

News Items:
None

4. Approval to Waive One Month Information Period:

Approval of the one-month information period was granted since no new employees are being added.

5. Next Meeting:

The next meeting will be on Wednesday, October 4, at 6:00pm at VMRC in Stockton.

The meeting adjourned at 7:45pm



**Valley Mountain Regional Center
Finance and Personnel Committee Meeting Minutes
September 6, 2017**

Present: Ivan Johnson, Treasurer
Tom Bowe, President
Claire Lazaro, Vice-President (via Conference Call)
Andrea Rueda, Secretary
Margaret Heinz, At Large
Elizabeth Victor-Martinez, Parent Representative
Connie Uychutin, CLASP Representative
Tony Anderson, VMRC Executive Director
Claudia Reed, VMRC Chief Financial Officer
Jan Maloney, VMRC Executive Assistant

Absent: Noemi Santiago
B. Katherine Torres

Guest: Shaefaye Kirkendoll, Facilitator

Committee actions noted in bold.

Board Treasurer, Ivan Johnson, brought the meeting to order at 5:30pm.

1. Review of July 5, 2017 Meeting Minutes:

Ivan called for revisions to the minutes, and hearing none, stated the minutes will pass as published.

2. Public Comment:

There was no public comment this month.

3. Fiscal Department Update:

Acceptance of Contract Status Report through July 2017:

DDS Operating Contracts total \$151,142,000, a 6.6% increase over the contract amount one year ago. State claims due to VMRC total \$50,994,188, with \$35,818,751 having been advanced by the state, leaving a net amount of \$15,175,436 owed to the State by VMRC.

The Contract Status report shows POS expenditures for the month total \$13,645,669, an increase of 7.4% over last year's total of \$12,703,696 for the same month. With July being the first month in the new fiscal year, the year-to-date expenditure totals are the same. The total POS budget spent year-to-date including CPP is 10.7% with 98.3% of the budget year completed.

Operations expenditures inclusive of the Foster Grandparents/Senior Companion program and CPP //

expenditures for the month total \$1,818,173, compared to last year's total for the same time period, of 1,643,075, representing an increase of 10.7% over the prior year. Operations costs cannot be more than 15% of the total budget.

Key Fiscal Procedures are up to date.

M/S/C (BOWE/UYCHUTIN) to accept the Contract Status Report for July 2017, as presented.

Review of Contracts over \$250,000:

Claudia explained that contracts over \$250,000 must be approved by the full Board of Directors, and the Finance & Personnel Committee makes the recommendation. **M/S/C (RUEDA/BOWE) to accept the contracts over \$250,000, expiring in the month of October, as presented. One abstention, Connie Uychutin.**

Vendor Name	Vendor Category	Current Contract	Proposed Contract	Dollar Increase	% Rate Increase	Reasons for Increase
Community Compass CAN	Supported Living Service	\$ 476,203	\$ 599,301	\$ 123,098	25.85%	Increase in consumers and units
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Storer Transportation Stockton	Transportation Companies	\$ 6,082,448
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OPS Contracts		
Vendor Name	Contract Amount	Contracted Service
Nothing to report		

Claudia also explained the Workers Compensation insurance provider was changed due to a second late bid that was significantly less than the prior bid that had been accepted, and saved VMRC approximately \$89,000, which dropped the bid to under \$250,000. It is not necessary to take this contract to the board for approval.

Acceptance of C-3 Contract Amendment:

This contract amendment provides only \$618 for CPP.

M/S/C (RUEDA/VICTOR-MARTINEZ) to accept the C-3 Contract Amendment.

Acceptance of Restricted Donation:

Claudia explained VMRC's Dr. James Popplewell fund, named posthumously for our late Medical Director that covers items for which state funding is not available. An annual report is given at the April VMRC Board Meeting.

M/S/C (RUEDA/VICTOR-MARTINEZ) to accept the D Preliminary Contract.

Cash Flow Projection Report:

This report is for informational purposes only and is used by DDS to set regional center budgets. Claudia provided a cash flow projection through the end of September.

4. Human Resources Department Update:

Human Resources report:

The July recruiting activity shows six Service Coordinators were hired, and there were two Service Coordinator and one Office Technician separations.

VMRC has a total of 317 employees, which breaks down to 211 in the Stockton Office, 88 in Modesto, and 18 in the San Andreas office.

Although the report shows there are a total of 13 vacancies, there are really 23 vacancies as not all expansion positions were filled last year.

VMRC is seeking a Clinical Psychologist, a Clinical Manager of Nursing, and the remainder of openings are for Service Coordinators including the expansion positions.

July 2017 turnover was 0.32%, compared to turnover in July 2016 of 1.01%. The current fiscal year to date turnover is 5.94%, compared to 9.61% for the fiscal year to date turnover in July 2016.

VMRC's new Human Resources Director, Bud Mullanix, starts on Monday, and one of his first projects is to find ways to fill the vacancies that have existed for some considerable time.

Terminations Report:

Terminations reports for July and August were provided that outlined the reasons the staff members left VMRC.

Caseload Report:

Board President, Tom Bowe, suggested the Caseload Report be part of the Consumer Services Committee. The Caseload Report, with activity for July, broken down by teams, shows there is an average caseload of 79 in all offices for the Adult Teams. The Children's teams have an average caseload of 74, and the Transition Teams average across all offices is 71. Early Start teams average 83 and the Deflection team has an average caseload of 54.

Leadership Restructure:

Tony explained that since he started at VMRC in January, he has been looking at the management structure of the organization. In this restructure, some of the titles have changed. All administration services will follow the fiscal side, and all operational services come from the Case Management side of the organization.

M/S/C (BOWE/RUEDA) to make the following title changes, and to request the 30-day wait period be waived by the Executive Committee:

- **The Assistant Director of Case Management/Quality Assurance will become the Legal Affairs Advisor**
- **The Director of Case Management will become the Director of Consumer Services**
- **The Assistant Director of Case Management/Resource Development will become the Director of Community Services**
- **For presentation, show the title changes by themselves, show a larger organization chart, and show only the new proposed structure**

5. Executive Session:

There was no Executive Session this month.

6. Next Meeting:

The Finance and Personnel Committee will meet again on **Wednesday, October 4, 2017 at 5:30pm** in the Stockton Office.

The meeting was adjourned at 6:48pm.

RESPIRE ASSESSMENT

Date: _____

Person Completing Form: _____

Consumer: _____

UCI# _____

Qualifying Diagnosis: _____

PRELIMINARY CONSIDERATIONS

- ☐ The consumer requires intensive medical monitoring or care including gastrostomy or nasal-gastric feedings, frequent suctioning, ventilator care, tracheostomy care and monitoring constant intravenous therapy or has multiple medical conditions requiring constant vigilance; do not complete the family respite assessment.
- ☐ Consumer displays severe or excessive behaviors daily and consumer has not been successful in traditional forms of respite.
- ☐ All available support systems are not being used. These include natural (extended family) and generic supports: EPSDT, NF Waiver, school programs and after school programs, parenting classes or HOBPT/BIS, etc.
- ☐ Does Consumer receive Protective Supervision through the IHSS program?
- ☐ Is the consumer able to be at home unsupervised for 4 hours or more?

If any of the boxes are checked or if the answer to either of the questions is "yes," consult your Program Manager.

Comments: _____

RESPITE ASSESSMENT

Please objectively evaluate the consumer using the following guidelines. Choose the most appropriate number under each heading. If the need is not best represented by any of the given options, consult your PM. Consumer's IPP should support your scoring selections:

I. AGE OF CONSUMER(S)

- 0 3 – 5 years
- 3 6 – 12 years
- 5 13 – 17 years
- 7 18 and over

Score

II. ACTIVITIES OF DAILY LIVING (Compare with non-disabled peers in consumer's age group for dressing, eating, grooming, toileting, etc...)

- 0 No special care.
- 1 Daily supervision.
- 2 Daily hands-on assistance.
- 5 Total care in some aspect of activities of daily living.
- 7 Total care

Score

III. MOTOR ABILITY (Ability to walk, sit, need for wheelchair(s), walker, assistance or total care for transferring or positioning, as it impacts the level of supervision or care needs at home and in the community):

- 0 Independent with *no equipment* at home and in community w/ minimal care needs.
- 1 Independent *with equipment* at home or community w/minimal care needs.
- 2 Independent with *equip or chair(s)* at home or community w/moderate care needs.
- 3 Independent with *equip/chairs/lifting required* at home or community w/moderate care needs.
- 5 Not independently mobile with equip at home and community; needs constant care.
- 6 Not mobile, requires total care and repositioning every 2 hours.

Score

IV. SCHOOL / CHILD CARE / DAY PROGRAM ATTENDANCE Based on year around average (180 days of school, 6 hours/day = 20 hours week)

- 0 More than 20 hours per week.
- 1 11 to 20 hours per week.
- 2 5 to 10 hours per week.
- 3 Chooses not to attend; home all day.
- 5 Unable to attend; home all day (home/hospital instruction up to 5 hrs/week)

Score

V. MEDICAL NEEDS and Impact on Supervision or Care:

- 0 No health problems (stable with preventative and routine care).
- 2 Minimal mental or physical health problems (stable w/ongoing medication).
- 4 Moderate mental or physical health problems (stable w/ ongoing medication and continuing f/up care).
- 6 Major Mental or physical Health Problems (constant monitoring by health professionals)

Score

Explain need:

VI. BEHAVIORAL NEEDS**Disruptive Social; Aggressive; Self-Injurious; Destruction; Running; Emotional Outbursts:**

Score

Note: For a score of 5, CDER must reflect scores of 1 or 2 for at least two behaviors.**For a score of 7, CDER must reflect scores of 1 for at least 3 behaviors.**

- 0 Behaviors are appropriate for age.
 1 Behaviors are easily redirected most of the time.
 3 Behavioral excesses require frequent redirection and is not always successful.
 5 Behavioral excesses unresponsive to redirection; requires intervention and *close* supervision.

CDER Score of 2 or less for at least 2 behaviors (Specify):

- 7 Behavioral excesses more often than weekly; require intervention and *constant* supervision.

CDER Score of 1 for at least 3 behaviors (Specify):

VII. SPECIAL CIRCUMSTANCES: Score 7 for any one in the first group; 5 for any one in the second group; and, 3 for any one in the third group. Add only 2 points for each additional circumstance in the same group.Combined
ScoreGroup 1 (Score 7 for one circumstance and 2 for any additional circumstances)

- ☐ Caregiver has chronic or ongoing illness that affects providing of care and supervision (doctor's verification required)
☐ Caregiver has acute or short term illness (doctor's verification required)
☐ Family member in the home has acute illness or health crisis (doctor's verification required)
☐ Caregiver has physical or mental disability (doctor's verification required)
☐ Caregiver has advancing age-related decline
☐ Caregiver is a regional center client
☐ Multiple children with disabilities in the home needing respite
☐ Single parent
☐ Death of parent or child in the household within last year

Group 2 (Score 5 for one circumstance and 2 for any additional circumstances)

- ☐ Birth or adoption within period of previous 6 months
☐ Death of extended family member within period of previous 6 months
☐ Health crisis of an extended family member
☐ Intermittent Single Parent (spouse periodically absent or shared custody situations)
☐ Loss of adult caregiver in the home (includes siblings) within period of previous 6 months

Group 3 (Score 3 for one circumstance and 2 for any additional circumstance).

- ☐ Dependent adult in home that is not an adult child of the caregiver(s)
☐ Recent (within 3 months) or imminent relocation
☐ New caregiver in home within period of 3 months

Group 4 (Score 2 for this circumstance)

- ☐ Two parents with two or more children

VIII. Justification for a One-Person Rate: Applies if there is more than one consumer in the family that requires respite services. Any of the following situations will justify a One-Person Rate (indicate reason in IPP objective and Comment Section of POS):

- ☐ Overall assessment score is 30-35
- ☐ Significant behaviors of one or more minor or adult siblings (score is 7 in Section VI)
- ☐ Significant medical needs of one or more minor or adult siblings (score is 6 in Section V)
- ☐ 1:1 supervision ratio is required in the school or day program setting
- ☐ Multiple minor or adult siblings with disabilities in a single-parent home
- ☐ Disparate needs of siblings

RESPITE ASSESSMENT SUMMARY SCORE SHEET

Consumer: _____

SC/SSC: _____

Date: _____

- | | |
|---|----------------|
| I. Age of Consumer(s) | Score: |
| II. Activities of Daily Living | Score: |
| III. Motor Ability | Score: |
| IV. School / DP Attendance | Score: |
| V. Medical Needs (A value of 4 or 6 requires an explanation of need) | Score: |
| _____ | |
| VI. Behavioral Needs | Score: |
| VII. Special Circumstances: | |
| Group 1 Score: | Group 2 Score: |
| Group 3 Score: | Group 4 Score: |
| Special Circumstances Combined Score: | |

Note: If any "group 3" special circumstances apply, the respite need should be reassessed every 3 months.

TOTAL SCORE:

Total Score

In-Home Respite/Hourly Rate:**Need:** _____

0-5 points	Routine supervision
6-10 points	12 hours per month
11-15 points	16 hours per month
16-19 points	18 hours per month
20-24 points	20 hours per month
25-29 points	24 hours per month
30-35 points	30 hours per month (maximum per Trailer bill)

Out-of-Home/Daily Rate (24-hour increments)**Need:** _____

0-6 points	Routine supervision
7-15 points	12 days per year
16-35 points	21 days per year (maximum per Trailer Bill)

Combination of In-Home Respite and Out-of-Home (OOH) Respite:**Need: _____**

0-5 points	Routine supervision
6-10 points	6 hrs per month In-Home & 6 days per year OOH
11-15 points	8 hrs per month In-Home & 6 days per year OOH
16-19 points	9 hrs per month In-Home & 10 days per year OOH
20-24 points	10 hrs per month In-Home & 10 days per year OOH
25-29 points	12 hrs per month In-Home & 11 days per year OOH
30-35 points	15 hrs per month In-Home & 12 days per year OOH
35+ points	20 hrs per month In-Home & 15 days per year OOH

Family Preference:☐**In-Home Respite**☐**Out-of-Home Respite**☐**Combination of In-Home and Out-of-Home**

The regional center may grant an exemption for the respite limits if it is demonstrated that the intensity of the consumer's care and supervision needs are such that additional respite is necessary to maintain the consumer in the family home, or there is an extraordinary event that impacts the family member's ability to meet the care and supervision needs of the consumer.

Valley Mountain Regional Center
Contract Status Report, in thousands
Through August 2017, of FY 2017-18, 16.7% of the Budget Year

<u>DDS Contracts</u>		Purchase of Service, Including CPP	Operations	Total
DDS original	D	129,161	21,980	151,142
Amendment:	D - 1	51,881	7,551	59,432
Total DDS Operating Contracts		181,042	29,531	210,574
Prior year to final		120,274	21,477	141,751
Percentage increase		50.5%	37.5%	48.6%

Valley Mountain Regional Center

State Claims due to VMRC	19,353,994.99
Advances from State	38,243,864.05
Net	<u>(\$18,889,869.06)</u>

21.

Valley Mountain Regional Center
Contract Status Report
August 2017
16.7% of Fiscal Year Completed

REVENUE

	Current	YTD
State Income Current Year	15,720,396	29,974,563
Foster Grandparents/Senior Companion	23,192	57,133
Interest Income	4,960	11,558
Other Income	1,880	3,243
Vendorization Training	412	1,817
ICF-SPA Income	403,335	812,481
ICF-SPA Fee	6,050	12,187
Total Income	16,160,225	30,872,982

POS EXPENDITURES

	Current	Year to Date	Budget	Percentage Spent YTD
Community Care Facility	4,612,208	8,983,787	54,522,572	16.5%
Day Care	112,251	210,446	1,626,583	12.9%
Day Training	3,298,062	6,103,448	36,920,007	16.5%
Supported Employment	154,643	281,943	2,043,230	13.8%
Work Activity Program	50,325	93,804	910,859	10.3%
Non-Medical Services-Professional	50,132	73,984	664,976	11.1%
Non-Medical Services-Programs	1,878,702	3,397,559	20,564,333	16.5%
Home Care Services-Programs	48,101	89,648	645,444	13.9%
Transportation	188,868	356,125	2,582,664	13.8%
Transportation Contracts	1,519,186	2,779,960	17,196,390	16.2%
Prevention Services	1,135,851	2,114,087	12,771,216	16.6%
Other Authorized Services	1,578,224	2,893,136	17,649,553	16.4%
P&I Expense	4,258	7,688	48,039	16.0%
Medical Equipment	16,276	31,810	372,790	8.5%
Medical Care Professional Services	251,480	468,302	2,846,561	16.5%
Medical Care-Program Services	1,969	5,021	77,534	6.5%
Respite-in-Home	768,307	1,358,753	8,387,000	16.2%
Respite Out-of-Home	54,405	106,457	700,000	15.2%
Camps	2,093	15,050	90,000	16.7%
	15,725,339	29,371,007	180,619,751	16.3%
CPP	2,215	2,215	422,500	0.5%
Total Purchase of Service	15,727,554	29,373,222	181,042,251	16.2%
Prior Year to Date (no late billing)	13,428,419	26,132,115	120,273,707	21.7%
Percentage Increase (Decrease)	17.1%	12.4%	50.5%	-5.5%

Valley Mountain Regional Center
Contract Status Report
August 2017
16.7% of Fiscal Year Completed

OPERATIONS EXPENDITURES

	Current	Year to Date	Budget	Percentage Spent YTD
Salaries and Wages	1,328,037	2,352,610	15,500,000.00	15.2%
Temporary Help	-	-	6,000.00	0.0%
Fringe Benefits	824,324	1,211,865	7,500,000.00	16.2%
Contracted Employees	15,885	20,176	130,000.00	15.5%
	<u>2,168,247</u>	<u>3,584,650</u>	<u>23,136,000</u>	<u>15.5%</u>
Equipment Contract leases	787	3,900	40,000	9.8%
Facilities Rent	133,554	267,109	1,700,000	15.7%
Facilities Maintenance	83,427	112,737	700,000	16.1%
Telephone	17,258	18,083	186,000	9.7%
Postage and Shipping	9,136	19,256	140,000	13.8%
General Office Expense	67,150	76,306	460,000	16.6%
Insurance	6,602	13,205	100,000	13.2%
Printing	-	277	20,000	1.4%
Utilities	27,390	27,390	175,000	15.7%
Information Technology	61,443	100,199	600,000	16.7%
Bank Fees	2,458	4,701	57,375	8.2%
Legal Fees	-	113	50,000	0.2%
Board of Director Expense	826	2,430	25,000	9.7%
Accounting Fees	-	-	60,000	0.0%
Equipment Purchases	113,011	113,011	690,000	16.4%
Consultants	18,006	45,596	300,000	15.2%
Travel Administration	10,338	11,511	75,000	15.3%
Travel Consumer Services	27,405	60,724	375,000	16.2%
Dues and Subscriptions	-	-	1,050	0.0%
Consumer Medical Record Fees	324	370	10,200	3.6%
ARCA dues	-	80,458	81,000	99.3%
Advertising	583	583	3,500	16.7%
Interest expense	11,736	11,736	67,250	17.5%
Fees, licenses and miscellaneous	392	392	2,794	14.0%
	<u>2,760,074</u>	<u>4,554,736</u>	<u>29,055,169</u>	<u>15.7%</u>
Foster Grandparent/Senior Companion Expenses	35,320	58,831	79,242	74.2%
CPP Expense	-	-	397,247	0.0%
	<u>35,320</u>	<u>58,831</u>	<u>476,489</u>	<u>12.3%</u>
	<u>2,795,394</u>	<u>4,613,567</u>	<u>29,531,658</u>	<u>15.6%</u>
Prior Year to Date	<u>2,052,720</u>	<u>3,695,795</u>	<u>22,285,829</u>	<u>16.6%</u>
Percentage Increase (Decrease)	36.2%	24.8%	32.5%	-1.0%

Valley Mountain Regional Center
Prior Years Contract Status
Through August 2017, of FY 2017-18, 16.7% of the Budget Year

Last Fiscal Year - C

	Fiscal Year 2016-2017				
	OPS	OPS CPP	POS	POS CPP	FG/SC
6/30/17 Unbilled Contract Balance	2,494,014	(11,799)	1,983,156	315,147	43,928
Billied in Current FY 2018	433,816		129,168	124	
Balance at 08/31/2017	2,060,198	(11,799)	1,853,988	315,022	43,928

2nd Prior Fiscal Year - B

	Fiscal Year 2015-2016				
	OPS	OPS CPP	POS	POS CPP	FG/SC
6/30/17 Unbilled Contract Balance	(25,797)	-	5,023,315	573,359	43,261
Billied in Current FY 2018			106,497	12,369	
Balance at 08/31/2017	(25,797)	-	4,916,818	560,990	43,261

Key Fiscal Procedure Checklist

Bank Reconciliations	9/6/2017
Bank credits reviewed	9/7/2017
Subsidiary ledgers reconciled to general ledger	9/8/2017
Interfund payables/receivables match	9/6/2017
Trial balances match for all funds	9/7/2017

DEPARTMENT OF DEVELOPMENTAL SERVICES

1600 NINTH STREET, Room 310, MS 3-3
SACRAMENTO, CA 95814
TDD 654-2054 (For the Hearing Impaired)
(916) 654-3432



DATE: August 31, 2017

TO: REGIONAL CENTER DIRECTORS
CONTRACTING AGENCY PRESIDENTS
REGIONAL CENTER ADMINISTRATORS

SUBJECT: D-1 ALLOCATION FOR FISCAL YEAR 2017-18

Enclosed are documents pertaining to the D-1 amendment for your regional center for 2017-18. **Please return this contract no later than ten business days from the date of this letter to prevent any processing delay.**

To assist in your review of the amendment, we have included the following:

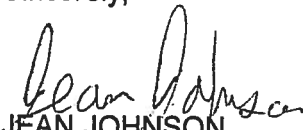
1. Exhibit I contains information about the amendment and instructions for completing the forms.
2. Exhibit II explains the items allocated.
3. Exhibit III identifies the amounts by item.
4. Exhibit A contains the Contract Budget Summary.

If you have any questions regarding the processing of this amendment, please contact Pam Robison in the Customer Support Section at (916) 654-3465.

If you have any questions regarding the Community Placement Plan allocation, please contact Yasir Ali, Chief, Rates and Fiscal Support Section, at (916) 654-2302.

If you have any questions regarding the Non-CPP allocation, please contact Darla Keys, Manager, RC Allocation Unit, at (916) 654-2255.

Sincerely,


JEAN JOHNSON
Deputy Director
Administration Division

Enclosures

cc: Nancy Bargmann, DDS
John Doyle, DDS
Jim Knight, DDS
Brian Winfield, DDS
Yasir Ali, DDS

Jennifer Harris, DDS
Matthew Singh, DDS
Amy Westling, ARCA
Brenda Crisler, ARCA
Darla Keys, DDS

STANDARD AGREEMENT AMENDMENT

STD. 213 A (Rev 9/01)

RECEIVED

SEP 07 2017

VHRC - Stockton

☒ CHECK HERE IF ADDITIONAL PAGES ARE ATTACHED 1 Pages

AGREEMENT NUMBER

AMENDMENT NUMBER



HD149021

D-1

1. This Agreement is entered into between the State Agency and Contractor named below:
 STATE AGENCY'S NAME
 Department of Developmental Services
 CONTRACTOR'S NAME
 Valley Mountain Regional Center, Inc.
2. The term of this Agreement is: July 1, 2014, through June 30, 2021
3. The maximum amount of this Agreement after this amendment is: \$210,573,909
4. The parties mutually agree to this amendment as follows. All actions noted below are by this reference made a part of the Agreement and incorporated herein:
 - a. The effective date of this amendment is August 31, 2017.
 - b. Section 8 of article I is amended and reads as follows: "8. The total amount payable to Contractor under this contract agreement shall not exceed \$210,573,909 Year 2017/2018 as reflected in Exhibit A, Page 1 of this contract".

 Fiscal Year funds identified above may not be used for any other fiscal year, than the fiscal year specified unless authorized by the Department to do so.
 - c. Replaced by this amendment is Exhibit A, Page 1 which is attached hereto and made a part of this contract.
 - d. All other terms and conditions remain the same.

IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.

CONTRACTOR		CALIFORNIA Department of General Services Use Only
CONTRACTOR'S NAME (If other than an individual, state whether a corporation, partnership, etc.) Valley Mountain Regional Center, Inc.		
BY (Authorized Signature) 	DATE SIGNED (Do not type)	
PRINTED NAME AND TITLE OF PERSON SIGNING Tom Bowe, Board President		
ADDRESS 702 North Aurora Street Stockton, CA 95202		
STATE OF CALIFORNIA		
AGENCY NAME Department of Developmental Services		<input type="checkbox"/> Exempt per:
BY (Authorized Signature) 	DATE SIGNED (Do not type)	
PRINTED NAME AND TITLE OF PERSON SIGNING Pamela S. Robison, Chief, Customer Support Section		
ADDRESS 1600 9th Street, Room 300, MS 3-18 Sacramento, CA 95814		

26.

**CONTRACT BUDGET SUMMARY
2017-18 FISCAL YEAR**

Valley Mountain Regional Center, Inc.
Contracting Agency

Contract Number HD149021

Total D-1 Contract
August 31, 2017

EXHIBIT A

TOTAL OPERATIONS

\$29,531,658

In accordance with State Contract language under Article III: Fiscal Provisions Item #4 Payment Provisions, paragraph 3, the following Operation category expenditures must be claimed on a separate invoice:

Mental Health Services Fund	0
Foster Grandparent Program (federal portion only) (see footnote /a)	79,242
Agnews Ongoing Workload (Non-CPP)	0
Lanterman DC Closure (Non-CPP)	0
Total Community Placement Plan (CPP)	397,247
Sonoma DC Closure	0
Fairview Closure	0
Porterville Closure	0
Regular CPP	397,247

TOTAL PURCHASE OF SERVICES

\$181,042,251

In accordance with State Contract language under Article III: Fiscal Provisions Item #4 Payment Provisions, paragraph 3, the following Purchase of Service category expenditures must be claimed on a separate invoice:

Program Development Fund (see footnote /b)	30,039
Part C (see footnote /c)	3,770,559
Safety Net General Fund (Non-CPP policy)	0
Community Placement Plan (CPP):	422,500
Sonoma DC Closure	18,000
Start-Up	0
Placement	18,000
Fairview DC Closure	0
Start-Up	0
Placement	0
Porterville DC Closure	55,000
Start-Up	0
Placement	55,000
Regular CPP	349,500
Start-Up	250,000
Safety Net Start-Up	0
Assessment	0
Placement	99,500
Deflection	0

TOTAL EARLY INTERVENTION

Family Resource Centers/Network	\$0
---------------------------------	-----

TOTAL FAMILY RESOURCE SERVICES

\$0

TOTAL BUDGET

\$210,573,909

FGP Footnote /a: Program Title: Foster Grandparent Program, CFDA Number: 94.011, Award No.: 16SFPCA002

Senior Companion (GF) CFDA # 94.016, Program Title: Senior Companion Program, Award No.: 16SCPCA002

PDF Footnote /b: Please note no separate billing is necessary for PDF, this account is used to fund the CPP Start-Up Claims.

Part C Footnote /c: CFDA Title: Infant and Toddlers with Disabilities, Program Title: Special Education-Grants for Infants and Families with Disabilities
CFDA Number: 84.181A, Award No: H181A170037

Since Grant Award Notification will occur after the execution of this contract and changes are limited to the Federal Award Number and calendar year awarded, updated Grant Award Notification will be maintained in DDS' contract file and incorporated by reference. A copy of the current Grant Award Notification shall be sent to Contractor as it is made available to DDS.

Federal Agency Name: Office of Special Education and Rehabilitative Services, United States Department of Education

Part C Percent of Contract Funding:

1.79%

**Department of Developmental Services
Budget Section
FY 2017-18
Claimable Cash Advances
D-1 Amendment**

	Operations	Purchase of Services	Total
	A	B	C=A+B
Alta California	\$3,034,369	\$23,694,403	\$26,728,772
Central Valley	2,496,334	16,850,657	19,346,991
East Bay	2,705,149	22,656,767	25,361,916
Eastern L.A.	1,536,400	10,705,760	12,242,160
Far Northern	1,119,527	10,636,597	11,756,124
Frank Lanterman	1,303,674	10,169,256	11,472,930
Golden Gate	1,537,712	16,929,920	18,467,632
Harbor	1,953,627	13,018,580	14,972,207
Inland	4,327,622	29,782,566	34,110,188
Kern	1,377,589	8,940,844	10,318,433
North Bay	1,676,846	13,861,871	15,538,717
North L.A.	2,786,688	24,563,048	27,349,736
Orange	2,385,087	21,112,208	23,497,295
Redwood Coast	634,547	7,911,254	8,545,801
San Andreas	2,269,258	26,360,275	28,629,533
San Diego	3,321,233	21,682,741	25,003,974
San Gabriel/Pomona	1,961,183	13,511,199	15,472,382
South Central	2,067,398	15,428,106	17,495,504
Tri-Counties	1,957,325	17,564,435	19,521,760
Valley Mountain	1,868,038	12,781,681	14,649,719
Westside	1,287,136	13,496,516	14,783,652
Total	\$43,606,742	\$351,658,684	\$395,265,426

CONTRACT AMENDMENT INSTRUCTIONS

Five copies of the amendment (STD 213 A) are enclosed for the contracting agency president to sign in blue ink. Please return the five signed original copies to:

Department of Developmental Services
Contracts Management Unit
1600 9th Street, Room 300, MS 3-18
Sacramento, CA 95814

OPERATIONS

Core Staffing: Allocated by utilizing updated budgeted caseload and other statistics (i.e. number of consumers in a CCF, Waiver, Early Start, Developmental Centers, FCPP, Rep Payee, etc.) as applied to the core staffing formula.

Operating Expenses: Allocation based on the 2016-17 allocation with adjustments for new positions.

Facility Rent: Allocation of rent and utilities based on Exhibit H of the 2017 May Revise Rent Survey, and per discussions with the regional centers.

Staffing for Collection of FFP for Contracted Services: Allocated funds for one Community Program Specialist I and one Account Clerk II position for each regional center.

Unallocated Reductions/Savings Target

Intake and Assessment: 60 to 120 Days: -\$4,465,000 Allocation based on each regional center's pro-rata share of Monthly Intake 3yrs & over per the 2017 May Revise Spring Survey, Exhibit A-1 & A-2.

FY 2001-02 Prior Unallocated Reduction: -\$10,559,000 Allocation based on each regional center's pro-rata share of total Core Staffing.

FY 2004-05 Cost Containment: -\$5,968,000 Allocation based on each regional center's pro-rata share of total Core Staffing.

FY 2009-10 Savings Target 23 Eliminate Triennial Quality Assurance Review:
-\$1,500,000 Allocation based on each regional center's pro-rata share of projected consumer counts of CCF and CPP Consumers for 2017-18.

FY 2009-10 Savings Target 24 Reduction of One-Time Funding:
-\$3,500,000 Allocation based on each regional center's pro-rata share of total Core Staffing.

FY 2009-10 Savings Target 25 (i) One-Time RC Funding:
(Formerly additional funding)
-\$1,500,000 Allocation based on each regional center's pro-rata share of total Core Staffing.

FY 2009-10 Savings Target 25 (ii) Early Start Intake & Assessment:
-\$5,500,000 Allocation based on each regional center's pro-rata share of projected High Risk Caseload consumers by regional center for 2017-18.

FY 2011-12 March Cost Containment Measures: -\$3,486,000 Allocation based on each regional center's pro-rata share of total Core Staffing.

FY 2011-12 \$174m Unallocated Reduction: -\$5,400,000 Allocation based on each regional center's pro-rata share of total Core Staffing.

Federal Compliance

HCBS Waiver: Allocated based on the actual HCBS Waiver consumer months of enrollment from July 2016 to June 2017.

HCBS Waiver Compliance: Allocated based on the actual HCBS Waiver consumer months of enrollment July 2016 to June 2017.

Increase in Case Managers to Meet HCBS Waiver Requirements: Allocated based on the actual HCBS Waiver consumer months of enrollment for July 2016 to June 2017. (Excludes Rent OE&E, allocated separately).

Targeted Case Management: Allocated based on the total actual billable units by regional center from November 2016 through April 2017.

Nursing Home Reform: Allocated based on the total actual expenditures from July 2016 through June-2017.

Federal Medicaid Requirements for Regional Centers to Vendor Providers of HCBS Services: Allocated based on active vendors for 2016-17 by regional center of \$100k or less; excludes service codes 065, 400, 655, & 660.

Projects

IT System Hardware and Software Maintenance: Allocated to fund regional centers for computer system hardware and software maintenance.

Foster Grandparents/Senior Companion Program: Allocated 50% of the 2016-17 C-1 Foster Grandparents/Senior Companion Program; the remaining allocation is pending finalization of placement of the Foster Grand Parent Program into the community from the developmental centers.

Increased Access to Mental Health Services: Will be allocated in a future amendment; allocation will be based on approved Mental Health Service Fund projects.

Sherry S: Allocated based on May 2017 Regional Center Survey reported for hop/conservatorship.

Miscellaneous: Allocated per agreement with specific regional centers.

Operations continued

Policy Items

Psychological Evaluations for Behavioral Health Treatment (BHT)

Fee-for-Service Consumers: Allocation based on each regional center's pro-rata share of Fee for Service consumers under the age of 21 who are being served in the community.

Improve Service Coordinator Caseload Ratios: Allocated the same amounts as the 2016-17 C-1 allocation. The C-1 allocation was based on each regional center's pro-rata share of Status 1 and 2 caseload, all ages.

Compliance with HCBS Regulations: Allocated funds for one Program Evaluator position for each regional center.

Resources to Implement ABX2 1: Allocated funds for one Employment Specialist and one Cultural Specialist for each regional center.

ABX2 1 RC Operations Increase Salaries & Wages: Allocation for 2017-18 equals the 2016-17 C-1 allocation which was based on each regional center's pro-rata share of total B-1 and B-2 Core Staffing.

CPP: Agnews Ongoing, Lanterman DC Closure, SDC Closure

Allocation based on approved regional center proposals.

FRC

Family Resource Centers/Networks: Allocated for family resource center/network services to implement Part C.

Continued

PURCHASE OF SERVICES (POS)

POS Allocation: Allocated based on each regional center's pro rata share of prior fiscal year 2016-17, 12 months of Non-CPP POS expenditures.

Policy Items

Minimum Wage Increase, AB10 Effective January 1, 2016: Allocated based on prior fiscal year 2016-17 expenditures through June 2017 by regional center, for services impacted by the minimum wage increase. Service codes used: 025, 055, 063, 094, 096, 113, 114, 505, 510, 515, 520, 525, 605, 612, 620, 680, 805, 855, 860, 862, 875, 896, 905, 910, 915, 920, 950, 952, 954

Alternative Residential Model (ARM) 4-Bed Rate: Allocated based on each regional center's report of the actual vendors with 4-Bed rates.

Compliance with Home & Community Based Services Regulations

To be allocated in a future amendment.

ABX2 1 Community Based Services Increases Effective January 1, 2016: Allocated based on each regional center's pro-rata share of expenditures per service codes.

Service codes used are:

Supported Living and Independent Living: 062, 073, 093, 520, 635, 858, 896

Respite: 862, 868, 869, 850

Transportation: 875, 880, 882, 883

Direct Care Staff Wages and Benefits: All Service Codes

POS Administrative Costs: 490, 491, 894

Restoration of Supported Employment Reduction: 950, 952

SB3 Minimum Wage Increase effective 01/01/2017: Allocation based on actual prior year 2016-17 claims data for January-April 2017.

CPP: Sonoma, Fairview, Porterville Closure, Start-Up, Assessment, Placement, Deflection

Allocation based on approved regional center proposals.

Department of Developmental Services, Budget Section, RC Allocation Unit
EXHIBIT III Explanation of Items in Allocation
Regional Center: Valley Mountain

EXHIBIT III

2017-18 Regional Centers

	<u>Operations</u>	<u>Purchase Of Service</u>	<u>Early Intervention Program</u>	<u>Family Resource Services</u>
Previous Contract (D-Prelim):	\$21,980,264	\$129,181,416	\$0	\$0
This Amendment (D-1):				
Operations Allocation (Ops):				
Core Staffing Personal Services	\$21,699,000			
Operating Expenses & Equipment	1,886,552			
Facility Rent and Utilities	2,127,844			
Staffing for Collection of FFP for Contracted Services	84,381			
Intake and Assessment: 60 to 120 Days	-147,081			
FY 2001-02 and prior year's Unallocated Reductions	-446,968			
FY 2004-05 Cost Containment	-252,629			
FY 2009-10 Savings Target #23 Eliminate QA Review	-76,893			
FY 2009-10 Savings Target # 24 Reduction of 1-Time RC Funding	-148,157			
FY 2009-10 Savings Target # 25(i) Additional RC Funding Op Savings	-83,498			
FY 2009-10 Savings Target # 25(ii) E/S Intake and Assessment	-253,727			
FY 2011-12 March Cost Containment Measures	-147,564			
FY 2011-12 \$174m Unallocated Reduction	-228,585			
HCBS Waiver Operations Cost	887,519			
HCBS Waiver Compliance	340,772			
Increase in Case Managers to Meet HCBS Waiver Requirements	481,952			
Targeted Case Management	158,501			
Nursing Home Reform	17,838			
Federal Medicaid Requirement for RC HCBS Services	32,510			
IT System Hardware and Software	0			
Foster Grandparent/Sr. Companion Program	224,373			
Sherry S Court Case	0			
Miscellaneous	22,481			
Ops Policy Items:				
BHT Psych Evaluations, FFS Consumers	35,708			
Improve Service Coordinator Caseload Ratios	707,428			
Compliance with HCBS Regulations	87,714			
Resources to Implement ABX2 1	198,286			
ABX2 1 RC Operations, Increase Salaries & Wages	1,843,180			
ABX2 1 RC Operations, Increase Admin	83,658			
Early Intervention Program (EIP) Family Resource Centers/Networks:			0	
Purchase of Services Allocation (POS):				
Regular POS Allocation		180,433,986		
POS Policy Items:				
AB 10, Minimum Wage Increase, Eff 01/01/2016		3,980,736		
Alternative Residential Model (ARM) 4-Bed Rate		952,501		
ABX2 1 Community Based Services Increases		12,782,571		
SB 3, Minimum Wage, Eff 1/1/2017		2,489,957		
Family Resource Services (Formerly Prevention Program)				0
Operations CPP Items:				
Regular CPP	387,247			
Regular Integrated Project	0			
Sonoma DC Closure	0			
Fairview DC Closure	0			
Porterville DC Closure	0			
Non-CPP, "CPP-Related" Items:				
Agnews Ongoing Workload Unified Ops Costs	0			
Agnews Ongoing Workload State EE/Staff in Community	0			
Agnews Ongoing Workload Placement Continuation	0			
Lanternman Ongoing Workload	0			
Purchase of Services CPP Items:				
Sonoma DC Closure Placement		18,000		
Fairview DC Closure Placement		0		
Porterville DC Closure Placement		55,000		
Start-Up		250,000		
Start-Up Safety Net		0		
Assessment		0		
Placement		99,500		
Deflection		0		
Harbor Integrated Program. Regular POS Start Up		0		
Non-CPP, "CPP-Related" Items:				
Safety Net TBL Chapter 18		0		
Less Prelim Contract	-21,980,264	-129,181,416	0	0
Total D-1 Amendment	\$7,551,394	\$51,880,836	\$0	\$0
Total Contract after D-1 Amendment	\$29,531,658	\$181,042,251	\$0	\$0
Grand Total Contract	\$210,573,909			

34.

MEMORANDUM

To: VMRC Board of Directors

From: Tony Anderson, Executive Director

Date: September 27, 2017

We have received a donation of \$38.85 through eScrip.

I recommend the committee accept this donation to the Dr. James R. Popplewell Fund, to be used for the benefit of VMRC consumers, for which there is no state funding.

cc: Jessica Pate
Claudia Reed

Valley Mountain Regional Center
Contracts due for Board Approval
Expiring in the month of November 2017

Vendor Name	Vendor #	Service Code	Vendor Category	Current Contract	Proposed Contract	Dollar Increase	% Rate Increase	Reasons for Increase
Accredited Respite Services	HL0621	862	In-Home Respite Services	\$ 915,249	\$ 1,020,000	\$ 104,751	11.45%	Respite cap being lifted will see an increase
ARC Amador/Calaveras	H29329	510	Adult Development	\$ 726,591	\$ 808,599	\$ 82,008	11.29%	Expected increase from 47 to 55 consumers
Behavioral & Educational Strategies & Training ESAIP P	PV0046	48	Client/Parent Support Behavior Intervention Training	\$ 1,858,235	\$ 2,100,000	\$ 241,765	13.01%	Increase in consumers served from 62 to 73
Behavioral & Educational Strategies & Training Infant	HV0387	805	Infant Development Program	\$ 501,595	\$ 624,000	\$ 122,405	24.40%	Increase in consumers served from 8 to 17
Behavioral & Educational Strategies Training IT Soc/Eng	PV1478	116	Early Start Specialized Therapeutic Services	\$ 462,600	\$ 608,412	\$ 145,812	31.52%	New program in 2015 rate increase in 7/2016 and continued growth in number of consumers
Central Valley Autism Project ESAIP Program	PV0783	48	Client/Parent Support Behavior Intervention Training	\$ 1,730,185	\$ 2,400,000	\$ 669,815	38.71%	Rate increase in 7/2016 increase in number of consumers served from 77 to 92
Central Valley Autism Project Infant Development Program	HV0339	805	Infant Development Program	\$ 335,806	\$ 396,000	\$ 60,194	17.93%	Increase in number of consumers served from 6 to 12
Horrigan Cole Enterprises Cole Vocational Services	HV0211	55	Community Integration Training Program	\$ 1,760,117	\$ 1,824,000	\$ 63,883	3.63%	increase in number of consumers from 86 to 91
Human Services Projects, Inc. Encore Wrap-Around Services	HV0257	102	Individual or Family Training	\$ 504,804	\$ 586,000	\$ 81,196	16.08%	Rate increase in 7/16 and increase in number of consumers from 24 to 33
Pacific Homecare Services	HV0235	862	In-Home Respite Services Agency	\$ 5,642,074	\$ 6,033,703	\$ 391,629	6.94%	Respite cap being lifted will see an increase
Premier Healthcare Services	HA0515	862	In-Home Respite Services Agency	\$ 1,353,277	\$ 1,608,000	\$ 254,723	18.82%	Respite cap being lifted will see an increase

Contracts with no change from previous year:				
Vendor Name	Vendor #	Service Code	Vendor Category	Current Contract
Applied Behavior Consultants Infant Development Program	HV0255	805	Infant Development Program	\$ 1,515,322

Valley Mountain Regional Center
Contracts due for Board Approval
Expiring in the month of November 2017

Applied Behavior Consultants EIBT Program	H24291	48	Client/Parent Support Behavior Intervention Training	\$ 836,701
ARC Amador/Calaveras CIP	H29436	55	Community Integration Training Program	\$ 757,426
ARC Amador/Calaveras SLS	SV0002	896	Supported Living Services	\$ 416,739
Behavioral & Educational Strategies & Training EIBT Program	PV0250	48	Client/Parent Support Behavioral Intervention Training	\$ 1,231,603
Central Valley Autism Project Infant Development Program	HV0288	805	Infant Development Program	\$ 529,070
Central Valley Autism Project EIBT	PV0195	48	Client/Parent Support Behavioral Intervention Training	\$ 1,916,495
Genesis Behavior Center EIBT	PV0628	48	Behavioral Intervention Training	\$ 287,700
Genesis Behavior Center EASIP Program	PV1008	48	Behavioral Intervention Training	\$ 370,957
Therapeutic Pathways ESAIP Program	PV0194	48	Client/Parent Support Behavioral Intervention Training	\$ 1,395,785
Therapeutic Pathways EIBT Program	P75300	48	Client/Parent Support Behavioral Intervention Training	\$ 2,615,703

OPS Contracts		
Vendor Name	Contract Amount	Contracted Service
Nothing to report		

VALLEY MOUNTAIN REGIONAL CENTER – COMMITTEE ASSIGNMENTS FY2017-18

Board Meeting Meets: 2 nd Monday of month at 6:00pm. Dinner Served at 5:00pm	Executive Committee Meets: 6:00pm Wednesday, the week before the Board Meeting	Finance & Personnel Committee Meets: 5:00pm Wednesday, the week before the Board Meeting
Tom Bowe, President	Tom Bowe, President	Ivan Johnson, Chair
Claire Lazaro, Vice President	Claire Lazaro, Vice President	Tom Bowe, Ex Officio
Ivan Johnson, Treasurer	Ivan Johnson, Treasurer	Claire Lazaro, Vice President
Andrea Rueda, Secretary	Andrea Rueda, Secretary	Andrea Rueda, Secretary
S. Rush Bailey	Ivan Johnson, Chair, Finance & Personnel Committee	B. Katherine Torres
Robert Balderama	Candice Bright, Chair, Legislative Committee	Margaret Heinz
Candice Bright	TBD, Chair, Nominating Committee	Elizabeth Victor-Martinez
Linda Collins		Noemi Santiago
Emily Grunder		Connie Uychutin (CLASP)
Pernell Gutierrez		
Margaret Heinz		
Kori Heuvel		
Ivan Johnson		
Claire Lazaro		
Tom Martin		
Lynda Mendoza		
Dena Pfeifer		
Moe Rashid		
Noemi Santiago		
B. Katherine Torres		
Elizabeth Victor-Martinez		
Consumer Representative		
Consumer Services Committee Meets: 4:30pm same day as Board Meeting	Legislative Committee Meets: TBD	Nominating Committee Meets: As needed, usually in April
Claire Lazaro, Chair	Candice Bright, Chair	TBD, Chair
Tom Bowe, Ex Officio	Tom Bowe, Ex Officio	Tom Bowe, Ex Officio
Emily Grunder	Emily Grunder	
Margaret Heinz	Lynda Mendoza	
Moe Rashid	Pernell Gutierrez	
Elizabeth Victor-Martinez	Tracie Leong	
Tracie Leong	Robert Balderama	
Kori Heuvel		Daime Hoornaert (CLASP)
Dena Pfeifer (SAC6)		Dena Hernandez (SCDD/NVHO)
Daime Hoornaert (CLASP)		
Dena Hernandez (NVHO)		
(Linda Mendoza)		

